South Coast Air Quality Management District

Form 2007-4A

## Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs

Name of Buyer†\_\_\_\_\_

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

Account I.D. #

Tel: (909) 396-3119 www.aqmd.gov

<sup>†</sup>Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B.

			Λ	*1D#		
Name of Seller <sup>†</sup>	Accoun	Account I.D. #				
If Intermediary: Intermediary Name Clear Energy Brokerage & Consulting LLC				Account I.D. #700204		
Contingent Right to:   Purchase O Se	Right to:  ● Purchase O Sell O Swap			Premium paid for this contract:		
Reason for this report:   Initial   Extension   Modification (Circle items modified)						
(If not initial, provide initial agreement date):	\$653,739.00	)				
Date when this agreement was reached:	Expiration Date of Contingent Right Agreement: 08/21/2023					
→ Attach agreement for contingent right to purchase						
Pollutant:   NOx or OSOx (Identify one pollutant)	utant only)					
Is this an Infinite-Year-Block RTCs transaction?	O Yes [Total Va	ue of Transac	tion \$_N/A	<sup>†</sup>	No	
				ch a separate form i	f more spaces are needed)	
Terms of RTC Transferred	Original Zone Maxin	num -	. •	Origin of Credits		
	(Coastal or Quar Inland) (Pounds	tity (\$/P/	ice ound)			
3 06/30/2022 Single Year Trade Eit	ther UPto 1594	195 TB	D Rule No	.: Reg XX		
12/31 /2022 Single Year Trade EH	ther upto 444	94 T B	Rule No	.: Reg XX		
2 06/20/2023 Single Year Trade E	ther lipto139	84 TB	D Rule No	.: Reg XX		
2 06/30/2023 Single Year Trade E	ther upto250	00 TB1	Rule No	: Reg XX		
1 12/31/2022 Single Year Trade E	ther who so	000 TB	Rule No	Reg XX		
⇒ 06/30/2023 Single Year Trade EH	ther uptul500	900 פיד 000	D Rule No	∴ Reg XX		
For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column.						
* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.						
I understand that this is only a report to the AQMD of the agreement of a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the exercise of the contingent right. I certify that the statements are true, accurate, and complete to the best of my knowledge.						
Christine Grandstaff Dicector						
Reported by (Print Name) Title				L	-	
Clear Energy Brokerage & Consulting LLC	C	07/27/2021, San Juan Capistrano,				
Company Name		Date and Place				

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South Coast Air Quality Management District

## Form 2007-4A Regional Clean Air Incentives

Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

<sup>†</sup>Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B.

Name of Buyer <sup>†</sup>							Account I.D. #	
Name of	Name of Seller† Account I.D. #							
If Intermediary: Intermediary Name Clear Energy Brokerage & Consulting LLC							Account I.D. #	700204
Conting	Contingent Right to:   Purchase   Sell   Swap			Premium paid for this contract:				
Reason for this report: O Initial O Extension Modification (Circle items modified)								
(If not initial, provide initial agreement date): 07/20/2021 \$878,739.00								
Date when this agreement was reached:  11/15/2021  Expiration Date of Contingent Right Agreement 08/21/2023						gent Right Agreement:		
.————————————————————————————————————	h agreement for con	itingent right to purc	hase	×	4		ANNELS SEE	100000000000000000000000000000000000000
Pollutant:   NOx or OSOx (Identify one pollutant only)								
Is this an Infinite-Year-Block RTCs transaction? O Yes [Total Value of Transaction \$_N/A]    • No								
ls this ar	n Infinite-Year-Bloo	ck RTCs transaction	on? OYes[	Total Value of	Transac	tion \$_N		
ls this ar	n Infinite-Year-Bloo Terms of RTC Tran					Ámy. Car		
ls this ar			Original Zone (Coastal or Inland)	Total Value of  Maximum Quantity (Pounds/year)	P	rice ound)	(Attach a separate f	
	Terms of RTC Tran From Compliance	sferred To Compliance	Original Zone (Coastal or	Maximum Quantity	P	rice <sup>©</sup> ound)	(Attach a separate f	orm if more spaces are needed)
Cycle	Terms of RTC Tran From Compliance Year *	sferred To Compliance Year *	Original Zone (Coastal or Inland)	Maximum Quantily (Pounds/year)	P. (\$/P	rice ound)	(Attach a separate fo	orm if more spaces are needed)
Cycle 2	Terms of RTC Tran From Compliance Year * 6/30/2022	To Compliance Year * Single Year Trade	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	P. (\$ <i>I</i> P) <b>TBD</b> N	ound)  I/A	(Attach a separate for Original Rule No.: Reg XX	orm if more spaces are needed)
Cycle 2	From Compliance Year * 6/30/2022 12/31/2022	To Compliance Year * Single Year Trade Single Year Trade Single Year Trade	Original Zone (Coastal or Inland) Either	Maximum Quantity (Pounds/year)	PI (S/P TBD N	rice ound) I/A I/A I/A	(Attach a separate for Original Rule No.: Reg XX Rule No.: Reg XX	orm if more spaces are needed)
Cycle 2 1 2	From Compliance Year * 6/30/2022 12/31/2022 6/30/2023	To Compliance Year * Single Year Trade Single Year Trade Single Year Trade Single Year Trade	Original Zone (Coastal or Inland) Either Either	Maximum Quantity (Pounds/year) US 159495 US 44494 US 13984	TBD N	irice ound)  I/A  I/A  I/A	(Attach a separate for Original Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX	orm if more spaces are needed)
Cycle 2 1 2 2	From Compliance Year * 6/30/2022 12/31/2022 6/30/2023 6/30/2022	To Compliance Year * Single Year Trade Single Year Trade Single Year Trade Single Year Trade	Original Zone (Coastal or Inland) Either Either Either Either Either	Maximum Quantity (Pounds/year)  4 159495  4 44494  4 13984  25000	TBD N TBD N TBD N TBD N	rice ound)  I/A  I/A  I/A  I/A	(Attach a separate for Coriginal Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX	orm if more spaces are needed)

\* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

	e the RTC Transaction Register Cordance with Rule 2007 wit				
Christine Grandstaff	Director				
Reported by (Print Name)	Title	Signature			
Clear Energy Brokerage & Consulting LLC		11/22/2021, San Juan Capistrano, CA			
Company Name		Date and Place			