



**South Coast  
Air Quality Management District**

21865 Copley Drive, Diamond Bar, CA 91765

(909) 396-2000, [www.aqmd.gov](http://www.aqmd.gov)

**MOBILE SOURCE COMMITTEE MEETING**

**Committee Members**

Dr. Clark E. Parker, Sr., Chair

Dr. Joseph Lyou, Vice Chair

Supervisor Marion Ashley

Mayor Larry McCallon

Mayor Pro Tem Judith Mitchell

Supervisor Hilda L. Solis

**July 20, 2018 ♦ 9:00 a.m. ♦ CC8  
21865 Copley Dr., Diamond Bar, CA 91765**

**TELECONFERENCE LOCATION(S)**

11461 West Sunset Boulevard  
Brentwood Room 1  
Los Angeles, CA 90049

Kenneth Hahn Hall of Administration  
500 W. Temple Street  
Conference Room 493-A  
Los Angeles, CA 90012

**(The public may attend at any location listed above.)**

*Call-in for listening purposes only is available by dialing:*

*Toll Free: 866-244-8528*

*Listen Only Passcode: 5821432*

*In addition, a webcast is available for viewing and listening at:*

*<http://www.aqmd.gov/home/library/webcasts>*

**AGENDA**

**CALL TO ORDER**

**ACTION ITEM (Item 1)**

**1. Appropriate Funds, and Issue RFP to Assess Potential Cost and Economic Impacts of Proposed Facility-Based Mobile Source Control Measures on Warehouses and Distribution Centers (*Motion Requested*)**

Sarah Rees  
*Assistant Deputy  
Executive Officer*

On May 4, 2018, the Board directed staff to pursue development of facility based emission reduction strategies for warehouses and distribution centers including through a potential indirect source rule. The Board further directed staff to provide regular progress reports, including an assessment of potential economic impacts. To assist with this assessment, staff is proposing to release an RFP to solicit bids to estimate a range of potential costs based on hypothetical rule scenarios and the resultant impacts on freight operation, such as potential cargo diversion from local warehouses to facilities in adjacent regions. This action is to appropriate up to \$200,000 from the General Fund Undesignated (Unassigned) Fund Balance into Planning, Rule Development and Area Sources' FY 2018-19 Budget. This action is to also issue an RFP to solicit qualified bidders to assess potential cost and economic impacts of a potential indirect source rule on local warehouses.

**INFORMATIONAL ITEM (Item 2)**

**2. Update on California Air Resources Board (CARB)'s Proposed Regulations for the Innovative Clean Transit and the Zero-Emission Airport Shuttle Bus (*No Motion Required*)**

Katherine Garrison,  
*CARB Air Resources  
Engineer*

CARB staff will provide a status update on the proposed regulations for transit buses and airport shuttle buses.

**WRITTEN REPORTS (Items 3-4)**

**3. Rule 2202 Activity Report: Rule 2202 Summary Status Report (*No Motion Required*)**

Philip Fine  
*Deputy Executive  
Officer*

The Rule 2202 Summary Status Report summarizes Rule 2202 activities for the period January 1, 2018 to August 31, 2018. The report identifies the plan submittal activities by option type and lists Air Quality Investment Program funds collected by county.

**4. Lead Agency Projects and Environmental Documents Received by SCAQMD (*No Motion Required*)**

Philip Fine

This report provides, for the Board's consideration, a listing of CEQA documents received by the SCAQMD between July 1, 2018 and August 31, 2018, and those projects for which the SCAQMD is acting as lead agency pursuant to CEQA.

## **OTHER MATTERS**

### **5. Other Business**

Any member of the Committee, or its staff, on his or her own initiative or in response to questions posed by the public, may ask a question for clarification, may make a brief announcement or report on his or her own activities, provide a reference to staff regarding factual information, request staff to report back at a subsequent meeting concerning any matter, or may take action to direct staff to place a matter of business on a future agenda. (Gov't. Code Section 54954.2)

### **6. Public Comment Period**

Members of the public may address this body concerning any agenda item before or during consideration of that item (Gov't. Code Section 54954.3(a)). All agendas for regular meetings are posted at District Headquarters, 21865 Copley Drive, Diamond Bar, California, at least 72 hours in advance of a regular meeting. At the end of the regular meeting agenda, an opportunity is also provided for the public to speak on any subject within the Committee's authority. Speakers may be limited to three (3) minutes each.

### **7. Next Meeting Date: September 21, 2018**

## **ADJOURNMENT**

### **Americans with Disabilities Act**

*The agenda and documents in the agenda packet will be made available, upon request, in appropriate alternative formats to assist persons with a disability (Gov't. Code Section 54954.2(a)). Disability-related accommodations will also be made available to allow participation in the Mobile Source Committee meeting. Any accommodations must be requested as soon as practicable. Requests will be accommodated to the extent feasible. Please contact Arlene Farol at 909.396.2250 from 7:30 a.m. to 6:00 p.m., Tuesday through Friday, or send the request to [afarol@aqmd.gov](mailto:afarol@aqmd.gov).*

### **Document Availability**

*All documents (i) constituting non-exempt public records, (ii) relating to an item on an agenda for a regular meeting, and (iii) having been distributed to at least a majority of the Committee after the agenda is posted, are available prior to the meeting for public review at the South Coast Air Quality Management District, Public Information Center, 21865 Copley Drive, Diamond Bar, CA 91765.*

## *Appropriate Funds, and Issue RFP to Assess Potential Cost and Economic Impacts of Proposed Facility-Based Mobile Source Control Measures on Warehouses and Distribution Centers*

MOBILE SOURCE COMMITTEE

JULY 20, 2018

### Background

- In May 2018, Board directed staff to pursue rulemaking for warehouses
- Board amendments further directed staff to conduct an interim analysis evaluating the economic impact of a potential warehouse rule on:
  - Competitiveness of the logistics sector
  - Potential for cargo diversion
  - Impact on jobs
  - Impact on industrial real estate market in SCAQMD
- Study will help inform rulemaking, and will supplement socioeconomic impact analysis conducted for proposed rule
- Results of the study, including third party review, will be brought back to Board before the rule is brought for consideration
  - Staff must report to Mobile Source Committee and full Board every three to six months on progress of rulemaking, including this study

## Request for Proposals and Appropriation of Funds

---

- Staff is requesting to release an RFP in September to conduct the Board-directed economic impact study
  - Study would commence in late 2018/early 2019
- Staff is requesting to appropriate up to \$200,000 in funding from the Undesignated Balance in the General Fund to support this work

BOARD MEETING DATE: September 7, 2018

AGENDA NO.

**PROPOSAL:** Appropriate Funds, and Issue RFP to Assess Potential Cost and Economic Impacts of Proposed Facility-Based Mobile Source Control Measures on Warehouses and Distribution Centers

**SYNOPSIS:** On May 4, 2018, the Board directed staff to pursue development of facility based emission reduction strategies for warehouses and distribution centers including through a potential rule. The Board further directed staff to provide regular progress reports, including an assessment of potential economic impacts. To assist with this assessment, staff is proposing to release an RFP to solicit bids to estimate a range of potential costs based on hypothetical rule scenarios and the resultant impacts on freight operation, such as potential cargo diversion from local warehouses to facilities in adjacent regions. This action is to appropriate up to \$200,000 from the General Fund Undesignated (Unassigned) Fund Balance into Planning, Rule Development and Area Sources' FY 2018-19 Budget. This action is to also issue an RFP to solicit qualified bidders to assess potential cost and economic impacts of a potential rule on local warehouses.

**COMMITTEE:** Mobile Source, July 13, 2018; Recommended for Approval

**RECOMMENDED ACTIONS:**

1. Appropriate up to \$200,000 into Planning, Rule Development and Area Sources' FY 2018-19 Budget, Services and Supplies Major Object, Professional and Special Services account from the General Fund Undesignated (Unassigned) Fund Balance.
2. Approve release of RFP #P2019-02 to solicit proposals to assist staff in assessment of cost and economic impacts of a potential indirect source rule on local warehouses in an amount not to exceed \$200,000.

Wayne Nastri  
Executive Officer

PF:SR:IM:ES:ML

---

**Background**

The 2016 AQMP included a commitment for SCAQMD to assist CARB and U.S. EPA in developing Further Deployment Measures, which included local Facility-Based Mobile Source Measures (FBMSM). FBMSM are intended to reduce mobile source air pollutant emissions associated with the operation of certain types of facilities which attract mobile emission sources, such as warehouses and distribution centers, rail yards, airports, marine ports, and new development or re-development projects.

On May 4, 2018, the Board directed staff to pursue development of facility-based emission reduction strategies for warehouses and distribution centers through both voluntary and regulatory measures. The Board also directed staff to regularly report back to the Mobile Source Committee and the full Board with more detail on each proposed measure, and to provide interim assessments of the potential compliance costs and economic impacts. Specific economic factors to be assessed include potential impacts on competitiveness of the region's logistics sector, potential cargo diversion, impacts to the industrial real estate market, and regional employment.

**Proposal**

To assist with conducting the economic impact assessments of a potential warehouse rule, staff is seeking Board approval to appropriate up to \$200,000 into Planning, Rule Development and Area Sources' FY 2018-19 Budget, Services and Supplies Major Object, Professional and Special Services account from the General Fund Undesignated (Unassigned) Fund Balance.

Staff is also seeking Board approval to release an RFP to solicit qualified contractors in assisting staff with cost and economic impact assessments of regulating local warehouses and distribution centers. The Contractor(s) will classify the region's warehouses by operation type, estimate how potential costs associated with the regulation would be incurred by these facilities and associated trucking fleets, and assess the resultant impacts on freight operation, such as potential cargo diversion from local warehouses and distribution centers to facilities in nearby regions. Funds for this proposal would not exceed \$200,000.

**Bid Evaluation**

Proposals received will be evaluated by a diverse, technically-qualified panel in accordance with criteria contained in the attached RFP. The panel will make recommendations, and the final selection of the Contractor(s) will be subject to approval by the Board.

**Outreach**

In accordance with SCAQMD's Procurement Policy and Procedure, a public notice advertising the RFP and inviting bids will be published in the Los Angeles Times, the Orange County Register, the San Bernardino Sun, and Riverside County's Press Enterprise newspapers to leverage the most cost-effective method of outreach to the South Coast Basin.

Additionally, potential bidders will be notified utilizing SCAQMD's own electronic listing of certified minority vendors. Notice of the RFP will be emailed to the Black and Latino Legislative Caucuses and various minority chambers of commerce and business associations, and placed on the Internet at SCAQMD's website (<http://www.aqmd.gov>) where it can be viewed by making the selection "Grants & Bids."

Staff will additionally reach out to potential qualified bidders whose work has been cited in related literature or referred to staff by other subject experts.

**Resource Impacts**

Sufficient funds are available in the General Fund Undesignated (Unassigned) Fund Balance and, upon approval, will be appropriated into Planning, Rule Development and Area Sources' FY 2018-19 Budget for the services requested.

**Attachment**

RFP #P2019-02





## **SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT**

### **REQUEST FOR PROPOSALS**

#### **Cost and Economic Impact Analysis of Regulating Local Warehouses and Distribution Centers to Reduce Associated Vehicular Air Pollution**

**P2019-02**

South Coast Air Quality Management District (SCAQMD) requests proposals for the following purpose according to terms and conditions attached. In the preparation of this Request for Proposals (RFP) the words "Proposer," "Contractor," "Consultant," "Bidder" and "Firm" are used interchangeably.

#### **PURPOSE**

The purpose of this Request for Proposals (RFP) is to solicit qualified firms or sole practitioners to assist SCAQMD staff in performing a study to assess cost and economic impacts of regulating local warehouses and distribution centers to reduce vehicular (mobile source) air pollutant emissions associated with the operation of these facilities. One or more Contractors will classify the region's warehouses by operation type, estimate how potential costs associated with the regulation would be incurred by these facilities and associated trucking fleets, and assess the resultant impacts on freight operation, such as potential cargo diversion from local warehouses and distribution centers to facilities in adjacent regions. The Contractor(s) will report findings, results, and recommendations to SCAQMD staff. The Contractor(s) shall demonstrate knowledge of the goods movement sector in Southern California and a detailed understanding of cost decisions regarding the siting and operation of warehouses and distribution centers, and the trucking industry that serves it.

#### **INDEX - The following are contained in this RFP:**

Section I	Background/Information
Section II	Contact Person
Section III	Schedule of Events
Section IV	Participation in the Procurement Process
Section V	Statement of Work/Schedule of Deliverables
Section VI	Required Qualifications
Section VII	Proposal Submittal Requirements
Section VIII	Proposal Submission
Section IX	Proposal Evaluation/Contractor Selection Criteria
Section X	Funding
Section XI	Sample Contract

Attachment A - Participation in the Procurement Process

Attachment B - Certifications and Representations

**SECTION I: BACKGROUND/INFORMATION**

The South Coast Air Basin consists of the greater metropolitan areas of Los Angeles, Riverside, and San Bernardino Counties and all of Orange County and has some of the worst air pollution in the nation, exceeding the federal and state clean air standards for both ozone and particulate matter (PM). More than 80% of the region's emissions of nitrogen oxide (NOx), a key precursor pollutant of both ozone and PM, are forecasted to be emitted from mobile sources such as trucks, cargo handling equipment, etc. Heavy duty diesel trucks are the single largest source of NOx in the air basin, largely due to the significant goods movement and warehousing activity in the region. Warehousing activity in the region is described in an April 2018 report released by the Southern California Association of Governments (SCAG):

<http://scag.ca.gov/NewsAndMedia/Pages/PublicationsReports.aspx>

As described in SCAQMD's 2016 Air Quality Management Plan (AQMP), SCAQMD staff is developing a potential new "indirect source" rule to reduce NOx emissions from mobile sources associated with warehouses and distribution centers. Information regarding this effort can be found in the May 4, 2018 staff report to the SCAQMD Governing Board available here:

[www.aqmd.gov/docs/default-source/Agendas/Governing-Board/2018/2018-may4-032.pdf](http://www.aqmd.gov/docs/default-source/Agendas/Governing-Board/2018/2018-may4-032.pdf).

As part of the development of this rule, SCAQMD staff is evaluating the potential economic impact this rule could have on the industrial real estate market within the SCAQMD, the competitiveness of the logistics sector, potential cargo diversion, and resulting jobs impacts. The study requested in this RFP will build on the work being conducted by SCAQMD staff for this rule, and on previous work such as that conducted by SCAG referenced above. Final socioeconomic analysis for this rule will be conducted after this study is complete and before the rule is considered for approval by the SCAQMD Governing Board.

**SECTION II: CONTACT PERSON:**

Questions regarding the content or intent of this RFP or on procedural matters should be addressed to:

**Elaine Shen, Program Supervisor – Mobile Source/ISR**  
SCAQMD  
21865 Copley Drive  
Diamond Bar, CA 91765-4178  
(909) 396-2715  
eshen@aqmd.gov

**SECTION III: SCHEDULE OF EVENTS**

<b>Date</b>	<b>Event</b>
September 7, 2018	RFP Released
October 9, 2018	Proposals Due to SCAQMD - <b>No Later Than 12:01 pm</b>
October 10-12, 2018	Proposal Evaluations
December 7, 2018	Governing Board Approval
December 21, 2018	Anticipated Contract Execution

**SECTION IV: PARTICIPATION IN THE PROCUREMENT PROCESS**

It is the policy of SCAQMD to ensure that all businesses including minority business enterprises, women business enterprises, disabled veteran business enterprises and small businesses have a fair and equitable opportunity to compete for and participate in SCAQMD contracts. Attachment A to this RFP contains definitions and further information.

**SECTION V: STATEMENT OF WORK/SCHEDULE OF DELIVERABLES****Statement of Work**

One or more Contractors may submit a proposal to complete all or some of the tasks as specified in this Statement of Work. Under SCAQMD staff's direction, each of the Contractors shall provide all labor, reports, services and materials necessary to complete all or some the following tasks:

1. Prepare a technical memorandum that builds upon SCAG's warehousing report referenced in Section I of this RFP. This memorandum should provide further classification and analysis of warehouse types, with a qualitative description of how each warehouse type may respond to an indirect source rule. The proposal should outline how the Contractor will incorporate relevant industry information to fulfill this task.
2. Prepare a technical memorandum that evaluates the warehousing real estate market (capacity, growth potential, etc.) in adjacent metropolitan areas such as the high desert area of Los Angeles and San Bernardino Counties, Las Vegas, Phoenix, and other areas and how those markets could respond to a SCAQMD indirect source rule.
3. Prepare a technical memorandum profiling truck fleets that serve warehouses and distribution centers in the local and adjacent regions. The memorandum will:
  - a. Based on fleet data that can be provided confidentially by SCAQMD or other supplementary data provided by the Contractor, estimate the population and characteristics of the truck fleets carrying inbound and outbound freight from warehouses and distribution centers. To the extent data is available, fleet characteristic shall include but are not limited to fleet operation type, fleet size, vehicle age, vehicle classification, fuel technology, etc. Given the hundreds of thousands of trucks operating in the air basin, this task should present very broad categorizations of truck fleets operating at warehouses (e.g., refrigerated, over the road, less than truckload, independent owner-operators vs. large fleets, etc.)
  - b. Identify and report any business operation pattern, such as certain categories of truck fleets serving certain types of warehouses and distribution centers.

