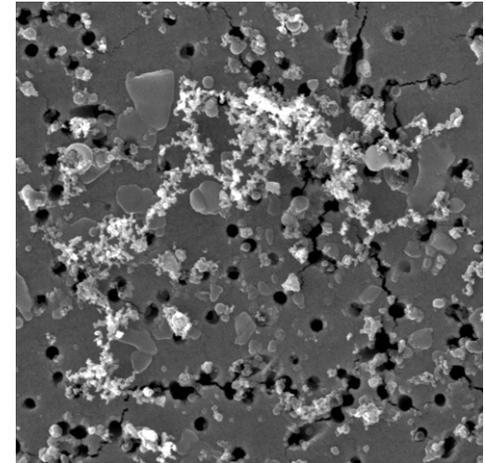
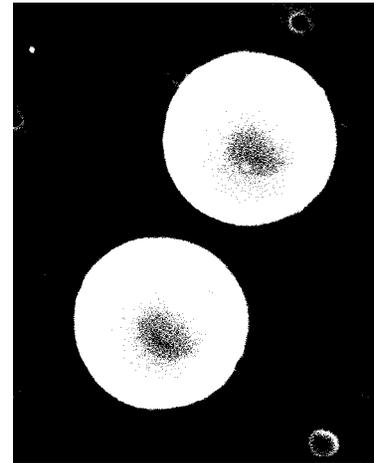
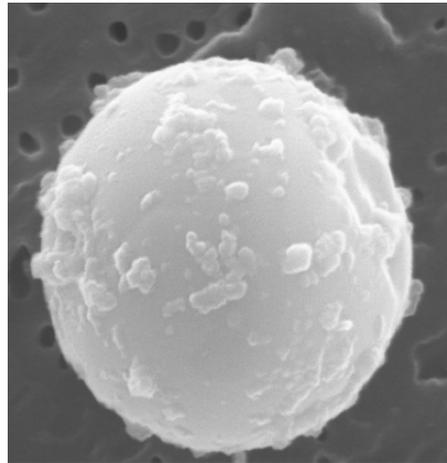
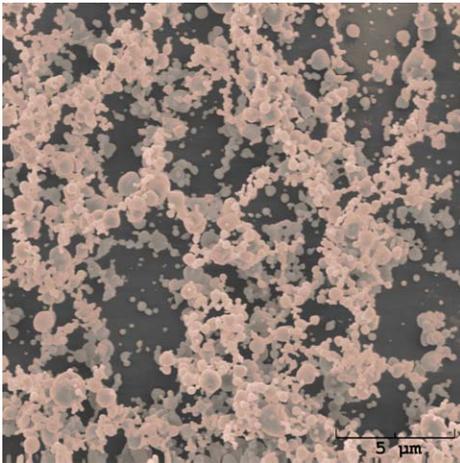
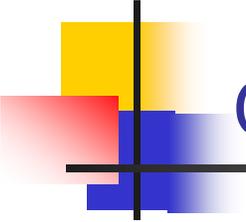


# Atmospheric Formation of Ultrafine Particles

Charles Stanier, Assistant Professor  
University of Iowa  
Department of Chemical and  
Biochemical Engineering  
[cstanier@engineering.uiowa.edu](mailto:cstanier@engineering.uiowa.edu)



