South Coast Air Quality Management District

Form 2007-3
Regional Clean Air Incentives Market Trading Credits (RTCs) Owner Identification

ONLY TO BE USED AS AN ATTACHMENT TO FORM 2007-2

E-Mail To:
reclaim_q&a@aqmd.gov
OR
Mail To:
SCAQMD, RECLAIM Administration – RTC Transfers
P.O. Box 4830
Diamond Bar, CA 91765-0830
Tel: (909) 396-3119, **www.aqmd.gov**

Section I – Account Information

Name of Seller/Transferor _____ **Account I.D. #** _____

(As Listed in Form 2007-2)

(1) For Pooled Transactions/Market – ONLY Complete Part A (Table 1 and Table 2) of this form.
(2) For Seller as agent, broker, or other intermediary representing the RTC Owner – ONLY Complete Part B of this form.

Part A: Pooled Transactions/Market Participant Identification: Completion Date of Pooled Transactions/Market: _____

(Attach a separate form if needed.)

Table 1: Identifications of RTC Buyers Purchasing RTCs Through Pooled Transactions/Market

Buyer Name	Buyer Account I.D. #	Terms of RTC Transferred			Original Zone (Coastal or Inland)	Quantity (Pounds/Year)	Price ◊ ($/Pound)
		Cycle	From Compliance Year*	To Compliance Year*			

(Attach a separate form if needed.)

Table 2: Identifications of RTC Owners Selling RTCs Through Pooled Transactions/Market

RTC Owner Name	RTC Owner Account I.D.#	Terms of RTC Transferred			Original Zone (Coastal or Inland)	Quantity (Pounds/Year)	Price ◊ ($/Pound)
		Cycle	From Compliance Year*	To Compliance Year*			

Part B: Identifications of RTC Owners when Seller is an Intermediary for the RTC Owner

(Attach a separate form if needed.)

RTC Owner Name	RTC Owner Account I.D.#	Terms of RTC Transferred			Original Zone (Coastal or Inland)	Quantity (Pounds/Year)	Price ◊ ($/Pound)
		Cycle	From Compliance Year*	To Compliance Year*			

* Fill in the expiration date of the RTCs to be transferred. In the "From Compliance Year" column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" column is used to enter multiple year transactions of identical RTCs of same zone, quantity, and price in a single line. Fill in the expiration date of the last compliance year. If the transaction is for a single year RTC, mark this column "Single Year Trade". When transferring perpetual stream of RTCs, mark this column "All Years After". Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.

◊ For Infinite-Year-Block transaction, report total value of transaction.

Section II – Certification of Owner or Officer

I certify that I am authorized to make this submission on behalf of the Seller/Transferor of the RTCs listed herein and the attached Form 2007-2. I certify that the statements are true

Reported by *(Print Name)* _____ **Title** _____ **Signature** _____

Company Name _____ **Date and Place** _____

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