4. Prepare a technical memorandum estimating potential changes in operating costs incurred by different industries in the goods movement sector, due to accelerated fleet turnover to zero and near-zero emission technologies among those truck fleets serving SCAQMD warehouses. The memorandum will be based on up to ten hypothetical scenarios provided by SCAQMD staff. These hypothetical scenarios will include potential fleet turnover rates, vehicle technology, and technology cost assumptions. The technical memorandum should estimate how these hypothetical scenarios could impact total freight shipping cost in different freight-warehousing market segments.
5. Based on the Tasks 1-4 work products:
  - a. Conduct scenario analysis to identify freight types (e.g., specific commodities) that have the highest potential to relocate to areas outside and nearby SCAQMD's jurisdiction.
  - b. Conduct scenario analyses to identify how warehouse growth could be affected within SCAQMD's jurisdiction, including evaluating if different types of warehouses may be differentially impacted.
6. Compile all information from the completed tasks into a draft final report, which includes an Executive Summary, for SCAQMD review and comment. Respond to SCAQMD written comments and prepare a final report.
7. Attend public meetings to present analysis and findings as requested by SCAQMD.

### **Schedule of Deliverables**

Each of the Contractors shall, within two weeks of contract execution, submit a detailed work plan for completing all or some of Tasks 1-5 within nine calendar months from contract signing. The tasks to be completed should be consistent with each of the Contractor's technical proposal. All deliverables are subject to SCAQMD staff's review and approval before a task is deemed completed.

A draft final report as described in Task 6 shall be submitted within two weeks from SCAQMD's approval of all deliverables for applicable tasks in Tasks 1-5.

A final report as described also in Task 6 shall be submitted within two weeks from each of the Contractor's receipt of SCAQMD's comments on the draft final report.

During the term of the contract, each of the Contractors may be requested to conduct up to four in-person presentations at public meetings held at the SCAQMD headquarters in Diamond Bar, California. The request for an in-person presentation shall be made with a minimum two-week advanced notice.

All tasks shall be completed and approved by SCAQMD within 12 calendar months from contract execution.

### **SECTION VI: REQUIRED QUALIFICATIONS**

- A. Persons or firms proposing to bid on this proposal must be qualified and experienced in analyzing the goods movement sector in Southern California and the relation to the siting and operation of warehouse distribution centers and on truck fleet operations. They must submit qualifications demonstrating the ability to collect and analyze relevant information and data, conduct cost and economic impact evaluation, and prepare technical reports.
- B. Proposer must submit the following:

1. Resumes or similar statement of qualifications of person or persons who may be designated as lead staff for contracted tasks.
2. List of representative clients.
3. Summary of Proposer's general qualifications to meet required qualifications and fulfill Statement of Work, including additional Firm personnel and resources beyond those of the designated lead personnel.

## **SECTION VII: PROPOSAL SUBMITTAL REQUIREMENTS**

Submitted proposals must follow the format outlined below and all requested information must be supplied. Failure to submit proposals in the required format will result in elimination from proposal evaluation. SCAQMD may modify the RFP or issue supplementary information or guidelines during the proposal preparation period prior to the due date. Please check our website for updates (<http://www.aqmd.gov/grants-bids>). The cost for developing the proposal is the responsibility of the Contractor, and shall not be chargeable to SCAQMD.

Each proposal must be submitted in three separate volumes:

- Volume I - Technical Proposal
- Volume II - Cost Proposal
- Volume III - Certifications and Representations included in Attachment B to this RFP, must be completed and executed by an authorized official of the Contractor.

A separate cover letter including the name, address, and telephone number of the contractor, and signed by the person or persons authorized to represent the Firm should accompany the proposal submission. Firm contact information as follows should also be included in the cover letter:

1. Address and telephone number of office in, or nearest to, Diamond Bar, California.
2. Name and title of Firm's representative designated as contact.

A separate Table of Contents should be provided for Volumes I and II.

### **VOLUME I - TECHNICAL PROPOSAL**

#### **DO NOT INCLUDE ANY COST INFORMATION IN THE TECHNICAL VOLUME**

Summary (Section A) - State overall approach to meeting the objectives and satisfying the scope of work to be performed, the sequence of activities, and a description of methodology or techniques to be used.

Program Schedule (Section B) - Provide projected milestones or benchmarks for completing the project (to include reports) within the total time allowed.

Project Organization (Section C) - Describe the proposed management structure, program monitoring procedures, and organization of the proposed team. Provide a statement detailing your approach to the project, specifically address the Firm's ability and willingness to commit and maintain staffing to successfully complete the project on the proposed schedule.

Qualifications (Section D) - Describe the technical capabilities of the Firm. Provide references of other similar studies or projects performed during the last five years demonstrating ability to successfully complete the work. Include contact name, title, and telephone number for any references listed. Provide a statement of your Firm's background and related experience in performing similar services for other governmental organizations.

Assigned Personnel (Section E) - Provide the following information about the staff to be assigned to this project:

1. List all key personnel assigned to the project by level, name and location. Provide a resume or similar statement describing the background, qualifications and experience of the lead person and all persons assigned to the project. Substitution of project manager or lead personnel will not be permitted without prior written approval of SCAQMD.
2. Provide a spreadsheet of the labor hours proposed for each labor category at the task level.
3. Provide a statement indicating whether or not 90% of the work will be performed within the geographical boundaries of SCAQMD.
4. Provide a statement of education and training programs provided to, or required of, the staff identified for participation in the project, particularly with reference to management consulting, governmental practices and procedures, and technical matters.
5. Provide a summary of your Firm's general qualifications to meet required qualifications and fulfill statement of work, including additional Firm personnel and resources beyond those who may be assigned to the project.

Subcontractors (Section F) - This project may require expertise in multiple technical areas. List any subcontractors that will be used, identifying functions to be performed by them, their related qualifications and experience and the total number of hours or percentage of time they will spend on the project.

Conflict of Interest (Section G) - Address possible conflicts of interest with other clients affected by actions performed by the Firm on behalf of SCAQMD. SCAQMD recognizes that prospective Contractors may be performing similar projects for other clients. Include a complete list of such clients for the past three (3) years with the type of work performed and the total number of years performing such tasks for each client. Although the Proposer will not be automatically disqualified by reason of work performed for such clients, SCAQMD reserves the right to consider the nature and extent of such work in evaluating the proposal.

Additional Data (Section H) - Provide other essential data that may assist in the evaluation of this proposal.

## **VOLUME II - COST PROPOSAL**

Name and Address - The Cost Proposal must list the name and complete address of the Proposer in the upper left-hand corner.

Cost Proposal – SCAQMD anticipates awarding a fixed price contract. Cost information must be provided as listed below:

1. Detail must be provided by the following categories:

- A. Labor – The Cost Proposal must list the fully-burdened hourly rates and the total number of hours estimated for each level of professional and administrative staff to be used to perform the tasks required by this RFP. Costs should be estimated for each of the components of the work plan.
  - B. Subcontractor Costs - List subcontractor costs and identify subcontractors by name. Itemize subcontractor charges per hour or per day.
  - C. Travel Costs - Indicate amount of travel cost and basis of estimate to include trip destination, purpose of trip, length of trip, airline fare or mileage expense, per diem costs, lodging and car rental.
  - D. Other Direct Costs -This category may include such items as postage and mailing expense, printing and reproduction costs, etc. Provide a basis of estimate for these costs.
2. It is the policy of the SCAQMD to receive “most favored customer status,” which is defined to be at least as favorable pricing, warranties, conditions, benefits and terms as other customers or clients making similar purchases or receiving similar services. SCAQMD will give preference, where appropriate, to vendors who certify that they will provide “most favored customer” status to the SCAQMD. To receive preference points, Proposer shall certify that SCAQMD is receiving “most favored customer” pricing in the Business Status Certifications page of Volume III, Attachment B – Certifications and Representations.

**VOLUME III - CERTIFICATIONS AND REPRESENTATIONS** (see Attachment B to this RFP)

## **SECTION VIII: PROPOSAL SUBMISSION**

All proposals must be submitted according to specifications set forth in the section above, and this section. Failure to adhere to these specifications may be cause for rejection of the proposal.

Signature - All proposals must be signed by an authorized representative of the Proposer.

Due Date - **All proposals are due no later than 12:01 p.m., October 9, 2018, and should be directed to:**

Procurement Unit  
South Coast Air Quality Management District  
21865 Copley Drive  
Diamond Bar, CA 91765-4178  
(909) 396-3520

Submittal – Submit four (4) complete paper copies and an electronic copy of the proposal in a sealed envelope, plainly marked in the upper left-hand corner with the name and address of the Proposer and the words "Request for Proposals P2019-02." The electronic copy of the proposal shall be submitted via a CD or a memory stick.

**Late bids/proposals will not be accepted under any circumstances.**

Grounds for Rejection - A proposal may be immediately rejected if:

- It is not prepared in the format described, or
- It is signed by an individual not authorized to represent the Firm.

Modification or Withdrawal - Once submitted, proposals cannot be altered without the prior written consent of SCAQMD. All proposals shall constitute firm offers and may not be withdrawn for a period of ninety (90) days following the last day to accept proposals.

## **SECTION IX: PROPOSAL EVALUATION/CONTRACTOR SELECTION CRITERIA**

- A. Proposals will be evaluated by a panel of three to five SCAQMD staff members familiar with the subject matter of the project. The panel shall be appointed by the Executive Officer or his designee. In addition, the evaluation panel may include such outside public sector or academic community expertise as deemed desirable by the Executive Officer. The panel will make a recommendation to the SCAQMD Governing Board for final selection of a contractor and negotiation of a contract.
- B. Each member of the evaluation panel shall be accorded equal weight in his or her rating of proposals. The evaluation panel members shall evaluate the proposals according to the specified criteria and numerical weightings set forth below.



1. Proposal Evaluation Criteria

Understanding the Problem	10
Technical/Management Approach	20
Contractor Qualifications	20
Previous Experience on Similar Projects	20
Cost	<u>30</u>
<b>TOTAL</b>	<b>100</b>

Additional Points

Small Business or Small Business Joint Venture	10
DVBE or DVBE Joint Venture	10
Use of DVBE or Small Business Subcontractors	7
Low-Emission Vehicle Business	5
Local Business (Non-Federally Funded Projects Only)	5
Off-Peak Hours Delivery Business	2
Most Favored Customer	2

**The cumulative points awarded for small business, DVBE, use of small business or DVBE subcontractors, low-emission vehicle business, local business, and off-peak hours delivery business shall not exceed 15 points. Most Favored Customer status incentive points shall be added, as applicable for a total of 17 points.**

Self-Certification for Additional Points

**The award of these additional points shall be contingent upon Proposer completing the Self-Certification section of Attachment B – Certifications and Representations and/or inclusion of a statement in the proposal self-certifying that Proposer qualifies for additional points as detailed above.**

2. To receive additional points in the evaluation process for the categories of Small Business or Small Business Joint Venture, DVBE or DVBE Joint Venture or Local Business (for non-federally funded projects), the proposer must submit a self-certification or certification from the State of California Office of Small Business Certification and Resources at the time of proposal submission certifying that the proposer meets the requirements set forth in Section IV. To receive points for the use of DVBE and/or Small Business subcontractors, at least 25 percent of the total contract value must be subcontracted to DVBEs and/or Small Businesses. To receive points as a Low-Emission Vehicle Business, the proposer must demonstrate to the Executive Officer, or designee, that supplies and materials delivered to SCAQMD are delivered in vehicles that operate on either clean-fuels or if powered by diesel fuel, that the vehicles have particulate traps installed. To

receive points as a Local Business, the proposer must affirm that it has an ongoing business within the South Coast AQMD at the time of bid/proposal submittal and that 90% of the work related to the contract will be performed within the South Coast AQMD. Proposals for legislative representation, such as in Sacramento, California or Washington D.C. are not eligible for local business incentive points. Federally funded projects are not eligible for local business incentive points. To receive points as an Off-Peak Hours Delivery Business, the proposer must submit, at proposal submission, certification of its commitment to delivering supplies and materials to SCAQMD between the hours of 10:00 a.m. and 3:00 p.m. To receive points for Most Favored Customer status, the proposer must submit, at proposal submission, certification of its commitment to provide most favored customer status to the SCAQMD. The cumulative points awarded for small business, DVBE, use of Small Business or DVBE Subcontractors, Local Business, Low-Emission Vehicle Business and Off-Peak Hour Delivery Business shall not exceed 15 points.

3. For procurement of Research and Development (R & D) projects or projects requiring technical or scientific expertise or special projects requiring unique knowledge and abilities, technical factors including past experience shall be weighted at 70 points and cost shall be weighted at 30 points. A proposal must receive at least 56 out of 70 points on R & D projects and projects requiring technical or scientific expertise or special projects requiring unique knowledge and abilities, in order to be deemed qualified for award.
  4. The lowest cost proposal will be awarded the maximum cost points available and all other cost proposals will receive points on a prorated basis. For example if the lowest cost proposal is \$1,000 and the maximum points available are 30 points, this proposal would receive the full 30 points. If the next lowest cost proposal is \$1,100 it would receive 27 points reflecting the fact that it is 10% higher than the lowest cost (90% of 30 points = 27 points).
- C. During the selection process the evaluation panel may wish to interview some proposers for clarification purposes only. No new material will be permitted at this time. Additional information provided during the bid review process is limited to clarification by the Proposer of information presented in his/her proposal, upon request by SCAQMD.
  - D. The Executive Officer or Governing Board may award the contract to a Proposer other than the Proposer receiving the highest rating in the event the Governing Board determines that another Proposer from among those technically qualified would provide the best value to SCAQMD considering cost and technical factors. The determination shall be based solely on the Evaluation Criteria contained in the Request for Proposal (RFP), on evidence provided in the proposal and on any other evidence provided during the bid review process.
  - E. Selection will be made based on the above-described criteria and rating factors. The selection will be made by and is subject to Executive Officer or Governing Board approval. Proposers may be notified of the results by letter.

- F. The Governing Board has approved a Bid Protest Procedure which provides a process for a Bidder or prospective Bidder to submit a written protest to SCAQMD Procurement Manager in recognition of two types of protests: Protest Regarding Solicitation and Protest Regarding Award of a Contract. Copies of the Bid Protest Policy can be secured through a request to SCAQMD Procurement Department.
- G. The Executive Officer or Governing Board may award contracts to more than one proposer if in (his or their) sole judgment the purposes of the (contract or award) would best be served by selecting multiple proposers.
- H. If additional funds become available, the Executive Officer or Governing Board may increase the amount awarded. The Executive Officer or Governing Board may also select additional proposers for a grant or contract if additional funds become available.
- I. Disposition of Proposals – Pursuant to SCAQMD's Procurement Policy and Procedure, SCAQMD reserves the right to reject any or all proposals. All proposals become the property of SCAQMD, and are subject to the California Public Records Act. One copy of the proposal shall be retained for SCAQMD files. Additional copies and materials will be returned only if requested and at the proposer's expense.
- J. **If proposal submittal is for a Public Works project as defined by State of California Labor Code Section 1720, Proposer is required to include Contractor Registration No. in Attachment B. Proposal submittal will be deemed as non-responsive and Bidder may be disqualified if Contractor Registration No. is not included in Attachment B. Proposer is alerted to changes to California Prevailing Wage compliance requirements as defined in Senate Bill 854 (Stat. 2014, Chapter 28), and California Labor Code Sections 1770, 1771 and 1725.**

#### **SECTION X: FUNDING**

The total funding for the work contemplated by this RFP shall not exceed \$200,000.

#### **SECTION XI: SAMPLE CONTRACT**

A sample contract to carry out the work described in this RFP is available on SCAQMD's website at <http://www.aqmd.gov/grants-bids> or upon request from the RFP Contact Person (Section II).

## **ATTACHMENT A**

### **PARTICIPATION IN THE PROCUREMENT PROCESS**

- A. It is the policy of South Coast Air Quality Management District (SCAQMD) to ensure that all businesses including minority business enterprises, women business enterprises, disabled veteran business enterprises and small businesses have a fair and equitable opportunity to compete for and participate in SCAQMD contracts.

B. Definitions:

The definition of minority, women or disadvantaged business enterprises set forth below is included for purposes of determining compliance with the affirmative steps requirement described in Paragraph G below on procurements funded in whole or in part with federal grant funds which involve the use of subcontractors. The definition provided for disabled veteran business enterprise, local business, small business enterprise, low-emission vehicle business and off-peak hours delivery business are provided for purposes of determining eligibility for point or cost considerations in the evaluation process.

1. "Women business enterprise" (WBE) as used in this policy means a business enterprise that meets all of the following criteria:
  - a. a business that is at least 51 percent owned by one or more women, or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more women.
  - b. a business whose management and daily business operations are controlled by one or more women.
  - c. a business which is a sole proprietorship, corporation, or partnership with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm, or other foreign-based business.
2. "Disabled veteran" as used in this policy is a United States military, naval, or air service veteran with at least 10 percent service-connected disability who is a resident of California.
3. "Disabled veteran business enterprise" (DVBE) as used in this policy means a business enterprise that meets all of the following criteria:
  - a. is a sole proprietorship or partnership of which at least 51 percent is owned by one or more disabled veterans or, in the case of a publicly owned business, at least 51 percent of its stock is owned by one or more disabled veterans; a subsidiary which is wholly owned by a parent corporation but only if at least 51 percent of the voting stock of the parent corporation is owned by one or more disabled veterans; or a joint venture in which at least 51 percent of the joint venture's management and control and earnings are held by one or more disabled veterans.