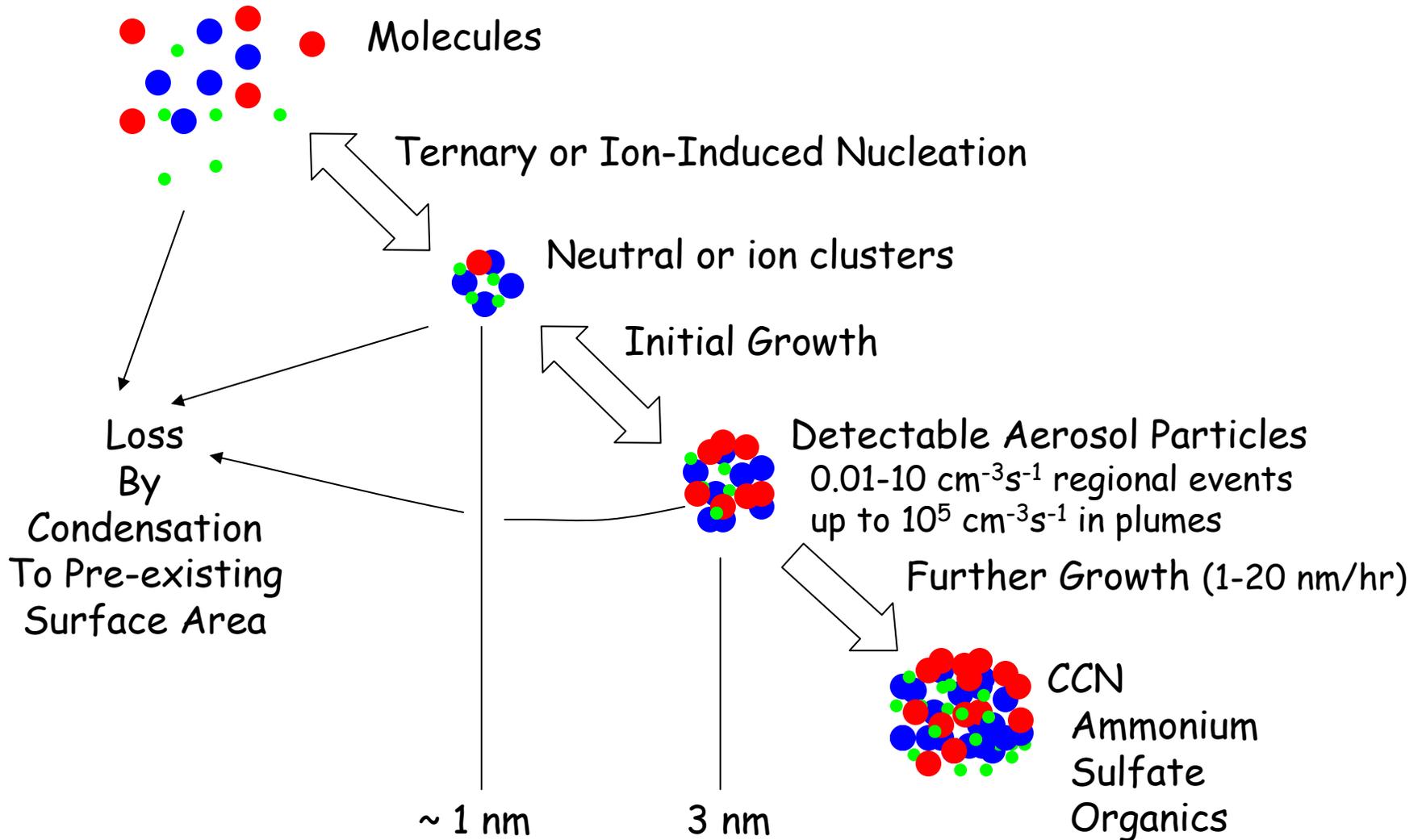


# Outline

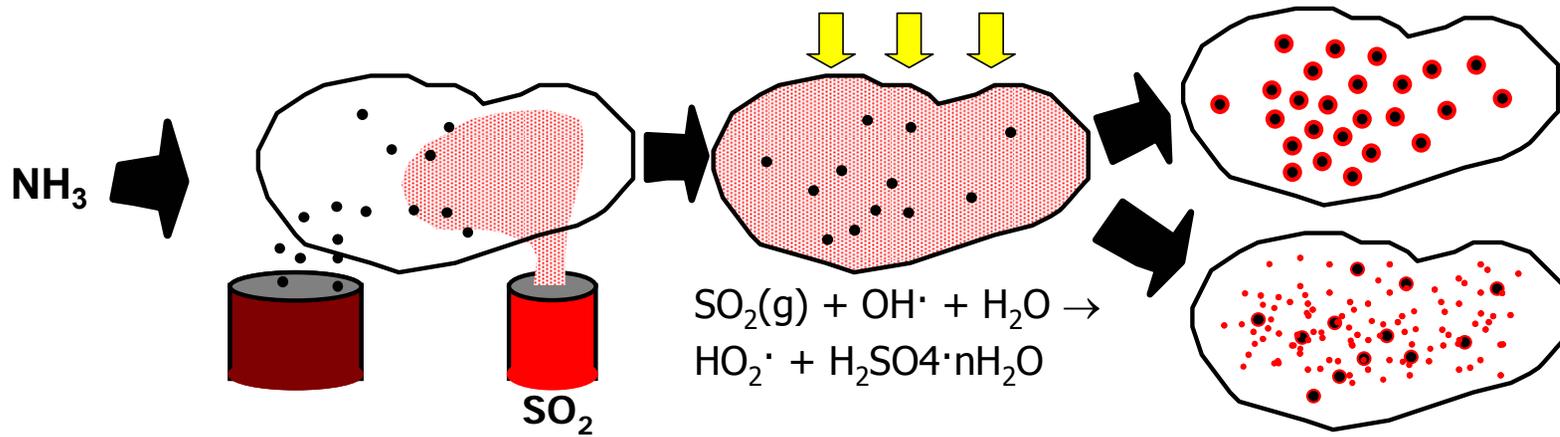
---

- What is atmospheric new particle formation
- Some examples
  - Physical measurements
  - Chemical measurements
  - Modeling
- What fraction of particles are from new particle formation vs. combustion?

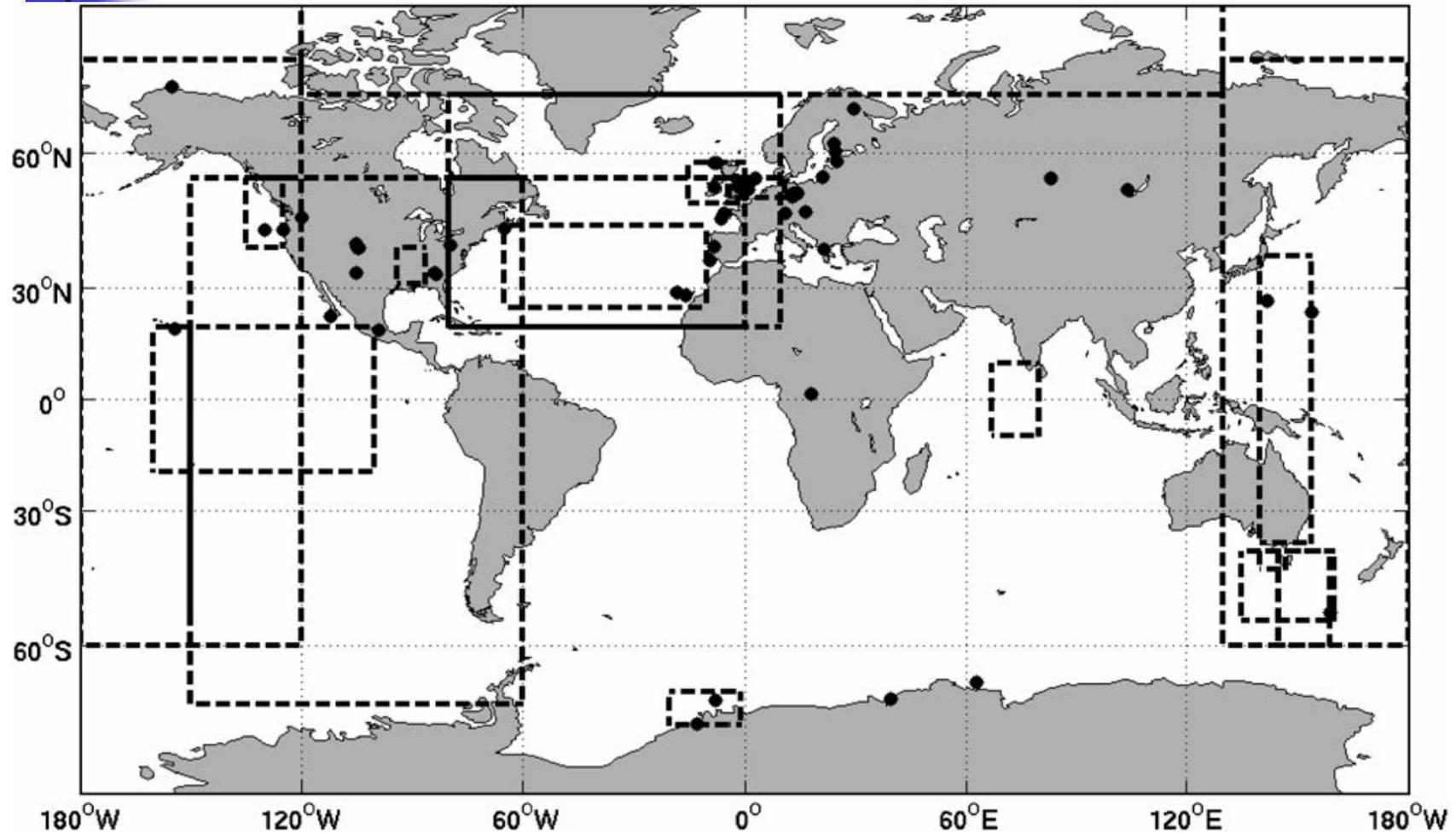
# What is Nucleation?



