

## VW Mitigation Program – Combustion Freight and Marine Projects Freight Switcher Locomotives – Application Checklist

1	Equipment Identifier (the name used by applicant to identify the unit)	
2	Switcher Locomotive domiciled address (physical location address of the unit)	
3	Existing Switcher Locomotive Information: <ul style="list-style-type: none"> <li>• Make, Model, Model Year, and Serial Number</li> <li>• Total number of main engines</li> <li>• Total number of auxiliary engines</li> </ul>	
4	Existing Engine Information: <ul style="list-style-type: none"> <li>• Fuel type</li> <li>• Engine Family Name (EFN)</li> <li>• Engine Executive Order Number (EO) or US EPA Certificate of Conformity Number</li> <li>• Engine Model Year</li> <li>• Engine Make, Model, Serial, HP</li> </ul>	
5	Switcher Locomotive Activity Information: <ul style="list-style-type: none"> <li>• 24 months of fuel usage records, and an estimate of the annual hours of operation for the previous two years</li> </ul>	
6	Replacement Switcher Locomotive Information (Not applicable for Repower): <ul style="list-style-type: none"> <li>• Make, Model, Model Year, and Serial Number</li> <li>• Total number of main engines</li> <li>• Total number of auxiliary engines</li> </ul>	
7	Replacement (New) Engine Information: <ul style="list-style-type: none"> <li>• Fuel type</li> <li>• Engine Family Name (EFN)</li> <li>• Engine Executive Order Number (EO) or US EPA Certificate of Conformity Number</li> <li>• Engine Model Year</li> <li>• Engine Make, Model, Serial, HP</li> </ul>	
8	Total Cost: <ul style="list-style-type: none"> <li>• If Replacement: cost of switcher locomotive and associated taxes</li> <li>• If Repower: cost of engine, parts and materials, associated taxes, and labor</li> </ul>	

## VW Mitigation Program – Combustion Freight and Marine Projects Freight Switcher Locomotives – Supplemental Documentation Checklist

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1	Photos for Existing Switcher Locomotive: <ul style="list-style-type: none"> <li>• Front of switcher</li> <li>• Full side view of switcher</li> <li>• Engine tag, correctly labeled for every applicable engine (with Make, Model, Year, Serial Number, HP, and Family Name)</li> </ul>	
2	Copy of Executive Order or US EPA Certificate of Conformity for: <ul style="list-style-type: none"> <li>• Existing engine</li> <li>• Replacement (new) engine</li> </ul>	
3	24 consecutive months of fuel usage records	
4	Vendor Quote (dated within 90 days of application) and must include: <ul style="list-style-type: none"> <li>• Quoted Date</li> <li>• Total (including taxes and state fees)</li> <li>• Repower only: cost of parts and materials, and labor</li> <li>• Warranty information</li> </ul>	