- b. the management and control of the daily business operations are by one or more disabled veterans. The disabled veterans who exercise management and control are not required to be the same disabled veterans as the owners of the business.
  - c. is a sole proprietorship, corporation, or partnership with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, firm, or other foreign-based business.
- 4. "Local business" as used in this policy means a company that has an ongoing business within geographical boundaries of SCAQMD at the time of bid or proposal submittal and performs 90% of the work related to the contract within the geographical boundaries of SCAQMD and satisfies the requirements of subparagraph H below. Proposals for legislative representation, such as in Sacramento, California or Washington D.C. are not eligible for local business incentive points.
- 5. "Small business" as used in this policy means a business that meets the following criteria:
  - a. 1) an independently owned and operated business; 2) not dominant in its field of operation; 3) together with affiliates is either:
    - A service, construction, or non-manufacturer with 100 or fewer employees, and average annual gross receipts of ten million dollars (\$10,000,000) or less over the previous three years, or
    - A manufacturer with 100 or fewer employees.
  - b. Manufacturer means a business that is both of the following:
    - 1) Primarily engaged in the chemical or mechanical transformation of raw materials or processed substances into new products.
    - 2) Classified between Codes 311000 and 339000, inclusive, of the North American Industrial Classification System (NAICS) Manual published by the United States Office of Management and Budget, 2007 edition.
- 6. "Joint ventures" as defined in this policy pertaining to certification means that one party to the joint venture is a DVBE or small business and owns at least 51 percent of the joint venture.
- 7. "Low-Emission Vehicle Business" as used in this policy means a company or contractor that uses low-emission vehicles in conducting deliveries to SCAQMD. Low-emission vehicles include vehicles powered by electric, compressed natural gas (CNG), liquefied natural gas (LNG), liquefied petroleum gas (LPG), ethanol, methanol, hydrogen and diesel retrofitted with particulate matter (PM) traps.

8. "Off-Peak Hours Delivery Business" as used in this policy means a company or contractor that commits to conducting deliveries to SCAQMD during off-peak traffic hours defined as between 10:00 a.m. and 3:00 p.m.
9. "Benefits Incentive Business" as used in this policy means a company or contractor that provides janitorial, security guard or landscaping services to SCAQMD and commits to providing employee health benefits (as defined below in Section VIII.D.2.d) for full time workers with affordable deductible and co-payment terms.
10. "Minority Business Enterprise" as used in this policy means a business that is at least 51 percent owned by one or more minority person(s), or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more or minority persons.
  - a. a business whose management and daily business operations are controlled by one or more minority persons.
  - b. a business which is a sole proprietorship, corporation, or partnership with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm, or other foreign-based business.
  - c. "Minority person" for purposes of this policy, means a Black American, Hispanic American, Native-American (including American Indian, Eskimo, Aleut, and Native Hawaiian), Asian-Indian (including a person whose origins are from India, Pakistan, and Bangladesh), Asian-Pacific-American (including a person whose origins are from Japan, China, the Philippines, Vietnam, Korea, Samoa, Guam, the United States Trust Territories of the Pacific, Northern Marianas, Laos, Cambodia, and Taiwan).
11. "Most Favored Customer" as used in this policy means that the SCAQMD will receive at least as favorable pricing, warranties, conditions, benefits and terms as other customers or clients making similar purchases or receiving similar services.
12. "Disadvantaged Business Enterprise" as used in this policy means a business that is an entity owned and/or controlled by a socially and economically disadvantaged individual(s) as described by Title X of the Clean Air Act Amendments of 1990 (42 U.S.C. 7601 note) (10% statute), and Public Law 102-389 (42 U.S.C. 4370d)(8% statute), respectively;
  - a Small Business Enterprise (SBE);
  - a Small Business in a Rural Area (SBRA);
  - a Labor Surplus Area Firm (LSAF); or
  - a Historically Underutilized Business (HUB) Zone Small Business Concern, or a concern under a successor program.

C. Under Request for Quotations (RFQ), DVBEs, DVBE business joint ventures, small businesses, and small business joint ventures shall be granted a preference in an amount equal to 5% of the lowest cost responsive bid. Low-Emission Vehicle Businesses shall be granted a preference in an amount equal to 5 percent of the lowest cost responsive bid.

Off-Peak Hours Delivery Businesses shall be granted a preference in an amount equal to 2 percent of the lowest cost responsive bid. Local businesses (if the procurement is not funded in whole or in part by federal grant funds) shall be granted a preference in an amount equal to 2% of the lowest cost responsive bid. Businesses offering Most Favored Customer status shall be granted a preference in an amount equal to 2 percent of the lowest cost responsive bid.

- D. Under Request for Proposals, DVBEs, DVBE joint ventures, small businesses, and small business joint ventures shall be awarded ten (10) points in the evaluation process. A non-DVBE or large business shall receive seven (7) points for subcontracting at least twenty-five (25%) of the total contract value to a DVBE and/or small business. Low-Emission Vehicle Businesses shall be awarded five (5) points in the evaluation process. On procurements which are not funded in whole or in part by federal grant funds local businesses shall receive five (5) points. Off-Peak Hours Delivery Businesses shall be awarded two (2) points in the evaluation process. Businesses offering Most Favored Customer status shall be awarded two (2) points in the evaluation process.
- E. SCAQMD will ensure that discrimination in the award and performance of contracts does not occur on the basis of race, color, sex, national origin, marital status, sexual preference, creed, ancestry, medical condition, or retaliation for having filed a discrimination complaint in the performance of SCAQMD contractual obligations.
- F. SCAQMD requires Contractor to be in compliance with all state and federal laws and regulations with respect to its employees throughout the term of any awarded contract, including state minimum wage laws and OSHA requirements.
- G. When contracts are funded in whole or in part by federal funds, and if subcontracts are to be let, the Contractor must comply with the following, evidencing a good faith effort to solicit disadvantaged businesses. Contractor shall submit a certification signed by an authorized official affirming its status as a MBE or WBE, as applicable, at the time of contract execution. SCAQMD reserves the right to request documentation demonstrating compliance with the following good faith efforts prior to contract execution.
  - 1. Ensure Disadvantaged Business Enterprises (DBEs) are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, State and Local Government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
  - 2. Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.
  - 3. Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs. For Indian Tribal, State and Local Government recipients, this will include dividing total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.

4. Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
  5. Using the services and assistance of the Small Business Administration and the Minority Business Development Agency of the Department of Commerce.
  6. If the prime contractor awards subcontracts, require the prime contractor to take the above steps.
- H. To the extent that any conflict exists between this policy and any requirements imposed by federal and state law relating to participation in a contract by a certified MBE/WBE/DVBE as a condition of receipt of federal or state funds, the federal or state requirements shall prevail.
- I. When contracts are not funded in whole or in part by federal grant funds, a local business preference will be awarded. For such contracts that involve the purchase of commercial off-the-shelf products, local business preference will be given to suppliers or distributors of commercial off-the-shelf products who maintain an ongoing business within the geographical boundaries of SCAQMD. However, if the subject matter of the RFP or RFQ calls for the fabrication or manufacture of custom products, only companies performing 90% of the manufacturing or fabrication effort within the geographical boundaries of SCAQMD shall be entitled to the local business preference. Proposals for legislative representation, such as in Sacramento, California or Washington D.C. are not eligible for local business incentive points.
- J. In compliance with federal fair share requirements set forth in 40 CFR Part 33, SCAQMD shall establish a fair share goal annually for expenditures with federal funds covered by its procurement policy.



## ATTACHMENT B



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4178  
(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

### **Business Information Request**

Dear SCAQMD Contractor/Supplier:

South Coast Air Quality Management District (SCAQMD) is committed to ensuring that our contractor/supplier records are current and accurate. If your firm is selected for award of a purchase order or contract, it is imperative that the information requested herein be supplied in a timely manner to facilitate payment of invoices. In order to process your payments, we need the enclosed information regarding your account. **Please review and complete the information identified on the following pages, remember to sign all documents for our files, and return them as soon as possible to the address below:**

**Attention: Accounts Payable, Accounting Department  
South Coast Air Quality Management District  
21865 Copley Drive  
Diamond Bar, CA 91765-4178**

If you do not return this information, we will not be able to establish you as a vendor. This will delay any payments and would still necessitate your submittal of the enclosed information to our Accounting department before payment could be initiated. Completion of this document and enclosed forms would ensure that your payments are processed timely and accurately.

If you have any questions or need assistance in completing this information, please contact Accounting at (909) 396-3777. We appreciate your cooperation in completing this necessary information.

Sincerely,

Sujata Jain  
Asst. Deputy Executive Officer  
Finance

DH:tm

Enclosures: Business Information Request  
Disadvantaged Business Certification  
W-9  
Form 590 Withholding Exemption Certificate  
Federal Contract Debarment Certification  
Campaign Contributions Disclosure  
Direct Deposit Authorization



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4178  
(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

## BUSINESS INFORMATION REQUEST

Business Name	
Division of	
Subsidiary of	
Website Address	
Type of Business <i>Check One:</i>	<input type="checkbox"/> Individual <input type="checkbox"/> DBA, Name _____, County Filed in _____ <input type="checkbox"/> Corporation, ID No. _____ <input type="checkbox"/> LLC/LLP, ID No. _____ <input type="checkbox"/> Other _____

## REMITTING ADDRESS INFORMATION

Address			
City/Town			
State/Province		Zip	
Phone	(     )     -     Ext	Fax	(     )     -
Contact		Title	
E-mail Address			
Payment Name if Different			

All invoices must reference the corresponding Purchase Order Number(s)/Contract Number(s) if applicable and mailed to:

**Attention: Accounts Payable, Accounting Department  
South Coast Air Quality Management District  
21865 Copley Drive  
Diamond Bar, CA 91765-4178**

## BUSINESS STATUS CERTIFICATIONS

Federal guidance for utilization of disadvantaged business enterprises allows a vendor to be deemed a small business enterprise (SBE), minority business enterprise (MBE) or women business enterprise (WBE) if it meets the criteria below.

- is certified by the Small Business Administration or
- is certified by a state or federal agency or
- is an independent MBE(s) or WBE(s) business concern which is at least 51 percent owned and controlled by minority group member(s) who are citizens of the United States.

### Statements of certification:

As a prime contractor to SCAQMD, (name of business) will engage in good faith efforts to achieve the fair share in accordance with 40 CFR Section 33.301, and will follow the six affirmative steps listed below **for contracts or purchase orders funded in whole or in part by federal grants and contracts.**

1. Place qualified SBEs, MBEs, and WBEs on solicitation lists.
2. Assure that SBEs, MBEs, and WBEs are solicited whenever possible.
3. When economically feasible, divide total requirements into small tasks or quantities to permit greater participation by SBEs, MBEs, and WBEs.
4. Establish delivery schedules, if possible, to encourage participation by SBEs, MBEs, and WBEs.
5. Use services of Small Business Administration, Minority Business Development Agency of the Department of Commerce, and/or any agency authorized as a clearinghouse for SBEs, MBEs, and WBEs.
6. If subcontracts are to be let, take the above affirmative steps.

### **Self-Certification Verification: Also for use in awarding additional points, as applicable, in accordance with SCAQMD Procurement Policy and Procedure:**

Check all that apply:

- |   |  |
|---|--|
| <input type="checkbox"/> Small Business Enterprise/Small Business Joint Venture | <input type="checkbox"/> Women-owned Business Enterprise                               |
| <input type="checkbox"/> Local business   | <input type="checkbox"/> Disabled Veteran-owned Business Enterprise/DVBE Joint Venture |
| <input type="checkbox"/> Minority-owned Business Enterprise                     | <input type="checkbox"/> Most Favored Customer Pricing Certification                   |

Percent of ownership: \_\_\_\_\_ %

Name of Qualifying Owner(s): \_\_\_\_\_

**State of California Public Works Contractor Registration No. \_\_\_\_\_ MUST BE INCLUDED IF BID PROPOSAL IS FOR PUBLIC WORKS PROJECT.**

I, the undersigned, hereby declare that to the best of my knowledge the above information is accurate. Upon penalty of perjury, I certify information submitted is factual.

\_\_\_\_\_  
*NAME*

\_\_\_\_\_  
*TITLE*

\_\_\_\_\_  
*TELEPHONE NUMBER*

\_\_\_\_\_  
*DATE*

## **Definitions**

**Disabled Veteran-Owned Business Enterprise** means a business that meets all of the following criteria:

- is a sole proprietorship or partnership of which is at least 51 percent owned by one or more disabled veterans, or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more disabled veterans; a subsidiary which is wholly owned by a parent corporation but only if at least 51 percent of the voting stock of the parent corporation is owned by one or more disabled veterans; or a joint venture in which at least 51 percent of the joint venture's management and control and earnings are held by one or more disabled veterans.
- the management and control of the daily business operations are by one or more disabled veterans. The disabled veterans who exercise management and control are not required to be the same disabled veterans as the owners of the business.
- is a sole proprietorship, corporation, partnership, or joint venture with its primary headquarters office located in the United States and which is not a branch or subsidiary of a foreign corporation, firm, or other foreign-based business.

**Joint Venture** means that one party to the joint venture is a DVBE and owns at least 51 percent of the joint venture. In the case of a joint venture formed for a single project this means that DVBE will receive at least 51 percent of the project dollars.

**Local Business** means a business that meets all of the following criteria:

- has an ongoing business within the boundary of SCAQMD at the time of bid application.
- performs 90 percent of the work within SCAQMD's jurisdiction.

**Minority-Owned Business Enterprise** means a business that meets all of the following criteria:

- is at least 51 percent owned by one or more minority persons or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more minority persons.
- is a business whose management and daily business operations are controlled or owned by one or more minority person.
- is a business which is a sole proprietorship, corporation, partnership, joint venture, an association, or a cooperative with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm, or other foreign business.

“Minority” person means a Black American, Hispanic American, Native American (including American Indian, Eskimo, Aleut, and Native Hawaiian), Asian-Indian American (including a person whose origins are from India, Pakistan, or Bangladesh), Asian-Pacific American (including a person whose origins are from Japan, China, the Philippines, Vietnam, Korea, Samoa, Guam, the United States Trust Territories of the Pacific, Northern Marianas, Laos, Cambodia, or Taiwan).

**Small Business Enterprise** means a business that meets the following criteria:

- a. 1) an independently owned and operated business; 2) not dominant in its field of operation; 3) together with affiliates is either:
  - **A service, construction, or non-manufacturer with 100 or fewer employees, and average annual gross receipts of ten million dollars (\$10,000,000) or less over the previous three years, or**
  - A manufacturer with 100 or fewer employees.
- b. Manufacturer means a business that is both of the following:
  - 1) Primarily engaged in the chemical or mechanical transformation of raw materials or processed substances into new products.
  - 2) Classified between Codes 311000 to 339000, inclusive, of the North American Industrial Classification System (NAICS) Manual published by the United States Office of Management and Budget, 2007 edition.

**Small Business Joint Venture** means that one party to the joint venture is a Small Business and owns at least 51 percent of the joint venture. In the case of a joint venture formed for a single project this means that the Small Business will receive at least 51 percent of the project dollars.

**Women-Owned Business Enterprise** means a business that meets all of the following criteria:

- is at least 51 percent owned by one or more women or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more women.
- is a business whose management and daily business operations are controlled or owned by one or more women.
- is a business which is a sole proprietorship, corporation, partnership, or a joint venture, with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm, or other foreign business.

**Most Favored Customer** as used in this policy means that the SCAQMD will receive at least as favorable pricing, warranties, conditions, benefits and terms as other customers or clients making similar purchases or receiving similar services.

## Request for Taxpayer Identification Number and Certification

► Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Give Form to the  
requester. Do not  
send to the IRS.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.  <input type="checkbox"/> Individual/sole proprietor or single-member LLC  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.  <input type="checkbox"/> Other (see instructions) ► _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any) _____  Exemption from FATCA reporting code (if any) _____  <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
				-			-		
or									
Employer identification number									
				-					

### Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ►	Date ►
-----------	----------------------------	--------

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.



By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

## Backup Withholding

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the instructions for Part II for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships*, earlier.

## What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

**Note: ITIN applicant:** Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C corporation, or S corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

### Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation
• Individual • Sole proprietorship, or • Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	Individual/sole proprietor or single-member LLC
• LLC treated as a partnership for U.S. federal tax purposes, • LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or • LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
• Partnership	Partnership
• Trust/estate	Trust/estate

### Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947



The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

## Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

## Line 6

Enter your city, state, and ZIP code.