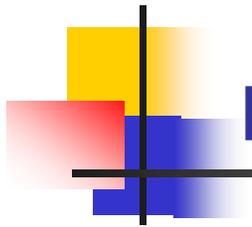
# Nucleation Overview



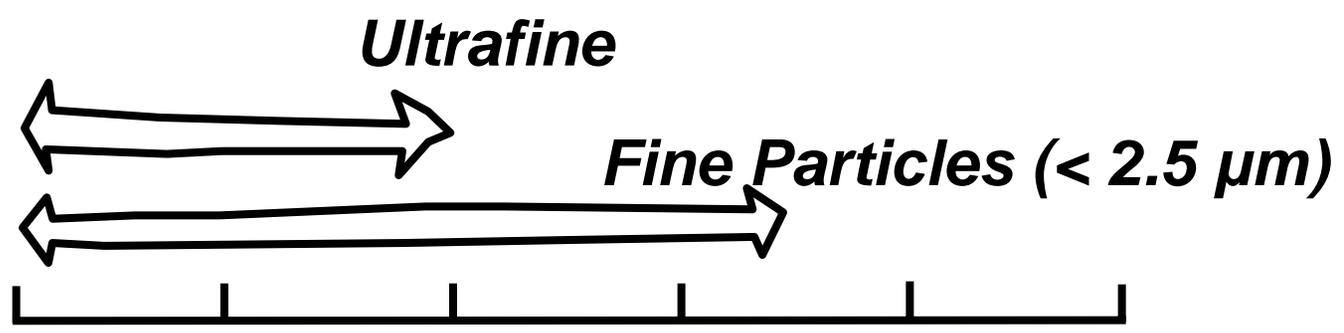
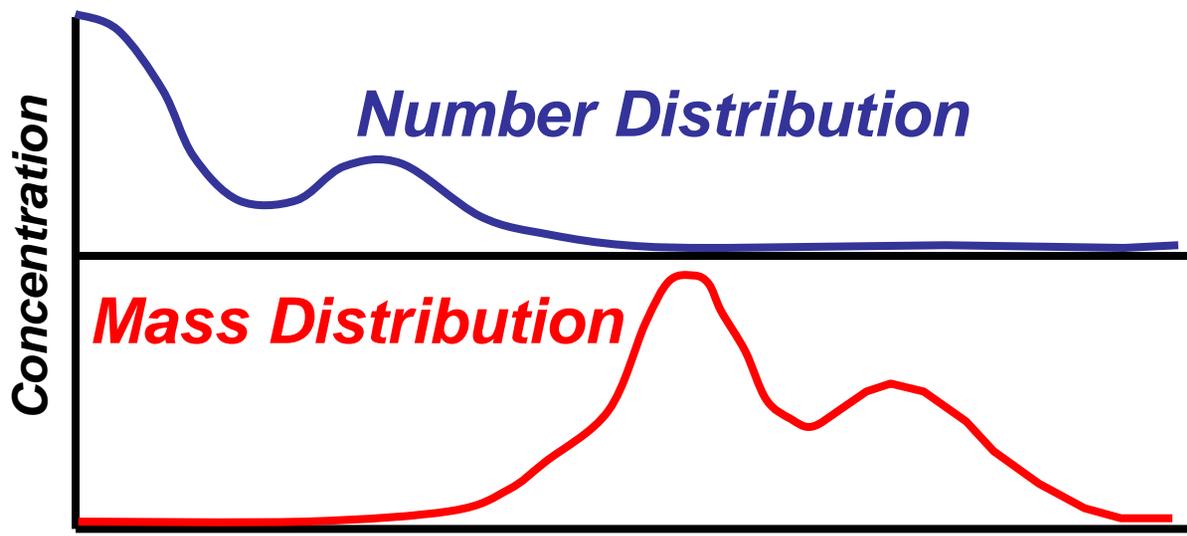
# Nucleation Observations



