



South Coast Air Quality Management District  
**Form 2007-4A**  
**Regional Clean Air Incentives Market Trading**  
**Credits (RTCs) Report of Contingent Right to Purchase, Sell, or Swap RTCs**

**E-Mail To:**  
 reclaim\_q&a@aqmd.gov  
**OR**  
**Mail To:**  
 SCAQMD, RECLAIM Administration – RTC Transfers  
 P.O. Box 4830  
 Diamond Bar, CA 91765-0830  
 Tel: (909) 396-3119, [www.aqmd.gov](http://www.aqmd.gov)

Section I – Account Information				
†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is only for reporting a contingent right to purchase, sell, or swap RTCs. For forward contracts, use Form 2007-4B.				
Name of Buyer† _____			Account I.D. # _____	
Name of Seller† _____			Account I.D. # _____	
If Intermediary, Intermediary Name: _____			Account I.D. # _____	
Contingent Right to:	Purchase	Sell	Swap	Premium paid for this contract: _____
Reason for this report:	Initial	Extension	Modification (Circle items modified)	
(If not initial, provide initial agreement date): _____				Expiration Date of Contingent Right Agreement: _____
Date when this agreement was reached: _____				

→ Attach agreement for contingent right to purchase.

Pollutant: NOx or SOx (Identify only one pollutant)

Is this an Infinite-Year-Block RTC transaction? Yes [ Total Value of Transaction \$ \_\_\_\_\_ ] ⊕ No  
 (Attach a separate form if more spaces are needed.)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price ⊕ (\$/Pound)	Origin of Credits	
Cycle	From * Compliance Year	To * Compliance Year				Rule No:	
						Rule No:	
						Rule No:	
						Rule No:	
						Rule No:	
						Rule No:	
						Rule No:	

⊕ For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column.

\* In the "From Compliance Year" column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that within five (5) business days of the exercise of a forward contract, a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported by (Print Name) \_\_\_\_\_

Signature \_\_\_\_\_

Title \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company Name \_\_\_\_\_

Date and Place \_\_\_\_\_

SCAQMD Disclaimer: This report will be made available to the public for information purposes only. The SCAQMD does not verify the content of this report.