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at [www.SSA.gov](http://www.SSA.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/Businesses](http://www.irs.gov/Businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. Go to [www.irs.gov/Forms](http://www.irs.gov/Forms) to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to [www.irs.gov/OrderForms](http://www.irs.gov/OrderForms) to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

## What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
5. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee <sup>1</sup> The actual owner <sup>1</sup>
6. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor <sup>4</sup>
For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

**\*Note:** The grantor also must provide a Form W-9 to trustee of trust.

**Note:** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

## Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at [spam@uce.gov](mailto:spam@uce.gov) or report them at [www.ftc.gov/complaint](http://www.ftc.gov/complaint). You can contact the FTC at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see [www.IdentityTheft.gov](http://www.IdentityTheft.gov) and Pub. 5027.

Visit [www.irs.gov/IdentityTheft](http://www.irs.gov/IdentityTheft) to learn more about identity theft and how to reduce your risk.

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.



**2018 Withholding Exemption Certificate****590**

The payee completes this form and submits it to the withholding agent. The withholding agent keeps this form with their records.

**Withholding Agent Information**

Name \_\_\_\_\_

**Payee Information**

Name \_\_\_\_\_

☐ SSN or ITIN ☐ FEIN ☐ CA Corp no. ☐ CA SOS file no.

Address (apt./sta., room, PO box, or PMB no.) \_\_\_\_\_

City (if you have a foreign address, see instructions.) \_\_\_\_\_

State \_\_\_\_\_

ZIP code \_\_\_\_\_

**Exemption Reason****Check only one box.**

By checking the appropriate box below, the payee certifies the reason for the exemption from the California income tax withholding requirements on payment(s) made to the entity or individual.

☐ **Individuals — Certification of Residency:**

I am a resident of California and I reside at the address shown above. If I become a nonresident at any time, I will promptly notify the withholding agent. See instructions for General Information D, Definitions.

☐ **Corporations:**

The corporation has a permanent place of business in California at the address shown above or is qualified through the California Secretary of State (SOS) to do business in California. The corporation will file a California tax return. If this corporation ceases to have a permanent place of business in California or ceases to do any of the above, I will promptly notify the withholding agent. See instructions for General Information D, Definitions.

☐ **Partnerships or Limited Liability Companies (LLCs):**

The partnership or LLC has a permanent place of business in California at the address shown above or is registered with the California SOS, and is subject to the laws of California. The partnership or LLC will file a California tax return. If the partnership or LLC ceases to do any of the above, I will promptly inform the withholding agent. For withholding purposes, a limited liability partnership (LLP) is treated like any other partnership.

☐ **Tax-Exempt Entities:**

The entity is exempt from tax under California Revenue and Taxation Code (R&amp;TC) Section 23701 \_\_\_\_\_ (insert letter) or Internal Revenue Code Section 501(c) \_\_\_\_\_ (insert number). If this entity ceases to be exempt from tax, I will promptly notify the withholding agent. Individuals cannot be tax-exempt entities.

☐ **Insurance Companies, Individual Retirement Arrangements (IRAs), or Qualified Pension/Profit-Sharing Plans:**

The entity is an insurance company, IRA, or a federally qualified pension or profit-sharing plan.

☐ **California Trusts:**

At least one trustee and one noncontingent beneficiary of the above-named trust is a California resident. The trust will file a California fiduciary tax return. If the trustee or noncontingent beneficiary becomes a nonresident at any time, I will promptly notify the withholding agent.

☐ **Estates — Certification of Residency of Deceased Person:**

I am the executor of the above-named person's estate or trust. The decedent was a California resident at the time of death. The estate will file a California fiduciary tax return.

☐ **Nonmilitary Spouse of a Military Servicemember:**

I am a nonmilitary spouse of a military servicemember and I meet the Military Spouse Residency Relief Act (MSRRA) requirements. See instructions for General Information E, MSRRA.

**CERTIFICATE OF PAYEE: Payee must complete and sign below.**To learn about your privacy rights, how we may use your information, and the consequences for not providing the requested information, go to [ftb.ca.gov/forms](http://ftb.ca.gov/forms) and search for 1131. To request this notice by mail, call 800.852.5711.

Under penalties of perjury, I declare that I have examined the information on this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. I further declare under penalties of perjury that if the facts upon which this form are based change, I will promptly notify the withholding agent.

Type or print payee's name and title \_\_\_\_\_ Telephone (\_\_\_\_) \_\_\_\_\_

Payee's signature ► \_\_\_\_\_ Date \_\_\_\_\_

# 2017 Instructions for Form 590

## Withholding Exemption Certificate

References in these instructions are to the California Revenue and Taxation Code (R&TC).

### General Information

**Registered Domestic Partners (RDP)** – For purposes of California income tax, references to a spouse, husband, or wife also refer to a Registered Domestic Partner (RDP) unless otherwise specified. For more information on RDPs, get FTB Pub. 737, Tax Information for Registered Domestic Partners.

### A Purpose

Use Form 590, Withholding Exemption Certificate, to certify an exemption from nonresident withholding.

Form 590 does not apply to payments of backup withholding. For more information, go to [ftb.ca.gov](http://ftb.ca.gov) and search for **backup withholding**.

Form 590 does not apply to payments for wages to employees. Wage withholding is administered by the California Employment Development Department (EDD). For more information, go to [edd.ca.gov](http://edd.ca.gov) or call 888.745.3886.

**Do not** use Form 590 to certify an exemption from withholding if you are a **Seller of California real estate**. Sellers of California real estate use Form 593-C, Real Estate Withholding Certificate, to claim an exemption from the real estate withholding requirement.

**The following are excluded from withholding and completing this form:**

- The United States and any of its agencies or instrumentalities.
- A state, a possession of the United States, the District of Columbia, or any of its political subdivisions or instrumentalities.
- A foreign government or any of its political subdivisions, agencies, or instrumentalities.

### B Income Subject to Withholding

California Revenue and Taxation Code (R&TC) Section 18662 requires withholding of income or franchise tax on payments of California source income made to nonresidents of California.

Withholding is required on the following, but is not limited to:

- Payments to nonresidents for services rendered in California.
- Distributions of California source income made to domestic nonresident partners, members, and S corporation shareholders and allocations of California source income made to foreign partners and members.
- Payments to nonresidents for rents if the payments are made in the course of the withholding agent's business.
- Payments to nonresidents for royalties from activities sourced to California.

- Distributions of California source income to nonresident beneficiaries from an estate or trust.
- Endorsement payments received for services performed in California.
- Prizes and winnings received by nonresidents for contests in California.

However, withholding is optional if the total payments of California source income are \$1,500 or less during the calendar year.

For more information on withholding get FTB Pub. 1017, Resident and Nonresident Withholding Guidelines. To get a withholding publication, see Additional Information.

### C Who Certifies this Form

Form 590 is certified by the payee. California residents or entities exempt from the withholding requirement should complete Form 590 and submit it to the withholding agent before payment is made. The withholding agent is then relieved of the withholding requirements if the agent relies in good faith on a completed and signed Form 590 unless notified by the Franchise Tax Board (FTB) that the form should not be relied upon.

An incomplete certificate is invalid and the withholding agent should not accept it. If the withholding agent receives an incomplete certificate, the withholding agent is required to withhold tax on payments made to the payee until a valid certificate is received. In lieu of a completed exemption certificate, the withholding agent may accept a letter from the payee as a substitute explaining why they are not subject to withholding. The letter must contain all the information required on the certificate in similar language, including the under penalty of perjury statement and the payee's taxpayer identification number (TIN). The withholding agent must retain a copy of the certificate or substitute for at least five years after the last payment to which the certificate applies, and provide it upon request to the FTB.

If an entertainer (or the entertainer's business entity) is paid for a performance, the entertainer's information must be provided. **Do not** submit the entertainer's agent or promoter information.

The grantor of a grantor trust shall be treated as the payee for withholding purposes. Therefore, if the payee is a grantor trust and one or more of the grantors is a nonresident, withholding is required. If all of the grantors on the trust are residents, no withholding is required. Resident grantors can check the box on Form 590 labeled "Individuals — Certification of Residency."

### D Definitions

For California nonwage withholding purposes, **nonresident** includes all of the following:

- Individuals who are not residents of California.
- Corporations not qualified through the California Secretary of State (CA SOS) to do business in California or having no permanent place of business in California.
- Partnerships or limited liability companies (LLCs) with no permanent place of business in California.
- Any trust without a resident grantor, beneficiary, or trustee, or estates where the decedent was not a California resident.

Foreign refers to non-U.S.

For more information about determining resident status, get FTB Pub. 1031, Guidelines for Determining Resident Status. Military servicemembers have special rules for residency. For more information, get FTB Pub. 1032, Tax Information for Military Personnel.

### Permanent Place of Business:

A corporation has a permanent place of business in California if it is organized and existing under the laws of California or it has qualified through the CA SOS to transact intrastate business. A corporation that has not qualified to transact intrastate business (e.g., a corporation engaged exclusively in interstate commerce) will be considered as having a permanent place of business in California only if it maintains a permanent office in California that is permanently staffed by its employees.

### E Military Spouse Residency Relief Act (MSRRA)

Generally, for tax purposes you are considered to maintain your existing residence or domicile. If a military servicemember and nonmilitary spouse have the same state of domicile, the MSRRA provides:

- A spouse shall not be deemed to have lost a residence or domicile in any state solely by reason of being absent to be with the servicemember serving in compliance with military orders.
- A spouse shall not be deemed to have acquired a residence or domicile in any other state solely by reason of being there to be with the servicemember serving in compliance with military orders.

**Domicile** is defined as the one place:

- Where you maintain a true, fixed, and permanent home.
- To which you intend to return whenever you are absent.

A military servicemember's nonmilitary spouse is considered a nonresident for tax purposes if the servicemember and spouse have the same domicile outside of California and the spouse is in California solely to be with the servicemember who is serving in compliance with Permanent Change of Station orders.

California may require nonmilitary spouses of military servicemembers to provide proof that they meet the criteria for California personal income tax exemption as set forth in the MSRRRA.

Income of a military servicemember's nonmilitary spouse for services performed in California is not California source income subject to state tax if the spouse is in California to be with the servicemember serving in compliance with military orders, and the servicemember and spouse have the same domicile in a state other than California.

For additional information or assistance in determining whether the applicant meets the MSRRRA requirements, get FTB Pub. 1032.

## Specific Instructions

### Payee Instructions

Enter the withholding agent's name.

Enter the payee's information, including the TIN and check the appropriate TIN box.

You must provide a valid TIN as requested on this form. The following are acceptable TINs: social security number (SSN); individual taxpayer identification number (ITIN); federal employer identification number (FEIN); California corporation number (CA Corp no.); or CA SOS file number.

**Private Mail Box (PMB)** – Include the PMB in the address field. Write "PMB" first, then the box number. Example: 111 Main Street PMB 123.

**Foreign Address** – Follow the country's practice for entering the city, county, province, state, country, and postal code, as applicable, in the appropriate boxes. **Do not** abbreviate the country name.

**Exemption Reason** – Check the box that reflects the reason why the payee is exempt from the California income tax withholding requirement.

### Withholding Agent Instructions

**Do not** send this form to the FTB. The withholding agent retains this form for a minimum of five years or until the payee's status changes, and must provide this form to the FTB upon request.

The payee must notify the withholding agent if any of the following situations occur:

- The individual payee becomes a nonresident.
- The corporation ceases to have a permanent place of business in California or ceases to be qualified to do business in California.

- The partnership ceases to have a permanent place of business in California.
- The LLC ceases to have a permanent place of business in California.
- The tax-exempt entity loses its tax-exempt status.

If any of these situations occur, then withholding may be required. For more information, get Form 592, Resident and Nonresident Withholding Statement, Form 592-B, Resident and Nonresident Withholding Tax Statement, and Form 592-V, Payment Voucher for Resident and Nonresident Withholding.

## Additional Information

**Website:** For more information go to [ftb.ca.gov](http://ftb.ca.gov) and search for **nonwage**.

**MyFTB** offers secure online tax account information and services. For more information and to register, go to [ftb.ca.gov](http://ftb.ca.gov) and search for **myftb**.

**Telephone:** 888.792.4900 or 916.845.4900, Withholding Services and Compliance phone service

**Fax:** 916.845.9512

**Mail:** WITHHOLDING SERVICES AND COMPLIANCE MS F182  
FRANCHISE TAX BOARD  
PO BOX 942867  
SACRAMENTO CA 94267-0651

For questions unrelated to withholding, or to download, view, and print California tax forms and publications, or to access the TTY/TDD numbers, see the information below.

### Internet and Telephone Assistance

**Website:** [ftb.ca.gov](http://ftb.ca.gov)

**Telephone:** 800.852.5711 from within the United States  
916.845.6500 from outside the United States

**TTY/TDD:** 800.822.6268 for persons with hearing or speech impairments

### Asistencia Por Internet y Teléfono

**Sitio web:** [ftb.ca.gov](http://ftb.ca.gov)

**Teléfono:** 800.852.5711 dentro de los Estados Unidos  
916.845.6500 fuera de los Estados Unidos

**TTY/TDD:** 800.822.6268 para personas con discapacidades auditivas o de habla

## **Certification Regarding Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and the principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them or commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction: violation of Federal or State antitrust statute or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

---

Typed Name & Title of Authorized Representative

---

Signature of Authorized Representative Date

☐ I am unable to certify to the above statements. My explanation is attached.

---





## CAMPAIGN CONTRIBUTIONS DISCLOSURE

In accordance with California law, bidders and contracting parties are required to disclose, at the time the application is filed, information relating to any campaign contributions made to South Coast Air Quality Management District (SCAQMD) Board Members or members/alternates of the MSRC, including: the name of the party making the contribution (which includes any parent, subsidiary or otherwise related business entity, as defined below), the amount of the contribution, and the date the contribution was made. 2 C.C.R. §18438.8(b).

California law prohibits a party, or an agent, from making campaign contributions to SCAQMD Governing Board Members or members/alternates of the Mobile Source Air Pollution Reduction Review Committee (MSRC) of more than \$250 while their contract or permit is pending before SCAQMD; and further prohibits a campaign contribution from being made for three (3) months following the date of the final decision by the Governing Board or the MSRC on a donor's contract or permit. Gov't Code §84308(d). For purposes of reaching the \$250 limit, the campaign contributions of the bidder or contractor plus contributions by its parents, affiliates, and related companies of the contractor or bidder are added together. 2 C.C.R. §18438.5.

In addition, SCAQMD Board Members or members/alternates of the MSRC must abstain from voting on a contract or permit if they have received a campaign contribution from a party or participant to the proceeding, or agent, totaling more than \$250 in the 12-month period prior to the consideration of the item by the Governing Board or the MSRC. Gov't Code §84308(c).

The list of current SCAQMD Governing Board Members can be found at SCAQMD website ([www.aqmd.gov](http://www.aqmd.gov)). The list of current MSRC members/alternates can be found at the MSRC website (<http://www.cleantransportationfunding.org>).

### **SECTION I.**

**Contractor (Legal Name):** \_\_\_\_\_

DBA, Name \_\_\_\_\_, County Filed in \_\_\_\_\_

Corporation, ID No. \_\_\_\_\_

LLC/LLP, ID No. \_\_\_\_\_

**List any parent, subsidiaries, or otherwise affiliated business entities of Contractor:**  
(See definition below).

---

---

### **SECTION II.**

Has Contractor and/or any parent, subsidiary, or affiliated company, or agent thereof, made a campaign contribution(s) totaling \$250 or more in the aggregate to a current member of the South Coast Air Quality Management Governing Board or member/alternate of the MSRC in the 12 months preceding the date of execution of this disclosure?

☐ Yes    ☐ No

**If YES, complete Section II below and then sign and date the form.  
If NO, sign and date below. Include this form with your submittal.**



**Campaign Contributions Disclosure, continued:**

Name of Contributor \_\_\_\_\_

Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution
---	------------------------	----------------------

Name of Contributor \_\_\_\_\_

Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution
---	------------------------	----------------------

Name of Contributor \_\_\_\_\_

Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution
---	------------------------	----------------------

Name of Contributor \_\_\_\_\_

Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution
---	------------------------	----------------------

**I declare the foregoing disclosures to be true and correct.**

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**DEFINITIONS**

Parent, Subsidiary, or Otherwise Related Business Entity (2 Cal. Code of Regs., §18703.1(d).)

- (1) Parent subsidiary. A parent subsidiary relationship exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.
- (2) Otherwise related business entity. Business entities, including corporations, partnerships, joint ventures and any other organizations and enterprises operated for profit, which do not have a parent subsidiary relationship are otherwise related if any one of the following three tests is met:
  - (A) One business entity has a controlling ownership interest in the other business entity.
  - (B) There is shared management and control between the entities. In determining whether there is shared management and control, consideration should be given to the following factors:
    - (i) The same person or substantially the same person owns and manages the two entities;
    - (ii) There are common or commingled funds or assets;
    - (iii) The business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis;
    - (iv) There is otherwise a regular and close working relationship between the entities; or
  - (C) A controlling owner (50% or greater interest as a shareholder or as a general partner) in one entity also is a controlling owner in the other entity.