Kulmala, McMurry, et al. (2004) *J. Aerosol Sci.* 35 pp. 143-176

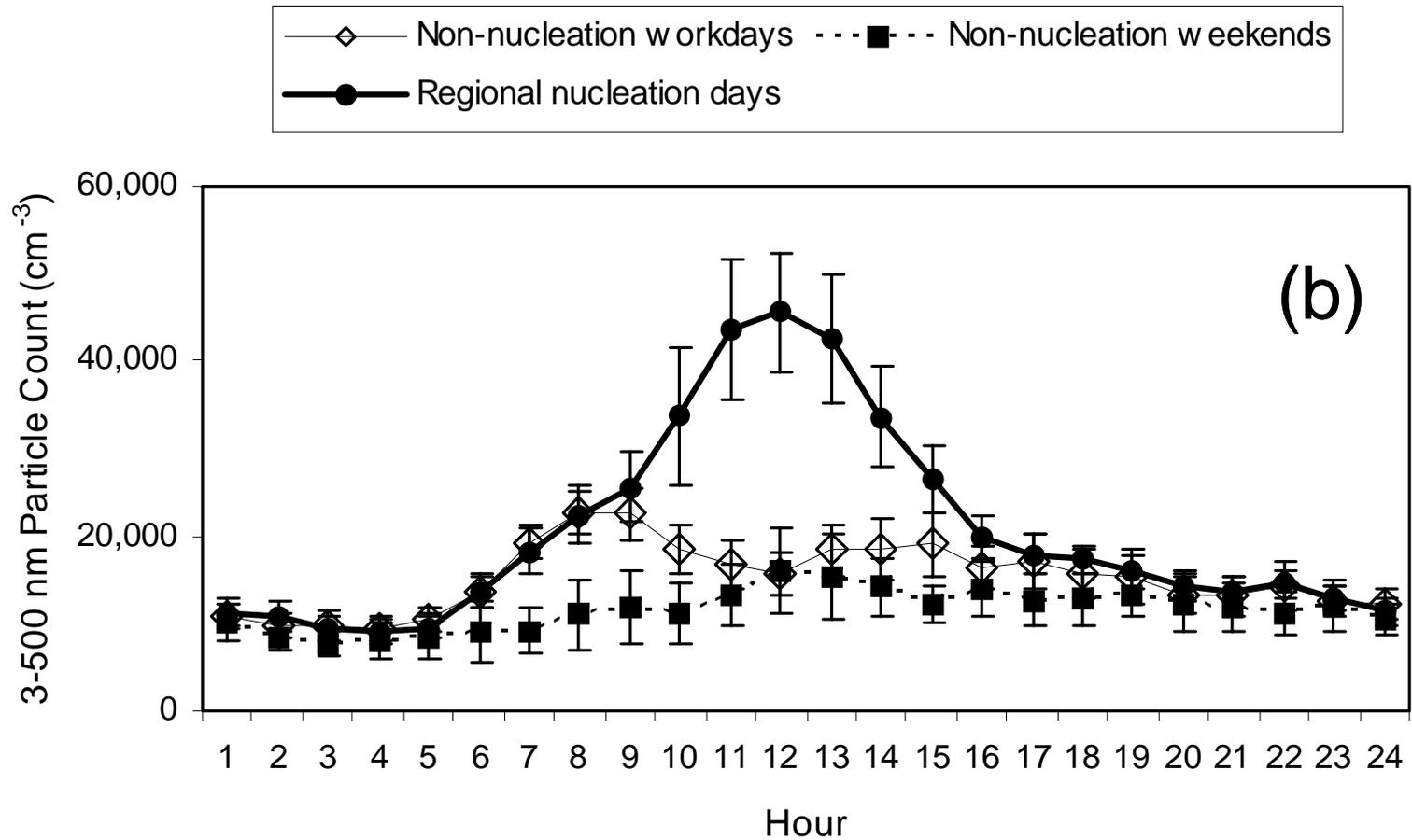


# Particle Size

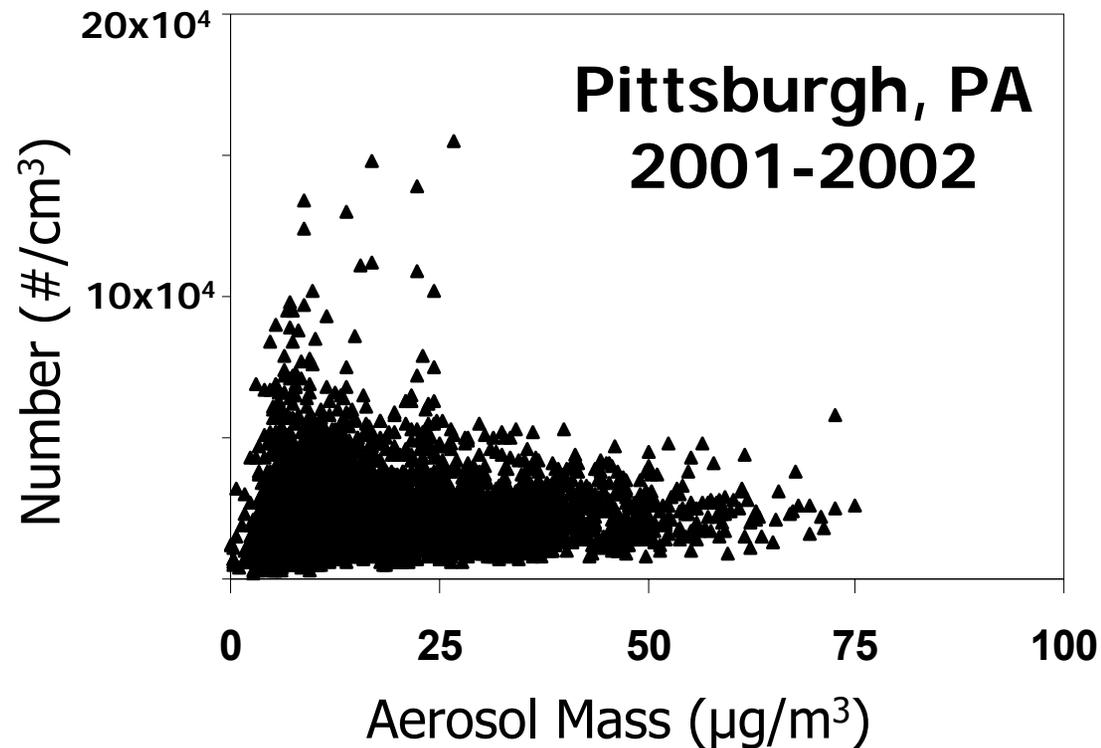


<i>nm</i>	1	10	100	1,000			
<i>μm</i>	0.001	0.01	0.1	1	10	100	

# Diurnal Pattern

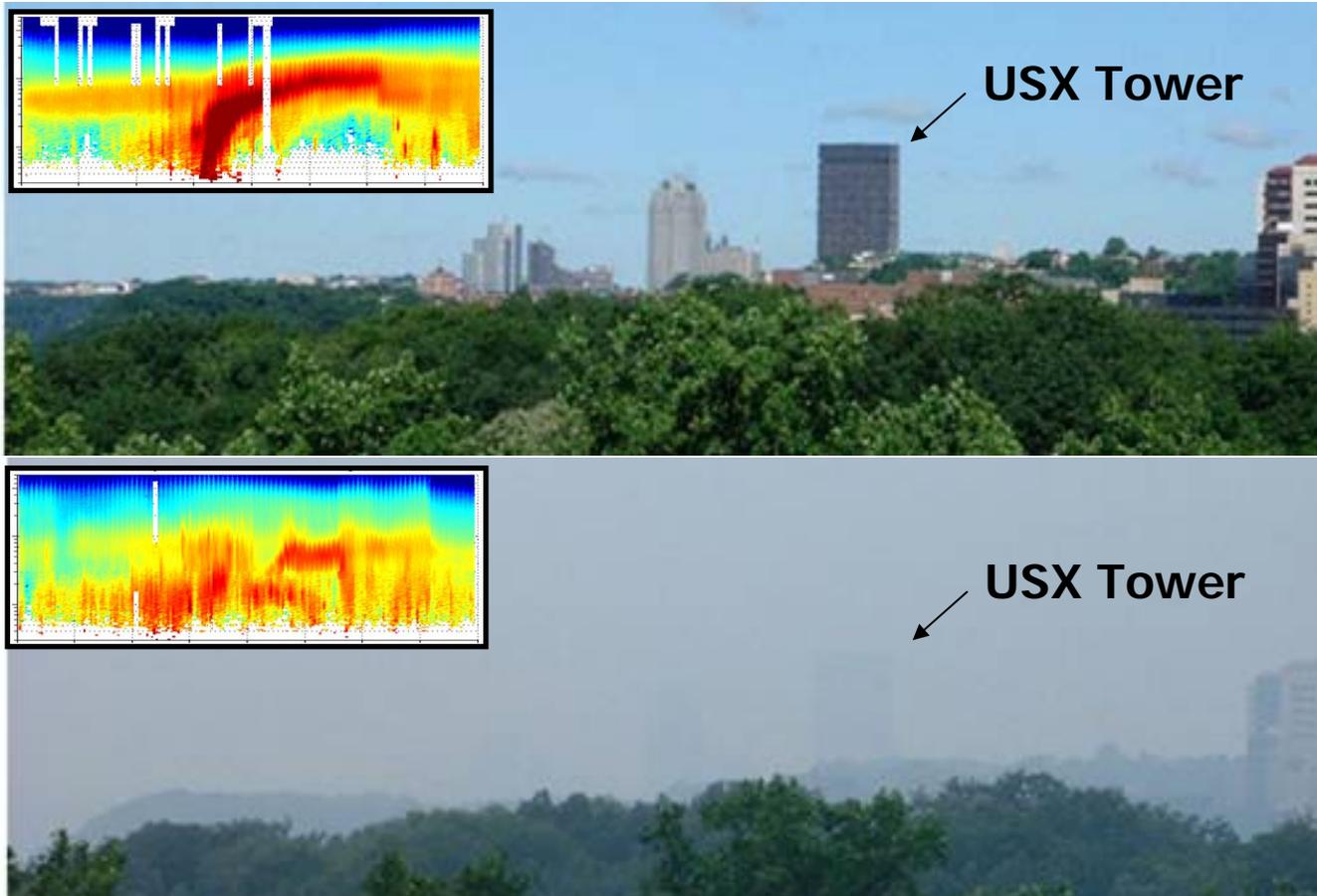


## Number vs. Mass Distribution

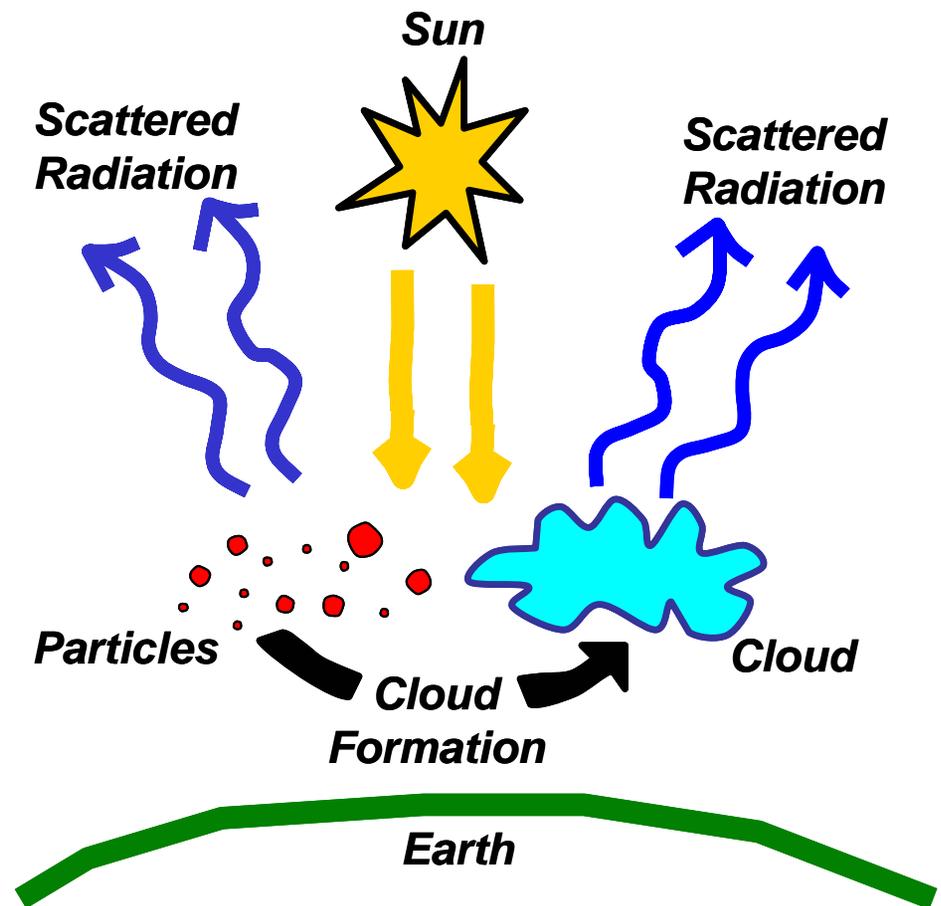


- Negative correlation
