

O Seller

O Buyer

Mail To:

SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

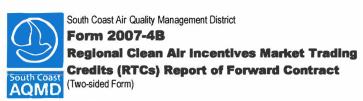
> Tel: (909) 396-3119 www.aqmd.gov

†Rule 2007 (e)(2)(I) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

Both Buyer and Seller

If claiming confidentiality of identity, check the appropriate box and complete the front and reverse sides of this form:

Name o	f Buyer†			Account I.D. #							
		(Do not fill-out this line if clai	ming confidentiality of Bu	yer – see reverse side o	f form)						
Name of Seller†											
If Intermediary:											
Intermediary Name Account I.D. #											
Forwar	d Contract to:) Purchase () Sell	O Swap		Premiu	um paid for this contract:				
Reason for this report: O Initial		Extension O Modification (Circle items modified)									
(If not initial, provide initial agreement date):											
Date w	hen this agreemer	nt was reached:	11/16/2020		_	Date when the RTCs are to be delivered: 01/04/2022					
→ Attach agreement for contingent right to purchase											
Pollutant: NOx or O SOx (Identify one pollutant only)											
Is this an Infinite-Year-Block RTCs transaction? O Yes [Total Value of Transaction \$ N/A] • No											
io tilio a	II IIIIIIIIC-I Cal-Dio	ok ivi os transacti	on: • 103 [10	otal value of Th	arioacti		(Attach a separate form if more spaces are needed				
Terms of RTC Transferred		011.17		4							
Cycle	From* Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	antity Price		Origin of Credits				
1	12/31/2021	Single Year Trade	Inland	22860	N	I/A	Rule No.: NA				
							Rule No.:				
							Rule No.:				
							Rule No.:				
⊕ For	Infinite-Year-Block trans	action, report total value	of transaction. For ea	ch transaction line er	iter "N/A"	under the	Price (\$/Pound) column.				
* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.											
I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transactif associated forms are required in accordance with Rule 2007 within five (5) business days of the exercise of a forward statements are true, accurate, and complete to the best of my knowledge.											
	n Ferlita	•	resident	.0490.							
	d by (Print Name)	Title	Toolaont								
Air Quality Consultants, Inc. 11/16/2020 Huntington Beach, CA											
Compar	ny Name			Date an	Date and Place						
SCAQ	MD Disclaimer: This re	eport will be made ava	ilable to the public f	or informational pu	rposes o	only. The	SCAQMD does not verify the content of this report.				
	ast Air Quality Management	•	·			•	Pageof				



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It claimi	ng confidentiality	of identity, check the	ie appropriate t	oox and comple	te the f	ront and	reverse sides of this form:			
C) Buyer	O Seller	⊙ Both	Buyer and Selle	er					
Name of Buyer† Account I.D. #Account I.D. #										
Name of	f Seller†						Account I.D. #			
If Interm	ediary:	(Do n otfill-o ut this line if clair	ning confidentiality of S	ell'er – seæeverse si de	of form)					
Intermediary Name							Account I.D. #			
Forwar	d Contract to:	O Purchase C	Sell	O Swap	ap Premi		um paid for this contract:			
	n for this report:) Extension	O Modificatio (Circle items mo		,	H.			
		tial agreement date)				Deda	then the DTCs are to be delicered.			
Date w	nen this agreem	ent was reached:	11/16/2020			Date when the RTCs are to be delivered: 01/04/2022				
→ Attac	th agreement for c	ontingent right to pure			_					
		OSOx (Identify on								
		lock RTCs transaction	• • • • • • • • • • • • • • • • • • • •	otal Value of Tr	ansact	ion \$_N	/A j			
							(Attach a separate form if more spaces are needed)			
Terms of RTC Transferred			Original Zone	Maximum	•					
Cycle	From* Compliance Year	To* Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)		Price Pound)	Origin of Credits			
1	12/31/2021	Single Year Trade	Inland	11640	1	N/A	Rule No.: NA			
							Rule No.:			
							Rule No.:			
							Rule No.:			
		ansaction, report total value					,			
* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.										
that this	report does not a	ct as or replace the RT	C Transaction Re	egistration. Valso	unders	and that	e, sale, or swap of RTCs. I further understand a separate RTC Transaction Registration and			
					s days	of the exe	ercise of a forward contract. I certify that the			
statements are true, accurate, and complete to the best of my knowledge. Jaclyn Ferlita Vice President										
	d by (Print Name)	Title	, 30140111				:			
Air Quality Consultants, Inc. 11/16/2020 Huntington Beach, CA										
Compar		- 1 1111-1			Date and Place					
		report will be made ava	ilable to the public				SCAQMD does not verify the content of this report.			