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4178

(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

## Direct Deposit Authorization

### STEP 1: Please check all the appropriate boxes

- |  |  |
|--|--|
| <input type="checkbox"/> Individual (Employee, Governing Board Member) | <input type="checkbox"/> New Request           |
| <input type="checkbox"/> Vendor/Contractor                             | <input type="checkbox"/> Cancel Direct Deposit |
| <input type="checkbox"/> Changed Information                           |  |

### STEP 2: Payee Information

Last Name	First Name	Middle Initial	Title	
Vendor/Contractor Business Name (if applicable)				
Address			Apartment or P.O. Box Number	
City	State	Zip	Country	
Taxpayer ID Number	Telephone Number		Email Address	

### Authorization

- I authorize South Coast Air Quality Management District (SCAQMD) to direct deposit funds to my account in the financial institution as indicated below. I understand that the authorization may be rejected or discontinued by SCAQMD at any time. If any of the above information changes, I will promptly complete a new authorization agreement. If the direct deposit is not stopped before closing an account, funds payable to me will be returned to SCAQMD for distribution. This will delay my payment.
- This authorization remains in effect until SCAQMD receives written notification of changes or cancellation from you.
- I hereby release and hold harmless SCAQMD for any claims or liability to pay for any losses or costs related to insufficient fund transactions that result from failure within the Automated Clearing House network to correctly and timely deposit monies into my account.

### STEP 3:

You must verify that your bank is a member of an Automated Clearing House (ACH). Failure to do so could delay the processing of your payment. You must attach a voided check or have your bank complete the bank information and the account holder must sign below.

### To be Completed by your Bank

Staple Voided Check Here	Name of Bank/Institution		
	Account Holder Name(s)		
	<input type="checkbox"/> Saving <input type="checkbox"/> Checking	Account Number	Routing Number
	Bank Representative Printed Name	Bank Representative Signature	Date
	ACCOUNT HOLDER SIGNATURE:		Date

For SCAQMD Use Only

Input By \_\_\_\_\_

Date \_\_\_\_\_



Update on CARB's Proposed Regulations

## INNOVATIVE CLEAN TRANSIT

### ZERO-EMISSION AIRPORT SHUTTLE BUS

South Coast Air Quality Management District  
Mobile Source Committee  
July 20, 2018

## Transit Buses Leading the Way

- Transit buses suitable for advanced technologies
  - Operate in congested areas where pollution is a problem
  - Centrally located and fueled
  - Communities have direct access to riding ZEVs
- Experiences aid other fleets in deploying heavy-duty zero emission vehicles and technology transfer to other sectors
- Transit agencies provide connectivity, complement smart land use and transportation planning



## Transit Buses Leading the Way to Zero Emissions

12 transit agencies with a total of over 5,000 transit buses committed to making full transition to ZEBs

- Over 60% of these buses within AQMD

Represent about 40 percent of all buses in California

Agency	Total Buses	All ZEB Target
ART	82	2020
Antelope Valley	77	2018
Foothill Transit	373	2030
LA Metro	2,452	2030
LA DOT	357	2030
Porterville Transit	20	2025
SF MTA	620*	2035
San Joaquin RTD	111	2025
SamTrans	369	2033
Santa Clara VTA	485	2033
Santa Cruz Metro	98	2040
Santa Monica BBB	200	2030
Total	5,244	

\* 327 trolley buses not included in total

3

## Zero Emission Buses in California

(132 in operation, +655 on order, awarded or planned as of May 2018)



4

## Summary of Current Proposal

- Transit agencies develop individual plans to transition to a zero-emission bus (ZEB) fleet by 2040
- ZEB purchase minimums at the time new bus purchases are made starting in 2023
  - Waiver of the initial ZEB purchase requirements if early statewide progress toward zero-emission targets in 2020
- Innovative zero-emission mobility program in lieu of ZEB purchases as well as other flexibility options
- Purchase of renewable fuels
- Purchase of low NOx engines if available for conventional bus purchases

5

## Applicability and Fleet Size

- The proposed regulation applies to any California public transit agency bus fleets containing buses with a GVWR of over 14,000 lbs.
- The proposed regulation does not apply to:
  - Trolley, rail, or overhead catenary system vehicles
  - School bus, airport shuttle, or university fleets



6

## ZEB Purchase Schedule

Calendar Year	ZEB Percentage of New Bus Purchases	
	Large Transit Agency	Small Transit Agency
2023*	25%	-
2024*	25%	-
2025	25%	-
2026	50%	25%
2027	50%	25%
2028	50%	25%
2029 and after	100%	100%

\* Potential waiver for early compliance

**Large fleet:**  $\geq 100$  active buses

**Small fleet:**  $< 100$  buses

7

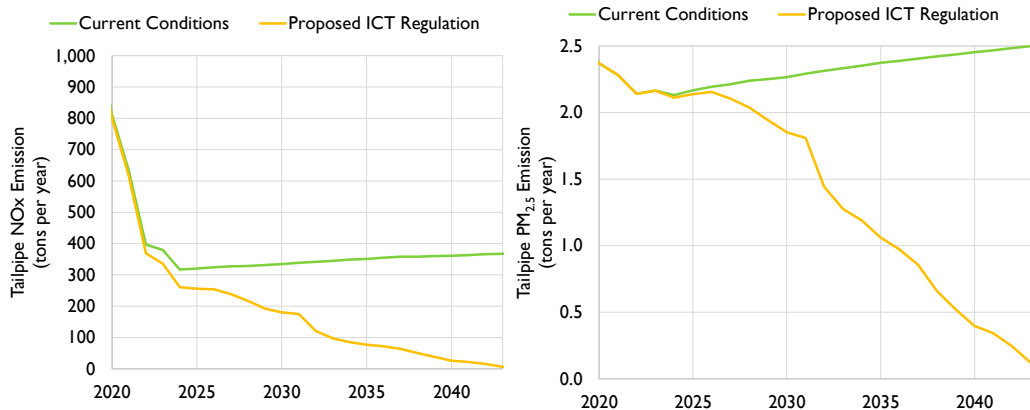
## Compliance Determination

Each December 31<sup>st</sup>, a transit agency shall achieve the ZEB purchase requirements by counting:

- Buses in operation,
- On-order, and
- Credits from early compliance or mobility

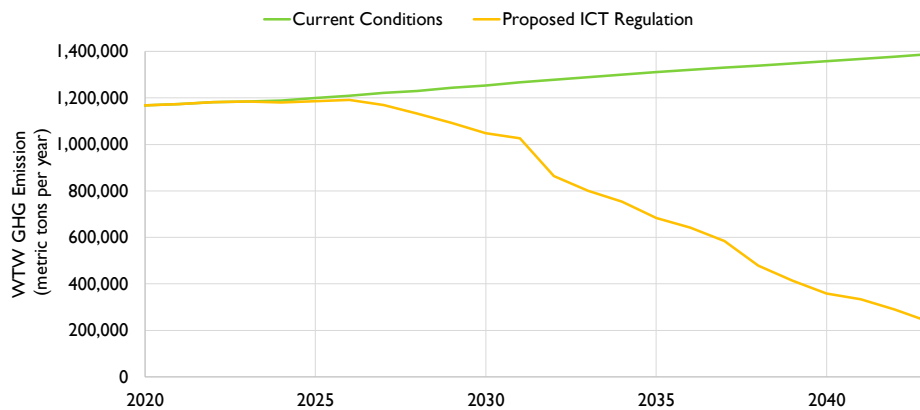
8

## Estimated Statewide Emission Impacts



9

## Estimated Statewide GHG Emission Reductions



10

## Potential Statewide ZEB Procurement

- Department of General Service is planning on a statewide ZEB procurement for transit buses
- Benefits
  - Saves transit agencies time, money, and procurement planning
  - Provides further market certainty
  - Provides a possible lower price
- Timeline
  - Request for Proposal: Summer 2018
  - Contract finalization: Winter 2018/2019
  - Contract valid period: 2-5 years

Note: School bus statewide procurement planned in the future. A timeline has not been identified yet.

11

## Innovative Clean Transit Timeline

- Comments on June 2018 proposal
  - Comments submitted to:  
[https://www.arb.ca.gov/lispub/comm2/bcsubform.php?listname=cleantransits&comm\\_period=1](https://www.arb.ca.gov/lispub/comm2/bcsubform.php?listname=cleantransits&comm_period=1)
- Board hearing to consider the regulation
  - First hearing: September 27/28 2018
    - 45-day comment period starts August 10, 2018
  - Second hearing: Winter 2018/2019
  - Anticipated rule effective date: January 1, 2020

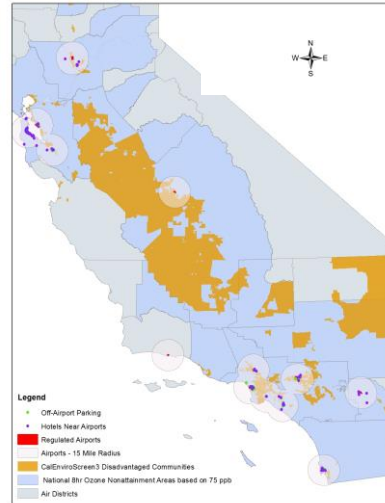
12





## Zero-Emission Airport Shuttle Bus

- Removal of harmful tailpipe emissions from shuttles will provide cleaner air for:
  - Airport staff and travelers
  - Proximate communities, including DACs
- Proposal will assist the adoption of ZEV technology in heavy duty vehicles



13

## Airports Plugging into Zero-Emission Shuttles

Airport	Battery Electric Buses
Mineta San Jose International Airport (SJC)	10
Ontario International Airport (ONT)	3
Sacramento International Airport (SMF)	5
Hartfield-Jackson Atlanta International Airport (ATL)	2
Indianapolis International Airport (IND)	6
Kansas City International Airport (MCI)	4
Raleigh-Durham Airport (RDU)	4
Amsterdam Airport Schiphol (AMS), Netherlands	35
Sydney International Airport (SYD), Australia	46

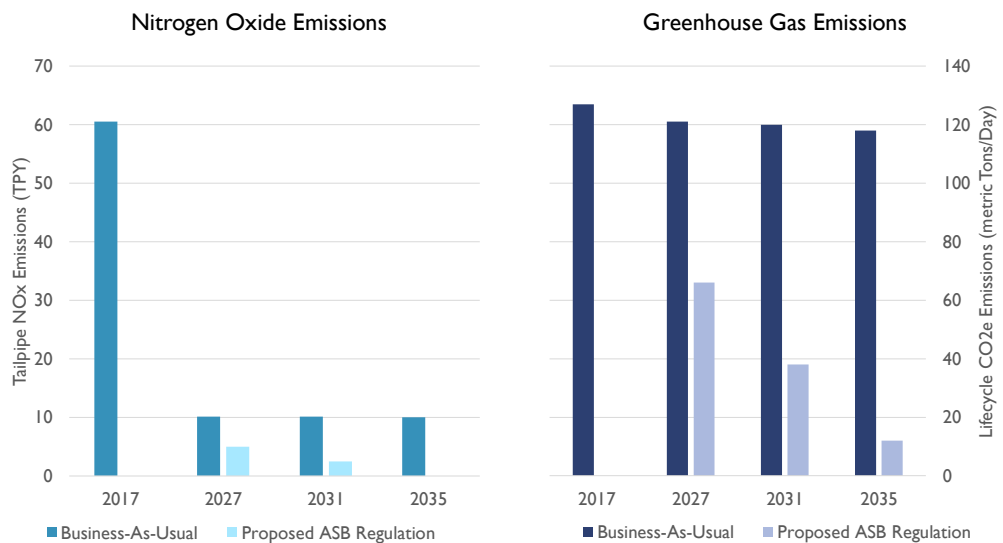
14

## Airport Shuttle Inventory: Public & Private Fleets

Vehicle Weight Class	Vehicle Type	Annual Mileage	Number of Vehicles
Class 2b-3	Van/Cutaway	10,000-68,000	280
Class 4-5	Cutaway	10,000-54,000	491
Class 7-8	32'-40' Low-Floor Bus	17,500-65,000	156
Class 8	60' Articulated Bus	4,700	21
<b>Total</b>			<b>948</b>

15

## Preliminary Statewide Emission Impacts



16

## Scope

- **Fixed route shuttles** supporting California's large, medium & small airports
  - **3 - Large:** LAX, SAN, SFO
  - **6 – Medium:** BUR, OAK, ONT, SNA, SMF, SJC
  - **4 – Small:** FAT, LGB, PSP, SBA
- What is an **airport shuttle**?
  - Heavy-duty vehicles class size 2b (8,501 lbs.) to 8 (>33,000 lbs.)
  - Transports travelers to airports and around airport facilities
    - Domiciled within 15-miles of the serviced airport
    - Fixed destination route less equal or than 30 miles

17

## Proposed Schedule for Fleet Transformation

- **2018-2022:** Incentives/voluntary actions
- **2022:** Fleets begin annual reporting
- **2023:** New ZEV purchase requirement
- **2023-2035:** Fleet turnover requirements
  - **2027:** 33% fleet must be ZEV
  - **2031:** 66% fleet must be ZEV
  - **2035:** 100% fleet must be ZEV

18

## ZEV Fleet Compliance Examples

Year	Milestone	Airport Shuttle Fleet Size						
		3	5	7	10	20	50	100
<b>Now-2022</b>	<b>Early Action</b>	Voluntary						
<b>2027</b>	<b>33% Fleet</b>	1	2	2	3	7	17	33
<b>2031</b>	<b>66% Fleet</b>	2	3	5	7	13	33	66
<b>2035</b>	<b>100% Fleet</b>	3	5	7	10	20	50	100

19

## Next Steps

- Continuing informal feedback
- Staff Report will be released October 2018
- CARB Board hearings to consider proposed regulation
  - First hearing: December 2018
  - Second hearing: Fall/Winter 2019
  - Anticipate rule effective date: January 1, 2020

20

## SB350 Transportation Electrification

- California Public Utility Commission (CPUC): <http://www.cpuc.ca.gov/sb350te>
- Accelerate widespread transportation electrification
- CPUC previously approved 15 of 16 priority review projects totaling \$42 million
- Approved standard review projects for PG&E and SCE on May 31, 2018
  - SCE approved for \$343 million
  - PG&E approved for \$236 million
  - Rebates up to 50% of the EVSE costs for transit buses and school buses
  - Similar rebates for other medium duty and heavy duty vehicles in DACs
- \$150 million SDG&E proposal to be considered separately
- CPUC ZEV Rate Design Forum: <http://www.cpuc.ca.gov/energy/electricrates/>

Revised Proposed Decision: May 25, 2018 <http://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M214/K986/214986410.pdf>

21

## State Cost Share Opportunities

- VW NOx Mitigation Funds - \$130 million

Transit Bus	School Bus	Shuttle Bus
Battery: Up to \$180,000* Fuel Cell: Up to \$400,000*	Battery: Up to \$400,000*	Battery: Up to \$160,000*

\* Not to exceed 75 percent for non-government owned or 100 percent for government owned

- HVIP and Low NOx Engine Incentives - \$193 million
  - Anticipates \$125 million for FY 18-19
  - Vouchers amount depend on vehicle size, technology, and if operating within DACs
- Carl Moyer Funds - \$ 79 million for FY 18-19
  - Additional \$245 million for FY 18-19 funds toward reducing communities exposure (AB 617)
- Transit and Intercity Rail Capital Program (TIRCP) & Low Carbon Transit Operations Program (LCTOP) funds eligible for transit buses

22

## Innovative Clean Transit

- Shirin Barfjani, Lead Staff  
[Shirin.Barfjani@arb.ca.gov](mailto:Shirin.Barfjani@arb.ca.gov)  
(916) 445-6017
- Yachun Chow, Manager  
[Yachun.Chow@arb.ca.gov](mailto:Yachun.Chow@arb.ca.gov)  
(916) 322-7450
- Web Page:  
<https://arb.ca.gov/msprog/ict/ict.htm>

## Zero-Emission Airport Shuttle

- Katherine Garrison, Lead Staff  
[Katherine.Garrison@arb.ca.gov](mailto:Katherine.Garrison@arb.ca.gov)  
(916) 322-1522
- Femi Olaluwoye, Manager  
[Olufemi.Olaluwoye@arb.ca.gov](mailto:Olufemi.Olaluwoye@arb.ca.gov)  
(626) 459-4427
- Web Page:  
<https://www.arb.ca.gov/msprog/asb/asb.htm>



**CALIFORNIA**  
AIR RESOURCES BOARD



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4182  
(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

## Rule 2202 Summary Status Report

Activity for January 1, 2018 to June 30, 2018

Employee Commute Reduction Program (ECRP)	
# of Submittals:	138

Emission Reduction Strategies (ERS)	
# of Submittals:	308

Air Quality Investment Program (AQIP) Exclusively		
County	# of Facilities	\$ Amount
Los Angeles	40	\$ 213,599
Orange	11	\$ 111,217
Riverside	0	\$ 0
San Bernardino	3	\$ 12,711
<b>TOTAL:</b>	<b>54</b>	<b>\$ 337,527</b>

ECRP w/AQIP Combination		
County	# of Facilities	\$ Amount
Los Angeles	3	\$ 11,028
Orange	0	\$ 0
Riverside	0	\$ 0
San Bernardino	1	\$ 9,253
<b>TOTAL:</b>	<b>4</b>	<b>\$ 20,281</b>

### Total Active Sites as of June 30, 2018

ECRP (AVR Surveys)			TOTAL Submittals w/Surveys	AQIP	ERS	TOTAL
ECRP <sup>1</sup>	AQIP <sup>2</sup>	ERS <sup>3</sup>				
497	16	13	526	104	731	1,361
36.52%	1.18%	0.96%	38.65%	7.64%	53.71%	100% <sup>4</sup>

### Total Peak Window Employees as of June 30, 2018

ECRP (AVR Surveys)			TOTAL Submittals w/Surveys	AQIP	ERS	TOTAL
ECRP <sup>1</sup>	AQIP <sup>2</sup>	ERS <sup>3</sup>				
372,249	5,574	11,268	389,091	15,599	328,120	732,810
50.8%	.76%	1.54%	53.1%	2.13%	44.78%	100% <sup>4</sup>

- Notes:**
1. ECRP Compliance Option.
  2. ECRP Offset (combines ECRP w/AQIP). AQIP funds are used to supplement the ECRP AVR survey shortfall.
  3. ERS with Employee Survey to get Trip Reduction credits. Emission/Trip Reduction Strategies are used to supplement the ECRP AVR survey shortfall.
  4. Totals may vary slightly due to rounding.