- Related to nucleation activity
- Occurring over wide area

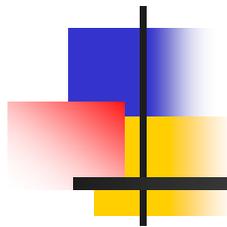
# New Particle Formation vs. Visibility



# Other Aerosol Impacts: Visibility & Climate



EPA (2001) National Air Quality: Status and Trends



# Physical Measurements

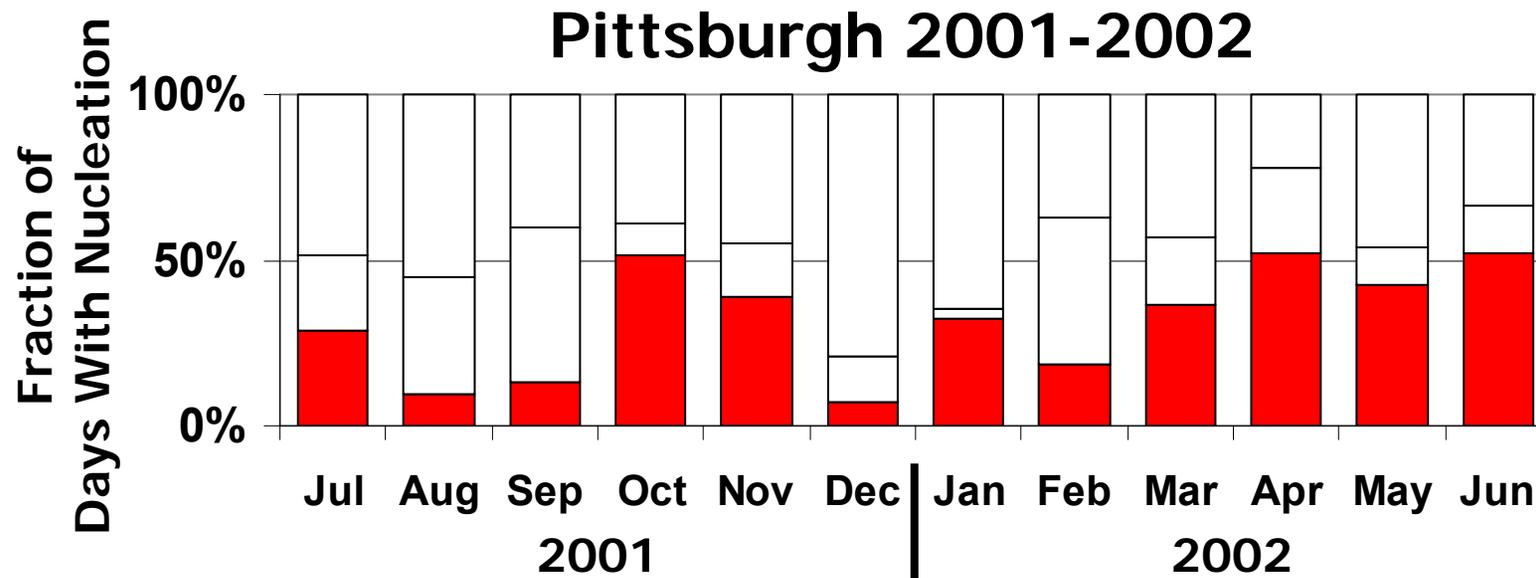
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# Dry-Ambient Aerosol Size Spectrometer