BOARD MEETING DATE: September 7, 2018

AGENDA NO.

REPORT: Lead Agency Projects and Environmental Documents Received By SCAQMD

SYNOPSIS: This report provides, for the Board's consideration, a listing of CEQA documents received by the SCAQMD between June 1, 2018 and June 30, 2018, and those projects for which the SCAQMD is acting as lead agency pursuant to CEQA.

COMMITTEE: The Mobile Source Committee, on July 20, 2018 reviewed the June 1 – June 30, 2018 portion of the report; while the July 1 – July 31 2018 portion has had no committee review.

RECOMMENDED ACTION:  
Receive and file.

Wayne Nastri  
Executive Officer

PF:SN:MK:DG:LW

---

**CEQA Document Receipt and Review Logs (Attachments A and B)** – Each month, the SCAQMD receives numerous CEQA documents from other public agencies on projects that could adversely affect air quality. A listing of all documents received and reviewed during the reporting period June 1, 2018 through June 30, 2018 is included in Attachment A. A list of active projects from previous reporting periods for which SCAQMD staff is continuing to evaluate or has prepared comments is included in Attachment B. A total of 99 CEQA documents were received during this reporting period and 37 comment letters were sent. A notable project in this report is the Mount Vernon Avenue Bridge Project.

The Intergovernmental Review function, which consists of reviewing and commenting on the adequacy of the air quality analysis in CEQA documents prepared by other lead agencies, is consistent with the Board's 1997 Environmental Justice Guiding Principles and Environmental Justice Initiative #4. As required by the Environmental Justice Program Enhancements for FY 2002-03 approved by the Board in October 2002, each of



the attachments notes those proposed projects where the SCAQMD has been contacted regarding potential air quality-related environmental justice concerns. The SCAQMD has established an internal central contact to receive information on projects with potential air quality-related environmental justice concerns. The public may contact the SCAQMD about projects of concern by the following means: in writing via fax, email, or standard letters; through telephone communication; as part of oral comments at SCAQMD meetings or other meetings where SCAQMD staff is present; or by submitting newspaper articles. The attachments also identify for each project the dates of the public comment period and the public hearing date, if applicable, as reported at the time the CEQA document is received by the SCAQMD. Interested parties should rely on the lead agencies themselves for definitive information regarding public comment periods and hearings as these dates are occasionally modified by the lead agency.

At the January 6, 2006 Board meeting, the Board approved the Workplan for the Chairman's Clean Port Initiatives. One action item of the Chairman's Initiatives was to prepare a monthly report describing CEQA documents for projects related to goods movement and to make full use of the process to ensure the air quality impacts of such projects are thoroughly mitigated. In response to describing goods movement, CEQA documents (Attachments A and B) are organized to group projects of interest into the following categories: goods movement projects; schools; landfills and wastewater projects; airports; general land use projects, etc. In response to the mitigation component, guidance information on mitigation measures were compiled into a series of tables relative to: off-road engines; on-road engines; harbor craft; ocean-going vessels; locomotives; fugitive dust; and greenhouse gases. These mitigation measure tables are on the CEQA webpages portion of the SCAQMD's website at: <http://www.aqmd.gov/home/regulations/ceqa/air-quality-analysis-handbook/mitigation-measures-and-control-efficiencies>. Staff will continue compiling tables of mitigation measures for other emission sources, including airport ground support equipment and other sources.

As resources permit, staff focuses on reviewing and preparing comments for projects: where the SCAQMD is a responsible agency; that may have significant adverse regional air quality impacts (e.g., special event centers, landfills, goods movement, etc.); that may have localized or toxic air quality impacts (e.g., warehouse and distribution centers); where environmental justice concerns have been raised; and those projects for which a lead or responsible agency has specifically requested SCAQMD review. If staff provided written comments to the lead agency as noted in the column "Comment Status," there is a link to the "SCAQMD Letter" under the Project Description. In addition, if staff testified at a hearing for the proposed project, a notation is provided under the "Comment Status." If there is no notation, then staff did not provide testimony at a hearing for the proposed project.

During the period June 1, 2018 through June 30, 2018, the SCAQMD received 99 CEQA documents. Of the total of 120 documents\* listed in Attachments A and B:

- 37 comment letters were sent;
- 35 documents were reviewed, but no comments were made;
- 25 documents are currently under review;
- 15 documents did not require comments (e.g., public notices);
- 0 documents were not reviewed; and
- 8 documents were screened without additional review.

\* These statistics are from June 1, 2018 to June 30, 2018 and may not include the most recent “Comment Status” updates in Attachments A and B.

Copies of all comment letters sent to lead agencies can be found on the SCAQMD’s CEQA webpage at the following internet address:

<http://www.aqmd.gov/home/regulations/ceqa/commenting-agency>.

**SCAQMD Lead Agency Projects (Attachment C)** – Pursuant to CEQA, the SCAQMD periodically acts as lead agency for stationary source permit projects. Under CEQA, the lead agency is responsible for determining the type of CEQA document to be prepared if the proposal is considered to be a “project” as defined by CEQA. For example, an Environmental Impact Report (EIR) is prepared when the SCAQMD, as lead agency, finds substantial evidence that the proposed project may have significant adverse effects on the environment. Similarly, a Negative Declaration (ND) or Mitigated Negative Declaration (MND) may be prepared if the SCAQMD determines that the proposed project will not generate significant adverse environmental impacts, or the impacts can be mitigated to less than significance. The ND and MND are written statements describing the reasons why proposed projects will not have a significant adverse effect on the environment and, therefore, do not require the preparation of an EIR.

Attachment C to this report summarizes the active projects for which the SCAQMD is lead agency and is currently preparing or has prepared environmental documentation. As noted in Attachment C, the SCAQMD continued working on the CEQA documents for four active projects during June.

#### **Attachments**

- A. Incoming CEQA Documents Log
- B. Ongoing Active Projects for Which SCAQMD Has or Will Conduct a CEQA Review
- C. Active SCAQMD Lead Agency Projects

**ATTACHMENT A\***  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

<u>SCAQMD LOG-IN NUMBER</u>	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b><i>Goods Movement</i></b>	The proposed project consists of construction of all-wheeled yard for peel-off and push-from marine terminals at the Port of Los Angeles and Port of Long Beach. The project is located at 300 South Ferry Street and 750 Eldridge Street on the southeast corner of Ferry Street and Vincent Thomas Bridge.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopharborperformance-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopharborperformance-061218.pdf</a>  Comment Period: 5/31/2018 - 6/29/2018                      Public Hearing: 6/18/2018	Notice of Preparation	Port of Los Angeles	SCAQMD staff commented on 6/12/2018
<b>LAC180605-03</b> Harbor Performance Enhancement Center Project				
<b><i>Goods Movement</i></b>	The proposed project consists of demolition of existing platforms, and construction of marine platform, mooring and breasting dolphins, marine oil terminal platform, two new breasting dolphins, and four new upland mooring dolphins. The project will also include installation of tenant topside improvements on 20.54 acres. The project is located at 799 South Seaside on the southwest corner of Miner Street and South Crescent Avenue, and at 401 Ferry Street on the southwest corner of Ferry Street and Terminal Way in the Port of Los Angeles. Reference LAC180306-04  Comment Period: N/A                      Public Hearing: N/A	Response to Comments	City of Los Angeles Harbor Department	Document reviewed - No comments sent
<b>LAC180608-01</b> Berths 238-239 [PBF Energy] Marine Oil Terminal Wharf Improvements Project				
<b><i>Goods Movement</i></b>	The proposed project consists of dredging and disposal of 38,000 cubic yards of sediment, structural improvements to stabilize the wharf, raising of up to five existing cranes, installation of five new cranes, construction of vessel servicing infrastructure with five maritime power vaults, and development of a 23-acre terminal backlands on 229 acres. The project is located on Terminal Island at Berths 226-236, on the west side of Terminal Island along the Main Channel and near the Main Channel Turning Basin in the Port of Los Angeles. Reference LAC171003-06, LAC170922-02, LAC170421-03 and LAC141231-05  Comment Period: N/A                      Public Hearing: 6/21/2018	Notice of Public Hearing	City of Los Angeles Harbor Department	Document does not require comments
<b>LAC180612-18</b> Berths 226-236 [Everport] Container Terminal Improvements Project				
<b><i>Warehouse &amp; Distribution Centers</i></b>	The proposed project consists of demolition of 1,036,371 square feet of existing buildings and construction of two warehouses totaling 1,235,340 square feet on 55.86 acres. The project is located at 10150 Lower Azusa Road on the southeast corner of Lower Azusa Road and Shirley Avenue.  Comment Period: N/A                      Public Hearing: 6/14/2018	Addendum to Final Environmental Impact Report	City of El Monte	Document reviewed - No comments sent
<b>LAC180605-02</b> Goodman Logistics Center				

*\*Sorted by Land Use Type (in order of land uses most commonly associated with air quality impacts), followed by County, then date received.*

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.



**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.



**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>Waste and Water-related</i> <b>RVC180601-04</b> Horsethief Canyon Water Reclamation Facility Upgrades and Expansion Project	The proposed project consists of construction of wastewater treatment process train. The project would also include modifications to existing headworks, new raw sewage diversion box, new dewatering system, new truck loading facility, two new pond pump stations, and new biofilter to increase the total treatment capacity from 0.5 to 0.8 million gallons per day annual average flow. The project is located at 13200 Shotgun Trail near the northeast corner of Horsethief Canyon Road and Shotgun Trail. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndhorsethiefcanyon-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndhorsethiefcanyon-061218.pdf</a>  Comment Period: 6/1/2018 - 7/2/2018 Public Hearing: 8/23/2018	Notice of Intent to Adopt a Mitigated Negative Declaration	Elsinore Valley Municipal Water District	SCAQMD staff commented on 6/12/2018
<i>Waste and Water-related</i> <b>RVC180608-02</b> San Jacinto Valley Water Banking - Enhanced Recharge and Recovery Program	The proposed project consists of construction of recharge and treatment facilities, extraction and monitoring wells, transmission and water collector pipelines, and a groundwater water bank with storage capacity of up to 90,000 acre feet on 85 acres. The project is located near the northwest corner of Ramona Expressway and Highway 74 within the cities of Hemet and San Jacinto. Reference RVC180403-14 and LAC150707-06  Comment Period: N/A Public Hearing: N/A	Final Environmental Impact Report	Eastern Municipal Water District	Document reviewed - No comments sent
<i>Waste and Water-related</i> <b>RVC180626-04</b> Edom Hill Compost Facility and Truck Climbing Lane	The proposed project consists of construction of waste composting facility that would accept up to 500 tons of food and green waste, and up to 25,000 gallons of grease trap liquid per day on 20 acres. The project is located at 69780 Edom Hill Road near the northeast corner of Varner Road and Edom Hill Road.  Comment Period: 6/25/2018 - 7/24/2018 Public Hearing: N/A	Mitigated Negative Declaration	City of Cathedral City	** Under review, may submit written comments
<i>Waste and Water-related</i> <b>RVC180628-04</b> Cactus II Feeder Transmission Pipeline	The proposed project consists of construction of a transmission pipelines of 30 to 40 inches in diameter and five miles in length. The project is located on the northeast corner of Cactus Avenue and Heacock Street in the City of Moreno Valley.  Comment Period: 6/28/2018 - 7/30/2018 Public Hearing: 9/5/2018	Notice of Intent to Adopt a Mitigated Negative Declaration	Eastern Municipal Water District	** Under review, may submit written comments

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

SCAQMD LOG-IN NUMBER PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>Utilities</i> <b>RVC180606-02</b> Circle City Substation and Mira Loma-Jefferson 66 kV Subtransmission Line Project	The proposed project consists of construction of a 66/12 kilovolt (kV) substation, six underground 12 kV distribution getaways, four 66 kV subtransmission source lines, and a 10.9-mile 66 kV subtransmission line on 19.5 acres. The project would also relocate 1.9 miles of 33 kV distribution line and install telecommunication facilities. The project is located on the southwest corner of Magnolia Avenue and East 6th Street in the City of Corona, and would also traverse through the cities of Eastvale, Norco, Chino, and Ontario in the counties of Riverside and San Bernardino. Reference RVCSBC160204-01 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deircirclecitysubstation-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deircirclecitysubstation-061218.pdf</a>	Draft Environmental Impact Report	California Public Utilities Commission	SCAQMD staff commented on 6/12/2018
	Comment Period: 6/4/2018 - 7/20/2018      Public Hearing: 6/27/2018			
<i>Utilities</i> <b>RVC180607-01</b> Circle City Substation and Mira Loma-Jefferson 66 kV Subtransmission Line Project	The proposed project consists of construction of a 66/12 kilovolt (kV) substation, six underground 12 kV distribution getaways, four 66 kV subtransmission source lines, and a 10.9-mile 66 kV subtransmission line. The project would also relocate 1.9 miles of 33 kV distribution line and install telecommunication facilities. The project is located on the southwest corner of Magnolia Avenue and East 6th Street in the City of Corona, and would also traverse through the cities of Eastvale, Norco, Chino, and Ontario in the counties of Riverside and San Bernardino. Reference RVC180606-02 and RVCSBC160204-01	Technical Data	California Public Utilities Commission	Document reviewed - No comments sent
	Comment Period: 6/4/2018 - 7/20/2018      Public Hearing: 6/27/2018			
<i>Transportation</i> <b>ORC180612-04</b> State Route 133 Improvement Project	The proposed project consists of safety improvements to State Route (SR) 133 from south of El Toro Road to SR-73 between Post Mile [PM] 3.1 to PM R4.1. The project would also include drainage improvements, widening of shoulders, addition of bike lane, and underground overhead utilities. The project traverses through the City of Laguna Beach. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndstateroute133-061318.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndstateroute133-061318.pdf</a>	Mitigated Negative Declaration	California Department of Transportation	SCAQMD staff commented on 6/13/2018
	Comment Period: 6/11/2018 - 7/10/2018      Public Hearing: 6/27/2018			
<i>Transportation</i> <b>ORC180621-02</b> Craig Park Bike Facility Project	The proposed project consists of construction of bike trails ranging from 215 feet to 3,774 feet in length on 9.7 acres. The project would also include construction of a storm water catch basin and filtration system. The project is located near the northwest corner of Associated Road and East Bastanchury Road in the City of Fullerton.	Notice of Intent to Adopt a Mitigated Negative Declaration	County of Orange	** Under review, may submit written comments
	Comment Period: 6/13/2018 - 7/13/2018      Public Hearing: N/A			

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>Institutional (schools, government, etc.)</i> <b>ORC180612-07</b> Calvary Church Master Plan	The proposed project consists of demolition of six buildings totaling 32,040 square feet, and construction of three buildings totaling 86,125 square feet on 10.84 acres. The project is located at 1010 North Tustin Avenue near the northwest corner of North Tustin Avenue and East Fruit Street.  Comment Period: 6/8/2018 - 6/27/2018      Public Hearing: 7/23/2018	Mitigated Negative Declaration	City of Santa Ana	Document reviewed - No comments sent
<i>Institutional (schools, government, etc.)</i> <b>RVC180621-05</b> North District Development Plan	The proposed project consists of construction of student housing facilities with a total of 6,000 beds on 55 acres. The project is located at 900 University Avenue near the southwest corner of Aberdeen Drive and North Campus Drive in the City of Riverside.  Comment Period: 6/19/2018 - 7/20/2018      Public Hearing: 7/3/2018	Notice of Preparation	University of California	** Under review, may submit written comments
<i>Retail</i> <b>LAC180613-01</b> Monrovia Towneplace Suites Project	The proposed project consists of construction of a 68,000-square-foot hotel with 109 rooms on 1.71 acres. The project is located at 102-140 West Huntington Drive on the southwest corner of South Myrtle Avenue and Huntington Drive.  Comment Period: 6/12/2018 - 7/11/2018      Public Hearing: 7/11/2018	Mitigated Negative Declaration	City of Monrovia	Document reviewed - No comments sent
<i>Retail</i> <b>ORC180614-02</b> Imperial Highway Commercial Center	The proposed project consists of construction of a 50,744-square-foot hotel with 91 rooms, two restaurants totaling 4,896 square feet, and a 4,800-square-foot office building on 4.24 acres. The project is located at 701 East Imperial Highway near the northeast corner of South Leslie Street and Imperial Highway.  Comment Period: 6/4/2018 - 7/9/2018      Public Hearing: N/A	Mitigated Negative Declaration	City of La Habra	Document reviewed - No comments sent

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

SCAQMD LOG-IN NUMBER PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b>Retail</b> <b>RVC180622-05</b> MA17245 (TPM37483 & CUP17004)	The proposed project consists of construction of a 2,900-square-foot gas station with six fueling dispensers, a 4,500-square-foot convenience store, a 2,100-square-foot office, and a 2,500-square-foot restaurant on 1.1 acres. The project is located on the northwest corner of Pedley Road and Ben Nevis Boulevard. Reference RVC180327-02, RVC180322-02 and RVC171108-11  Comment Period: 6/22/2018 - 7/9/2018 Public Hearing: N/A	Site Plan	City of Jurupa Valley	Document reviewed - No comments sent
<b>Retail</b> <b>RVC180628-02</b> The Merge Retail and Light Industrial Development (Project No. PLN18-20026)	The proposed project consists of construction of eight industrial and warehouse buildings totaling 336,501 square feet, and 72,600 square feet of retail uses including a gas station and car wash on 26 acres. The project is located on the northeast corner of Archibald Avenue and Limonite Avenue.  Comment Period: 6/29/2018 - 7/30/2018 Public Hearing: 7/18/2018	Notice of Preparation	City of Eastvale	** Under review, may submit written comments
<b>Retail</b> <b>RVC180628-03</b> Costco/Vineyard II Retail Development	The proposed project consists of construction of a 150,000-square-foot warehouse, a gas station with 32 pumps, a 37,000-square-foot fitness center, and 19,500 square feet of retail uses on 26 acres. The project is located on the northeast corner of Clinton Keith Road and Antelope Road.  Comment Period: 6/27/2018 - 7/26/2018 Public Hearing: 7/10/2018	Notice of Preparation	City of Murrieta	** Under review, may submit written comments
<b>Retail</b> <b>SBC180607-03</b> Archibald Oil - General Plan Amendment DRC2015-00683, Zoning Map Amendment DRC2015-00684, Design Review DRC2015-00682, Conditional Use Permit DRC2015-00681, Variance DRC2016-00831, and Minor Exception DRC2017-00879	The proposed project consists of construction of a 968-square-foot car wash, and reuse of a 1,728-square-foot service building and a 1,481-square-foot canopy with four fueling pumps on 1.22 acres. The project is located on the northeast corner of Archibald Avenue and Arrow Route. Reference SBC180508-04  Comment Period: N/A Public Hearing: N/A	Response to Comments	City of Rancho Cucamonga	Document reviewed - No comments sent

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.



**DRAFT**

SCAQMD LOG-IN NUMBER	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b>Retail</b> <b>SBC180628-01</b> 7-Eleven Convenience Store and Fuel Station (CUP-17-002, DRA-17-005 and TPM-17-001)	This document includes update to Biological Resource section, extends the public review period from April 30, 2018 to May 15, 2018, and changes the public hearing date from August 1, 2018 to August 7, 2018 for the proposed project. The proposed project consists of construction of a 3,100-square-foot convenience store with 12 fueling stations on a 1.41-acre portion of 3.82 acres. The project is located on the southwest corner of Greenspot Road and Boulder Avenue.  Comment Period: 6/29/2018 - 7/23/2018 Public Hearing: 8/7/2018	Recirculated Notice of Intent to Adopt a Mitigated Negative Declaration	City of Highland	Document reviewed - No comments sent
<b>General Land Use (residential, etc.)</b> <b>LAC180601-03</b> 713 East 5th Street Project	The proposed project consists of demolition of a 14,475-square-foot building and construction of a 33,007-square-foot building with 51 residential units on 0.13 acres. The project is located near the northwest corner of Stanford Avenue and 5th Street in the community of Central City.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nop713east5thstreet-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nop713east5thstreet-061218.pdf</a>  Comment Period: 6/1/2018 - 7/2/2018 Public Hearing: 6/13/2018	Notice of Preparation	City of Los Angeles	SCAQMD staff commented on 6/12/2018
<b>General Land Use (residential, etc.)</b> <b>LAC180601-05</b> Lakeland Apartments	The proposed project consists of construction of seven buildings totaling 170,082 square feet with 128 residential units on 5.13 acres. The project is located on the southwest corner of Lakeland Road and Carmenita Road. Reference LAC180403-05 and LAC180327-08  Comment Period: N/A Public Hearing: 6/14/2018	Notice of Public Hearing	City of Santa Fe Springs	Document does not require comments
<b>General Land Use (residential, etc.)</b> <b>LAC180605-01</b> Norwalk Boulevard Mixed-Use Development	The proposed project consists of construction of 4,054 square feet of residential uses, a 1,128-square-foot warehouse, 401 square feet of storage uses, 1,188 square feet of office uses, and 3,355 square feet of retail uses on 0.43 acres. The project is located near the southwest corner of Norwalk Boulevard and Washington Boulevard.  Comment Period: 5/30/2018 - 6/19/2018 Public Hearing: 7/9/2018	Mitigated Negative Declaration	City of Santa Fe Springs	Document reviewed - No comments sent

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>General Land Use (residential, etc.)</i> <b>LAC180614-03</b> ENV-2017-5: 1334-1356 S. Flower St.	The proposed project consists of demolition of 38,430 square feet of existing commercial uses and surface parking lots, and construction of a building with 171 residential uses and subterranean parking on 0.89 acres. The project is located near the southeast corner of Flower Street and Cameron Lane in the community of Central City.  Comment Period: 6/14/2018 - 7/16/2018                      Public Hearing: N/A	Mitigated Negative Declaration	City of Los Angeles	Document reviewed - No comments sent
<i>General Land Use (residential, etc.)</i> <b>LAC180615-01</b> 433 Vermont Apartments	The document consists of request to release funding for the proposed project. The proposed project consists of demolition of existing office building and construction of a building with 72 residential units and subterranean parking on 0.5 acres. The project is located at 433 South Vermont Avenue on the southwest corner of West 4th Street and South Vermont Avenue in the community of Wilshire. Reference LAC171205-10, LAC171110-09 and LAC170505-05  Comment Period: 6/8/2018 - 6/27/2018                      Public Hearing: N/A	Notice of Intent to Request Release of Funds	City of Los Angeles	Document reviewed - No comments sent
<i>General Land Use (residential, etc.)</i> <b>LAC180619-04</b> Tentative Tract Map (TTM) 72798	The proposed project consists of subdivision of 25 acres for future development of 28 residential units. The project is located at 800 Meadow Pass Road near the southeast corner of North Lemon Avenue and Meadow Pass Road.  Comment Period: 6/15/2018 - 7/14/2018                      Public Hearing: N/A	Site Plan	City of Walnut	** Under review, may submit written comments
<i>General Land Use (residential, etc.)</i> <b>LAC180620-01</b> Rancho Cienega Celes King III Pool Demolition Project	The proposed project consists of demolition of existing building, and construction of community playground including installation of utilities, playground, and shade structures on 0.4 acres. The project is located at 5001 Rodeo Road on the northeast corner of Rodeo Road and West Martin Luther King Jr Boulevard in the community of West Adams-Baldwin Hills-Leimert.  Comment Period: 6/21/2018 - 7/20/2018                      Public Hearing: 6/28/2018	Notice of Preparation	City of Los Angeles	** Under review, may submit written comments

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>General Land Use (residential, etc.)</i> <b>LAC180627-01</b> California Grand Village Project	The proposed project consists of construction of 253 residential units on a 4.48-acre portion of 19.36 acres. The project is located on the northeast corner of East 10th Street and North Todd Avenue.  <p style="text-align: center;">Comment Period: 6/27/2018 - 7/27/2018                      Public Hearing: 7/11/2018</p>	Notice of Preparation	City of Azusa	** Under review, may submit written comments
<i>General Land Use (residential, etc.)</i> <b>LAC180628-06</b> 3200 E. Foothill Boulevard Mixed Use Project	The proposed project consists of demolition of 29 buildings totaling 212,397 square feet, and construction of eight buildings with 550 residential units totaling 544,906 square feet and subterranean parking on 8.53 acres. The project is located at 3200 East Foothill Boulevard on the southeast corner of East Foothill Boulevard and North Kinneloa Avenue. Reference LAC180213-04  <p style="text-align: center;">Comment Period: N/A                      Public Hearing: 7/9/2018</p>	Notice of Public Hearing	City of Pasadena	Document does not require comments
<i>General Land Use (residential, etc.)</i> <b>ORC180601-02</b> Design Review 18-1089, Coastal Development Permit 18-0939	The proposed project consists of demolition of a 432-square-foot residential unit on 8,372 square feet. The project is located at 1041 Marine Drive near the southeast corner of Marine Drive and Cliff Drive.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mnddesignreview181089-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mnddesignreview181089-060518.pdf</a>  <p style="text-align: center;">Comment Period: 5/29/2018 - 6/27/2018                      Public Hearing: 6/28/2018</p>	Mitigated Negative Declaration	City of Laguna Beach	SCAQMD staff commented on 6/5/2018
<i>General Land Use (residential, etc.)</i> <b>ORC180608-07</b> Downtown Commercial Core Specific Plan	The proposed project consists of construction of 887 residential units and 300,000 square feet of commercial and office uses on 220 acres. The project is located near the northeast interchange of Interstate 5 and State Route 55. Reference ORC180410-03, ORC180330-01, ORC180216-04 and ORC160802-02  <p style="text-align: center;">Comment Period: N/A                      Public Hearing: 6/19/2018</p>	Notice of Public Hearing	City of Tustin	Document does not require comments

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**June 01, 2018 to June 30, 2018**

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>General Land Use (residential, etc.)</i> <b>ORC180612-13</b> Bolsa Row Specific Plan - Project Case No. 2017-06	This document changes the public hearing date from May 9, 2018 to June 27, 2018 for the proposed project. The proposed project consists of construction of a 122,207-square-foot hotel with 150 rooms, 20,000 square feet of public assembly area, 45,000 square feet of retail uses, and 205 residential units on six acres. The project is located on the southeast corner of Brookhurst Street and Bolsa Avenue. Reference ORC180427-01, ORC180207-01, ORC180109-06 and ORC170912-14  Comment Period: N/A Public Hearing: 6/27/2018	Revised Notice of Public Hearing	City of Westminster	Document does not require comments
<i>General Land Use (residential, etc.)</i> <b>ORC180619-03</b> Metro East Mixed-Use Overlay Zone Expansion and Elan Development Projects	The proposed project consists of construction of two buildings with a total of 603 residential units, 8,500 square feet of commercial uses, and subterranean parking on a 6.4-acre portion of 200 acres. The project is located at 1660 East First Street on the southwest corner of Mabury Street and East 1st Street.  Comment Period: 6/12/2018 - 7/27/2018 Public Hearing: 7/23/2018	Draft Subsequent Environmental Impact Report	City of Santa Ana	** Under review, may submit written comments
<i>General Land Use (residential, etc.)</i> <b>RVC180605-11</b> MA16045 (TTM37074, DA1601, & SP16001) (Rio Vista Specific Plan)	The proposed project consists of development plans and design guideline standards to allow future development of 1,363 residential units, a 12-acre park, a 13.3-acre school, and 608.6 acres of open space on 917.3 acres. The project is located on the northeast corner of Muriel Drive and Paramount Drive. Reference RVC170705-16 and RVC160422-03 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/spriovista-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/spriovista-061218.pdf</a>  Comment Period: 6/5/2018 - 6/19/2018 Public Hearing: N/A	Site Plan	City of Jurupa Valley	SCAQMD staff commented on 6/12/2018
<i>General Land Use (residential, etc.)</i> <b>RVC180605-15</b> Planning Cases P17-0929 (TM), P17-0930 (VR), P17-0931 (VR) and P17-0932 (VR) - Tentative Tract Map no. 37392	The proposed project consists of subdivision of 16.79 acres for future development of eight residential units. The project is located near the southwest corner of Overlook Parkway and Via Montecito. Reference RVC180302-06  Comment Period: N/A Public Hearing: 6/28/2018	Notice of Public Hearing	City of Riverside	Document does not require comments

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

SCAQMD LOG-IN NUMBER	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of construction of 18 buildings with 417 residential units on 21.86 acres. The project will also include 248 acres of open space. The project is located on the southeast corner of John F. Kennedy Drive and Moreno Beach Drive. Reference RVC180322-01  Comment Period: N/A Public Hearing: N/A	Response to Comments	City of Moreno Valley	Document reviewed - No comments sent
<b>RVC180608-05</b> Moreno Valley Ranch Golf Course Apartments				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of construction of 1,640 residential units, 281,397 square feet of commercial uses, a 13.8-acre park, 12.7 acres of paseo and trail uses, and 29 acres of off-site infrastructure improvements on 304 acres. The project is located at on the southeast corner of Tyler Street and Vista Del Sur. Reference RVC150303-06 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirvistadelagua-061418.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirvistadelagua-061418.pdf</a>  Comment Period: 6/8/2018 - 7/23/2018 Public Hearing: N/A	Draft Environmental Impact Report	City of Coachella	SCAQMD staff commented on 6/14/2018
<b>RVC180612-05</b> Vista Del Agua Specific Plan				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of subdivision of 14.34 acres for future development of 126 residential units. The project is located on the southeast corner of McLaughlin Road and Barnett Road. Reference RVC180404-01, RVC180313-01 and RVC180220-03  Comment Period: N/A Public Hearing: 6/20/2018	Notice of Public Hearing	City of Menifee	Document does not require comments
<b>RVC180612-14</b> McLaughlin Village - Tentative Tract Map No. 2015-250 (TM 36937), Plot Plan No. 2015-251, and Change of Zone No. CZ 2015-252				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of construction of 481 residential units, 7.1 acres of commercial and office uses, and 26.5 acres of open space on 103 acres. The project is located near the southwest corner of Redlands Boulevard and California Street. Reference SBC180406-05 and SBC180227-02  Comment Period: N/A Public Hearing: N/A	Response to Comments	City of Loma Linda	Document reviewed - No comments sent
<b>SBC180601-01</b> Special Planning Area "D" Specific Plan and Phase Three Concept Area Development Project				

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.



**DRAFT**

# - Project has potential environmental justice concerns due to the nature and/or location of the project.  
 \*\* Disposition may change prior to Governing Board Meeting  
 Documents received by the CEQA Intergovernmental Review program but not requiring review are not included in this report.

**ATTACHMENT B\***  
**ONGOING ACTIVE PROJECTS FOR WHICH SCAQMD HAS**  
**OR IS CONTINUING TO CONDUCT A CEQA REVIEW**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b>Warehouse &amp; Distribution Centers</b> <b>RVC180518-05</b> Brodiaea Commerce Center (Plot Plan PEN17-0143, Change of Zone PEN17-0144)	The proposed project consists of construction of a 261,807-square-foot warehouse on 11.8 acres. The project is located on the northwest corner of Heacock Street and Brodiaea Avenue. Reference RVC171206-02 and RVC171115-02  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirbrodiaeacommerce-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirbrodiaeacommerce-060518.pdf</a> Comment Period: 5/18/2018 - 7/2/2018 Public Hearing: N/A	Draft Environmental Impact Report	City of Moreno Valley	SCAQMD staff commented on 6/5/2018
<b>Industrial and Commercial</b> <b>LAC180517-02</b> Canyon City Business Center	The proposed project consists of demolition of existing 13,465-square-foot nursery and construction of seven industrial buildings totaling 463,316 square feet on 23.27 acres. The project is located at 1025 North Todd Avenue on the southwest corner of West Sierra Madre Avenue and North Todd Avenue. Reference LAC180221-02  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deircanyoncitybusiness-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deircanyoncitybusiness-060518.pdf</a> Comment Period: 5/17/2018 - 7/2/2018 Public Hearing: 7/25/2018	Draft Environmental Impact Report	City of Azusa	SCAQMD staff commented on 6/5/2018
<b>Industrial and Commercial</b> <b>RVC180515-05</b> Rubidoux Commercial Development Project (MA15146)	The proposed project consists of construction of nine industrial buildings totaling 306,894 square feet on 26.4 acres. The project is located on the northwest corner of 20th Street and Vandell Road. Reference RVC170425-04 and RVC151113-01  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirrubidouxcommercial-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirrubidouxcommercial-060518.pdf</a> Comment Period: 5/16/2018 - 6/29/2018 Public Hearing: N/A	Notice of Availability of a Draft Environmental Impact Report	City of Jurupa Valley	SCAQMD staff commented on 6/5/2018
<b>Industrial and Commercial</b> <b>RVC180517-01</b> Gilman Springs Mine (Surface Mining Permit No. 159, Revision No. 2, and Environmental Assessment No. 34079)	The proposed project consists of expansion of mining boundary from 150.4 to 204.8 acres and increase in extraction of mineral reserves from 14,842,574 to 44,000,000 tons. The project is located on the northeast corner of Gilman Springs Road and Bridge Street in the City of Moreno Valley.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopgilmansprings-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopgilmansprings-060518.pdf</a> Comment Period: 5/14/2018 - 6/14/2018 Public Hearing: N/A	Notice of Preparation	County of Riverside	SCAQMD staff commented on 6/5/2018
<b>Industrial and Commercial</b> <b>RVC180524-02</b> Toscana Village at Temescal Valley	The proposed project consists of construction of 15 commercial buildings totaling 194,100 square feet and a gasoline service station with 12 fueling pumps on 27 acres. The project is located on the northwest corner of Temescal Canyon Road and Indian Truck Trail in the community of Temescal Valley. Reference RVC170705-11  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirtoscanavillage-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirtoscanavillage-060518.pdf</a> Comment Period: 5/25/2018 - 7/9/2018 Public Hearing: N/A	Draft Environmental Impact Report	County of Riverside	SCAQMD staff commented on 6/5/2018

\*Sorted by Comment Status, followed by Land Use, then County, then date received.