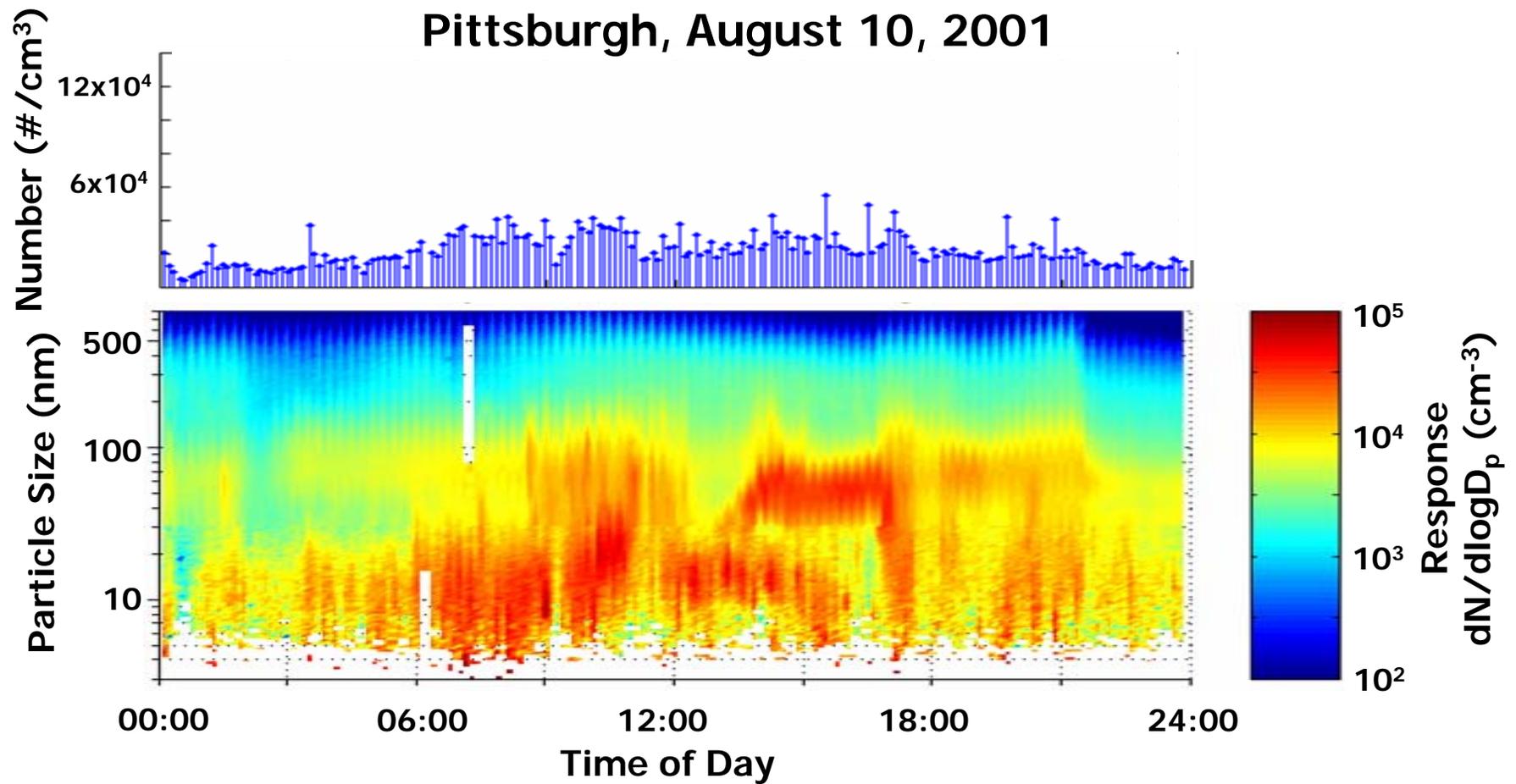
- Reconfigured commercial instruments
- RH control system
- Inlet particle losses characterization
- Custom control, data acquisition, and data reduction software

# Nucleation Frequency by Month

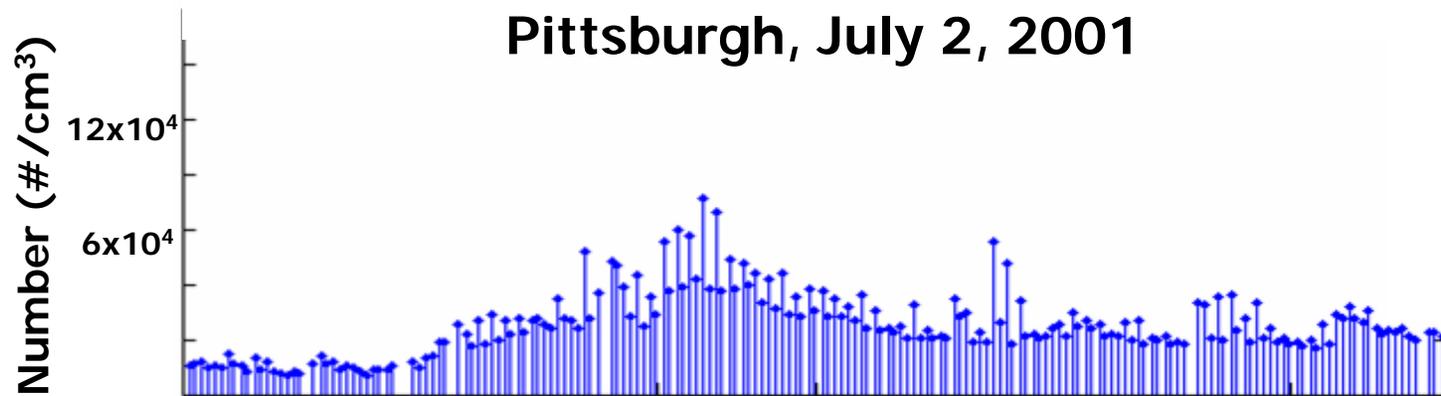


- Significant fraction of days (30%+)
- Most prevalent in spring, fall

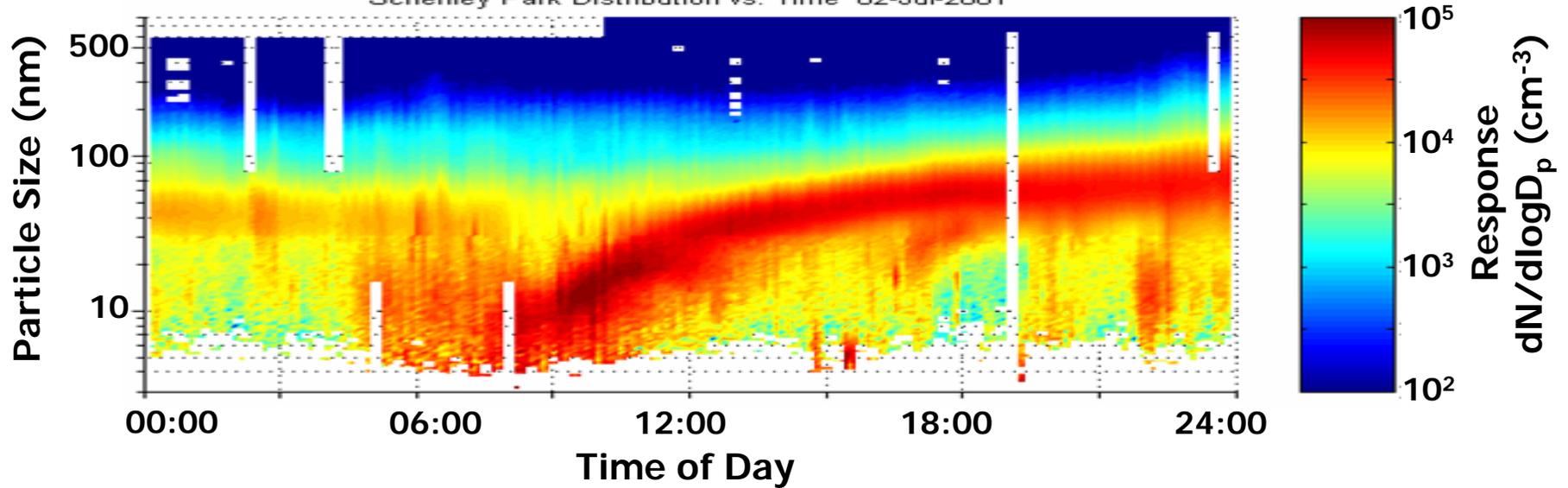
# Example: No Nucleation



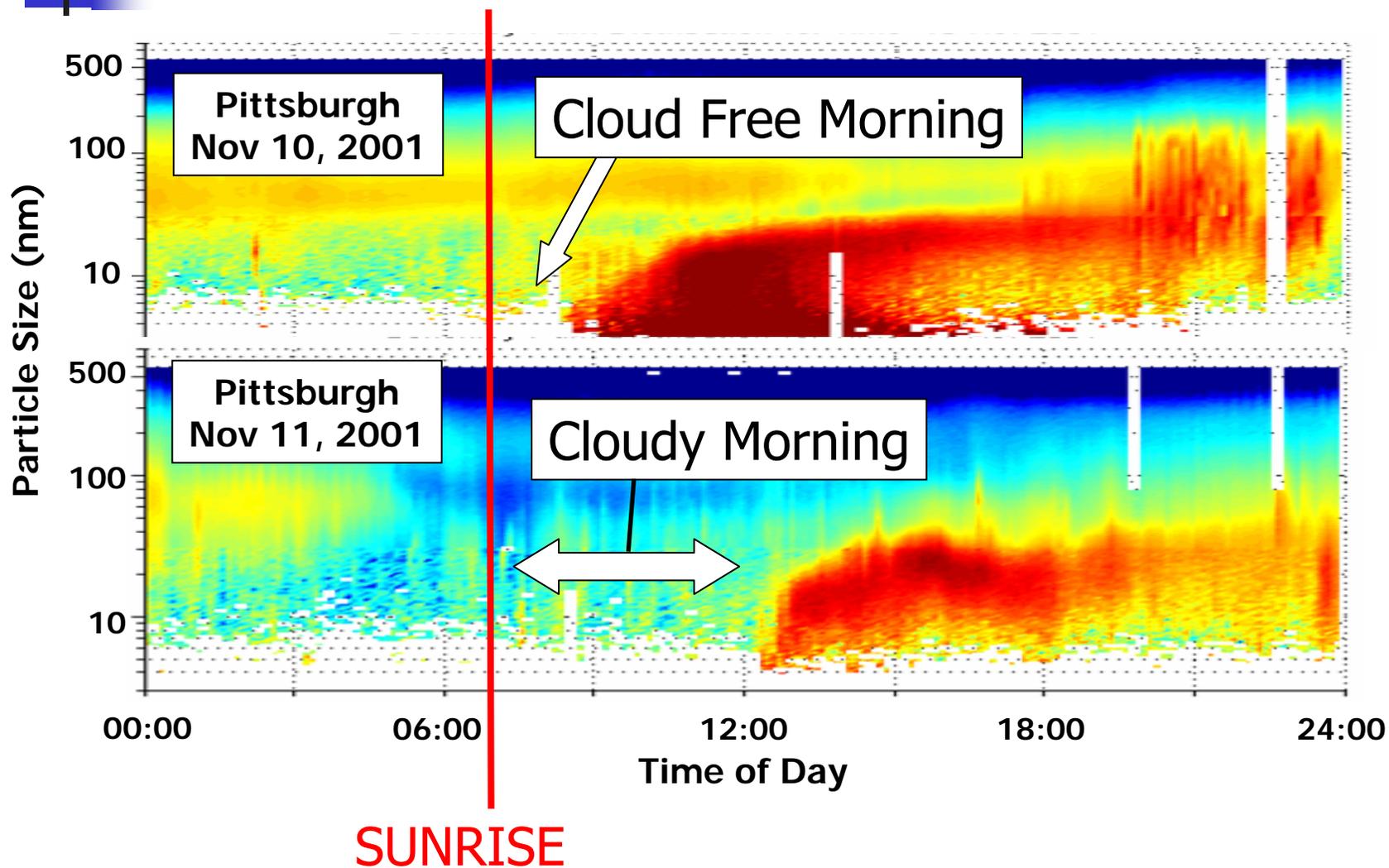