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH SCAQMD HAS**  
**OR IS CONTINUING TO CONDUCT A CEQA REVIEW**

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>Waste and Water-related</i> <b>LAC180529-08</b> Former YRC Wilmington	The proposed project consists of development of remedial actions to clean up petroleum contaminated soil with land fill gas vapor intrusion protection system, establish land use covenant, and restrict groundwater use on 4.7 acres. The project is located at 1531 Blinn Avenue on the northwest corner of North Blinn Avenue and East Sandison Street in the community of Wilmington. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/drawformeryrc-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/drawformeryrc-061218.pdf</a> Comment Period: 5/15/2018 - 6/13/2018 Public Hearing: N/A	Revised Draft Removal Action Workplan	Department of Toxic Substances Control	SCAQMD staff commented on 6/12/2018
<i>Waste and Water-related</i> <b>ORC180515-06</b> Cherry Aerospace, 1224 E. Warner Ave., Santa Ana	The proposed project consists of development of remedial actions to reduce volatile organic compounds and 1, 4-dioxane in groundwater and soil on 15.5 acres. The project is located on the southwest corner of Beeson Lane and East Warner Avenue in the City of Santa Ana. Reference LAC150507-09 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/irmwpccherryaerospace-061218.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/irmwpccherryaerospace-061218.pdf</a> Comment Period: 5/14/2018 - 6/12/2018 Public Hearing: N/A	Interim Remedial Measures Work Plan	Department of Toxic Substances Control	SCAQMD staff commented on 6/12/2018
<i>Waste and Water-related</i> <b>ORC180523-02</b> Doheny Ocean Desalination Project	The proposed project consists of construction of ocean water desalination facility with up to 15 million gallons per day (MGD) of potable drinking water. The project would also include construction of subsurface water intake system, ocean water conveyance pipeline, concentrate (brine) disposal system, product water storage tank and distribution system, and offsite electrical transmission facilities. The project is located on the northwest corner of Camino Capistrano and Coast Highway in the City of Dana Point. Reference ORC171118-06 and ORC160315-01 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirdohenyocean-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirdohenyocean-060518.pdf</a> Comment Period: 5/23/2018 - 8/6/2018 Public Hearing: 6/26/2018	Draft Environmental Impact Report	South Coast Water District	SCAQMD staff commented on 6/5/2018
<i>Waste and Water-related</i> <b>RVC180529-07</b> Regional Water Reclamation Facility Upgrade and Expansion	The proposed project consists of upgrade and expansion of existing facility from eight million gallons per day (MGD) to 12 MGD on 56 acres. The project is located at 31315 Chaney Street near the northwest corner of Denny Drive and Reid Street in the City of Lake Elsinore. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndregionalwater-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndregionalwater-060518.pdf</a> Comment Period: 5/29/2018 - 6/28/2018 Public Hearing: 7/26/2018	Mitigated Negative Declaration	Elsinore Valley Municipal Water District	SCAQMD staff commented on 6/5/2018

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH SCAQMD HAS**  
**OR IS CONTINUING TO CONDUCT A CEQA REVIEW**

**DRAFT**

SCAQMD LOG-IN NUMBER PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b>Utilities</b> <b>RVC180516-04</b> Athos Renewable Energy Project (Conditional Use Permit No. 180001/Public Use Permit No. 180001)	The proposed project consists of construction of solar photovoltaic (PV) electrical generating and storage facility and a 11-mile 220 kilovolts (kV) generation tie transmission line on 3,400 acres. The project is located near the northeast corner of Interstate 10 and Rice Road in the community of Desert Center.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopathosrenewable-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopathosrenewable-060518.pdf</a> Comment Period: 5/11/2018 - 6/11/2018 Public Hearing: 6/4/2018	Notice of Preparation	County of Riverside	SCAQMD staff commented on 6/5/2018
<b>Institutional (schools, government, etc.)</b> <b>LAC180517-03</b> San Pedro High School Comprehensive Modernization Project	The proposed project consists of demolition of 13 buildings totaling 52,754 square feet, modernization of four buildings totaling 115,819 square feet, and construction of three buildings totaling 62,102 square feet on 22.9 acres. The project will also include a Remedial Action Workplan to remove 226 cubic yards of contaminated soil. The project is located at 1001 West 15th Street on the northeast corner of South Leland Street and West 17th Street in the community of San Pedro. Reference LAC171003-05 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirsanpedrohighschool-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirsanpedrohighschool-060518.pdf</a> Comment Period: 5/17/2018 - 7/2/2018 Public Hearing: 5/23/2018	Notice of Availability of a Draft Environmental Impact Report	Los Angeles Unified School District	SCAQMD staff commented on 6/5/2018
<b>Medical Facility</b> <b>LAC180522-03</b> Symphony at San Gabriel	The proposed project consists of construction of assisted living facility with 197 residential units and subterranean parking on 3.08 acres. The project is located at 824 South Gladys Avenue near the northeast corner of South Gladys Avenue and East Grand Avenue.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndsymphony-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mndsymphony-060518.pdf</a> Comment Period: 5/21/2018 - 6/11/2018 Public Hearing: N/A	Mitigated Negative Declaration	City of San Gabriel	SCAQMD staff commented on 6/5/2018
<b>General Land Use (residential, etc.)</b> <b>LAC180510-01</b> ENV-2017-2513-MND: 945 W. 8th Street Project	The proposed project consists of construction of a 791,843-square-foot building with 781 residential units, 84,700 square feet of open space, and subterranean parking on a 1.29-acre portion of 7.7 acres. The project is located on the northwest corner of Figueroa Street and 8th Street in the community of Central City. Reference LAC171013-01 and LAC171003-18 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mnd945w8thstreet-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/mnd945w8thstreet-060518.pdf</a> Comment Period: 5/10/2018 - 6/11/2018 Public Hearing: N/A	Mitigated Negative Declaration	City of Los Angeles	SCAQMD staff commented on 6/5/2018

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH SCAQMD HAS**  
**OR IS CONTINUING TO CONDUCT A CEQA REVIEW**

**DRAFT**

SCAQMD LOG-IN NUMBER PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i>General Land Use (residential, etc.)</i> <b>LAC180522-06</b> Beverly Hilton Specific Plan Amendment	The proposed project consists of demolition of 205,926 square feet of existing building and parking, and construction of a 973,565-square-foot hotel with 522 rooms, 140 residential units, and subterranean parking on 8.94 acres. The project would also include 5.34 acres of open space. The project is located at 9876 Wilshire Boulevard on the southeast corner of Wilshire Boulevard and Merv Griffin Way. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopbeverlyhilton-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopbeverlyhilton-060518.pdf</a> Comment Period: 5/18/2018 - 6/18/2018 Public Hearing: 6/12/2018	Notice of Preparation	City of Beverly Hills	SCAQMD staff commented on 6/5/2018
<i>General Land Use (residential, etc.)</i> <b>LAC180522-07</b> 1111 Sunset (ENV-2018-177-EIR)	The proposed project consists of demolition of 114,600 square feet of existing buildings, and construction of 218,000 square feet of commercial uses including a hotel with 98 rooms, 778 residential units totaling 776,982 square feet, and 87,525 square feet of open space on 262,437 square feet. The project is located at 1111-1115 West Sunset Boulevard on the southeast corner of Sunset Boulevard and White Knoll Drive in the community of Central City North. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nop1111sunset-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nop1111sunset-060518.pdf</a> Comment Period: 5/21/2018 - 6/20/2018 Public Hearing: 5/30/2018	Notice of Preparation	City of Los Angeles	SCAQMD staff commented on 6/5/2018
<i>General Land Use (residential, etc.)</i> <b>LAC180522-08</b> Connect Southwest LA: TOD Specific Plan for West Athens-Westmont (Project No. 2016-000317, Plan No. 2016002080)	The proposed project consists of construction of 1,061 residential units and 1.7 million square feet of commercial and retail uses on a 473-acre portion of 658 acres. The project is located on the northwest corner of West 121st Street and Vermont Avenue within the communities of West Athens and Westmont. Reference LAC170519-01 <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirconnectsouthwest-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/deirconnectsouthwest-060518.pdf</a> Comment Period: 5/16/2018 - 6/29/2018 Public Hearing: N/A	Draft Environmental Impact Report	County of Los Angeles	SCAQMD staff commented on 6/5/2018
<i>General Land Use (residential, etc.)</i> <b>LAC180525-02</b> 2143 Violet Street (ENV-2017-438-EIR)	The proposed project consists of demolition of two existing buildings totaling 6,844 square feet, and construction of 302,604 square feet of residential uses with 347 units, 187,374 square feet of office uses, 21,858 square feet of retail uses, and subterranean parking on 2.2 acres. The project is located at 2117-2147 East Violet Street and 2118-2142 East 7th Place near the southeast corner of Santa Fe Avenue and 7th Place in the community of Central City North. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nop2143violetstreet-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nop2143violetstreet-060518.pdf</a> Comment Period: 5/25/2018 - 6/25/2018 Public Hearing: 6/14/2018	Notice of Preparation	City of Los Angeles	SCAQMD staff commented on 6/5/2018
<i>General Land Use (residential, etc.)</i> <b>LAC180530-01</b> North Business Park Specific Plan	The proposed project consists of construction of 1,017 residential units and 1,631,392 square feet of retail, commercial, business park, and office uses on 128.63 acres. The project is located on the northwest corner of Lindero Canyon Road and Highway 101. <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopnorthbusinesspark-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopnorthbusinesspark-060518.pdf</a> Comment Period: 5/30/2018 - 6/29/2018 Public Hearing: 6/12/2018	Notice of Preparation	City of Westlake Village	SCAQMD staff commented on 6/5/2018

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

**DRAFT**

<u>SCAQMD LOG-IN NUMBER</u>	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of construction of a 126,000-square-foot senior living facility with 250 beds on 4.99 acres. The project is located on the southeast corner of Lakeview Avenue and Mariposa Avenue. Reference ORC170505-06  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/dseirlakeviewseniorliving-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/dseirlakeviewseniorliving-060518.pdf</a>	Draft Subsequent Environmental Impact Report	City of Yorba Linda	SCAQMD staff commented on 6/5/2018
<b>ORC180522-09</b> Lakeview Senior Living	Comment Period: 5/18/2018 - 6/2/2017                      Public Hearing: N/A			
<b>General Land Use (residential, etc.)</b>	The proposed project consists of construction of 1,500 residential units, a 2.3-acre electrical substation, a 14.5-acre elementary school, 378,970 square feet of commercial and retail uses, and 33.1 acres of open space on 214.7 acres. The project is located near the southeast corner of Varner Road and Ramon Road in the community of Western Coachella Valley.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopiveypalms-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopiveypalms-060518.pdf</a>	Notice of Preparation	County of Riverside	SCAQMD staff commented on 6/5/2018
<b>RVC180523-04</b> Ivey Palms Specific Plan (GPA01133, CZ07893, SP00392, and TR37434)	Comment Period: 5/9/2018 - 6/22/2018                      Public Hearing: 6/18/2018			
<b>General Land Use (residential, etc.)</b>	The proposed project consists of construction of 168 residential units, 14.5 acres of commercial and retail uses including a hotel with 130 rooms, 5.5 acres of drainage basin, 6.5 acres of floodway, 5.3 acres of roadways, a gas station with 16 fueling pumps, and 9.6 acres of open space on 72.5 acres. The project is located on the southwest corner of Nichols Road and El Toro Road.  <a href="http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopnicholsranch-060518.pdf">http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2018/nopnicholsranch-060518.pdf</a>	Notice of Preparation	City of Lake Elsinore	SCAQMD staff commented on 6/5/2018
<b>RVC180525-01</b> Nichols Ranch Specific Plan (Planning Application No. 2017-29 and Specific Plan No. 2018-01)	Comment Period: 5/24/2018 - 6/24/2018                      Public Hearing: 6/14/2018			

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

\*\* Disposition may change prior to Governing Board Meeting

**ATTACHMENT C**  
**ACTIVE SCAQMD LEAD AGENCY PROJECTS**  
**THROUGH JUNE 30, 2018**

PROJECT DESCRIPTION	PROPONENT	TYPE OF DOCUMENT	STATUS	CONSULTANT
The Phillips 66 (formerly ConocoPhillips) Los Angeles Refinery Ultra Low Sulfur Diesel project was originally proposed to comply with federal, state and SCAQMD requirements to limit the sulfur content of diesel fuels. Litigation against the CEQA document was filed. Ultimately, the California Supreme Court concluded that the SCAQMD had used an inappropriate baseline and directed the SCAQMD to prepare an EIR, even though the project has been built and has been in operation since 2006. The purpose of this CEQA document is to comply with the Supreme Court's direction to prepare an EIR.	Phillips 66 (formerly ConocoPhillips), Los Angeles Refinery	Environmental Impact Report (EIR)	The Notice of Preparation/Initial Study (NOP/IS) was circulated for a 30-day public comment period on March 26, 2012 to April 26, 2012. The consultant submitted the administrative Draft EIR to SCAQMD in late July 2013. The Draft EIR was circulated for a 45-day public review and comment period from September 30, 2014 to November 13, 2014. Two comment letters were received and the consultant has prepared responses to comments which are undergoing SCAQMD review.	Environmental Audit, Inc.
Quemetco is proposing to modify existing SCAQMD permits to allow the facility to recycle more batteries and to eliminate the existing daily idle time of the furnaces. The proposed project will increase the rotary feed drying furnace feed rate limit from 600 to 750 tons per day and increase the amount of total coke material allowed to be processed. In addition, the project will allow the use of petroleum coke in lieu of or in addition to calcined coke, and remove one existing emergency diesel-fueled internal combustion engine (ICE) and install two new emergency natural gas-fueled ICEs.	Quemetco	Environmental Impact Report (EIR)	A Notice of Preparation/Initial Study (NOP/IS) has been prepared by the consultant and SCAQMD staff has provided comments. The consultant has provided a revised NOP/IS which is undergoing SCAQMD review before public release.	Trinity Consultants



**ATTACHMENT C**  
**ACTIVE SCAQMD LEAD AGENCY PROJECTS**  
**THROUGH JUNE 30, 2018**

PROJECT DESCRIPTION	PROPONENT	TYPE OF DOCUMENT	STATUS	CONSULTANT
Southern California Edison (SCE) is proposing to modify the air pollution control system for the Barre Peaker unit to repair current and prevent future water damage by: 1) decreasing the water-injection rate into the turbine's combustor; 2) replacing the oxidation catalyst and increasing the overall area of catalyst beds in the selective catalytic reduction (SCR) unit; 3) replacing the ammonia injection grid to improve the deliverability of ammonia to the catalyst; and, 4) increasing the concentration of the aqueous ammonia that is delivered to the facility, stored on-site, and injected into the SCR unit from 19% to 29%. In addition, SCE is proposing to revise its SCAQMD Title V Operating Permit to allow the turbine to generate power over its full operating range, from less than one megawatt (MW) to full load (e.g., 45 MW net), while continuing to meet the emission limits in the current permit.	Southern California Edison	Addendum to the April 2007 Final Mitigated Negative Declaration for the Southern California Edison Barre Peaker Project in Stanton	A draft Addendum has been prepared by the consultant and SCAQMD staff has provided comments. The consultant has revised the Addendum which is undergoing SCAQMD staff review.	Yorke Engineering, LLC
Southern California Edison (SCE) is proposing to modify the air pollution control system for the Mira Loma Peaker unit to repair current and prevent future water damage by: 1) decreasing the water-injection rate into the turbine's combustor; 2) replacing the oxidation catalyst and increasing the overall area of catalyst beds in the Selective Catalytic Reduction (SCR) unit; 3) replacing the ammonia injection grid to improve the deliverability of ammonia to the catalyst; and, 4) increasing the concentration of the aqueous ammonia that is delivered to the facility, stored on-site, and injected into the SCR unit from 19% to 29%. In addition, SCE is proposing to revise its SCAQMD Title V Operating Permit to allow the turbine to generate power over its full operating range, from less than one megawatt (MW) to full load (e.g., 45 MW net), while continuing to meet the emission limits in the current permit.	Southern California Edison	Addendum to the April 2007 Final Mitigated Negative Declaration for the Southern California Edison Mira Loma Peaker Project in Ontario	A draft Addendum has been prepared by the consultant and SCAQMD staff has provided comments. The consultant has revised the Addendum which is undergoing SCAQMD staff review.	Yorke Engineering, LLC