# Example: Weak Nucleation



Schenley Park Distribution vs. Time 02-Jul-2001

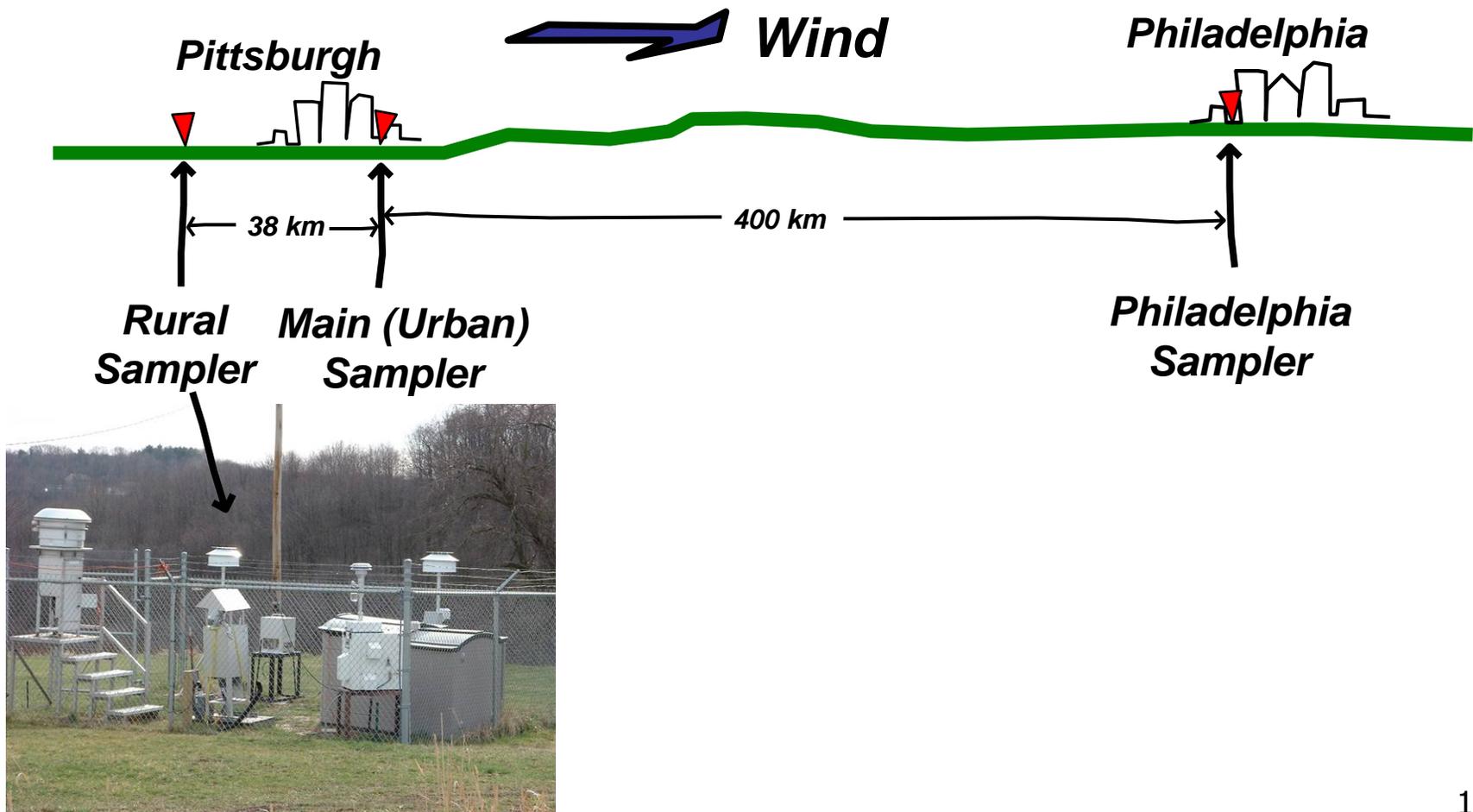


# Sunlight and New Particle Formation

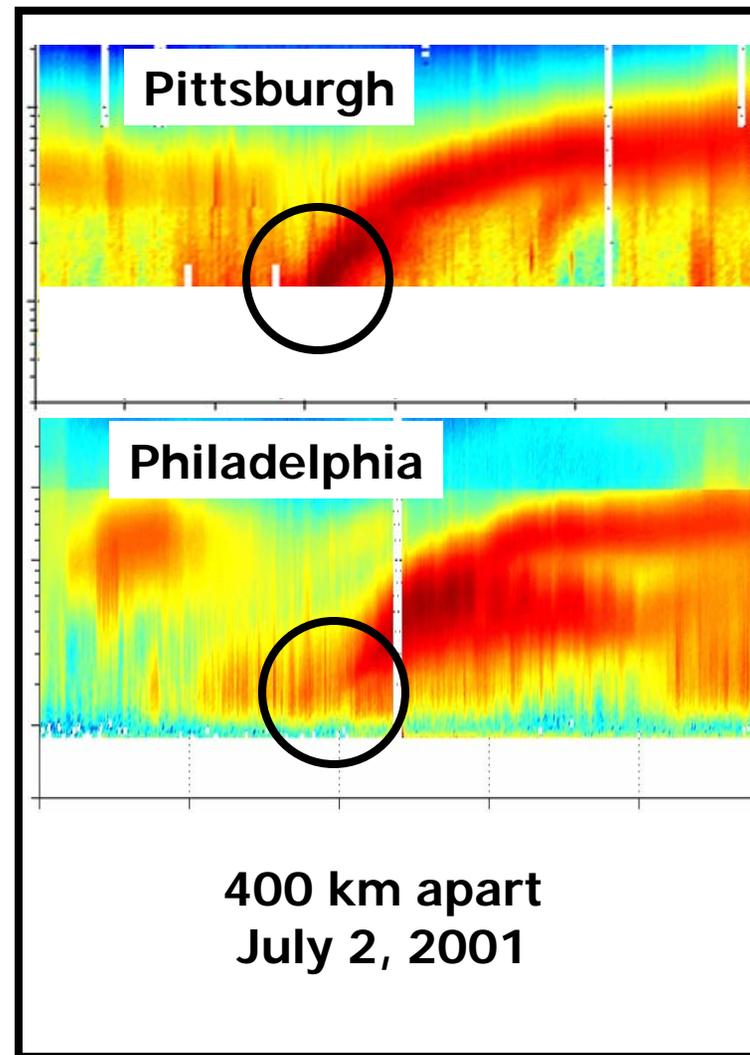
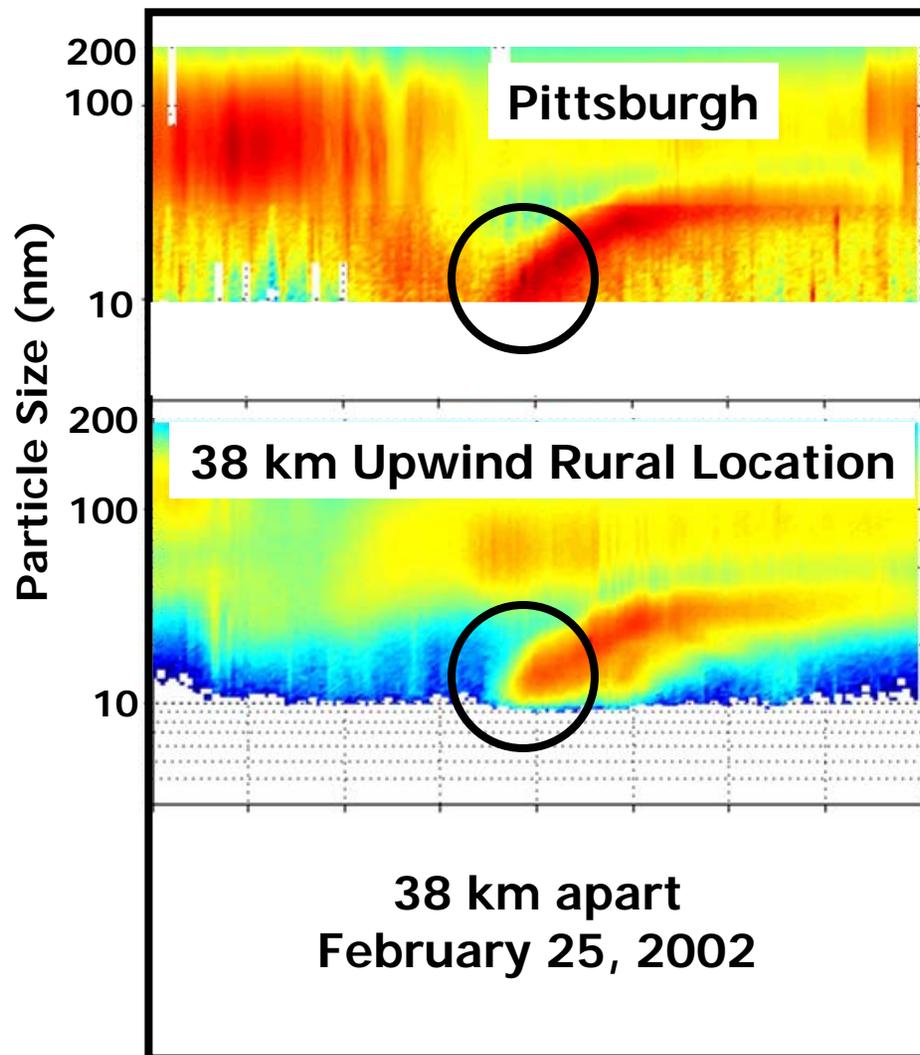


# Nucleation's Spatial Coverage

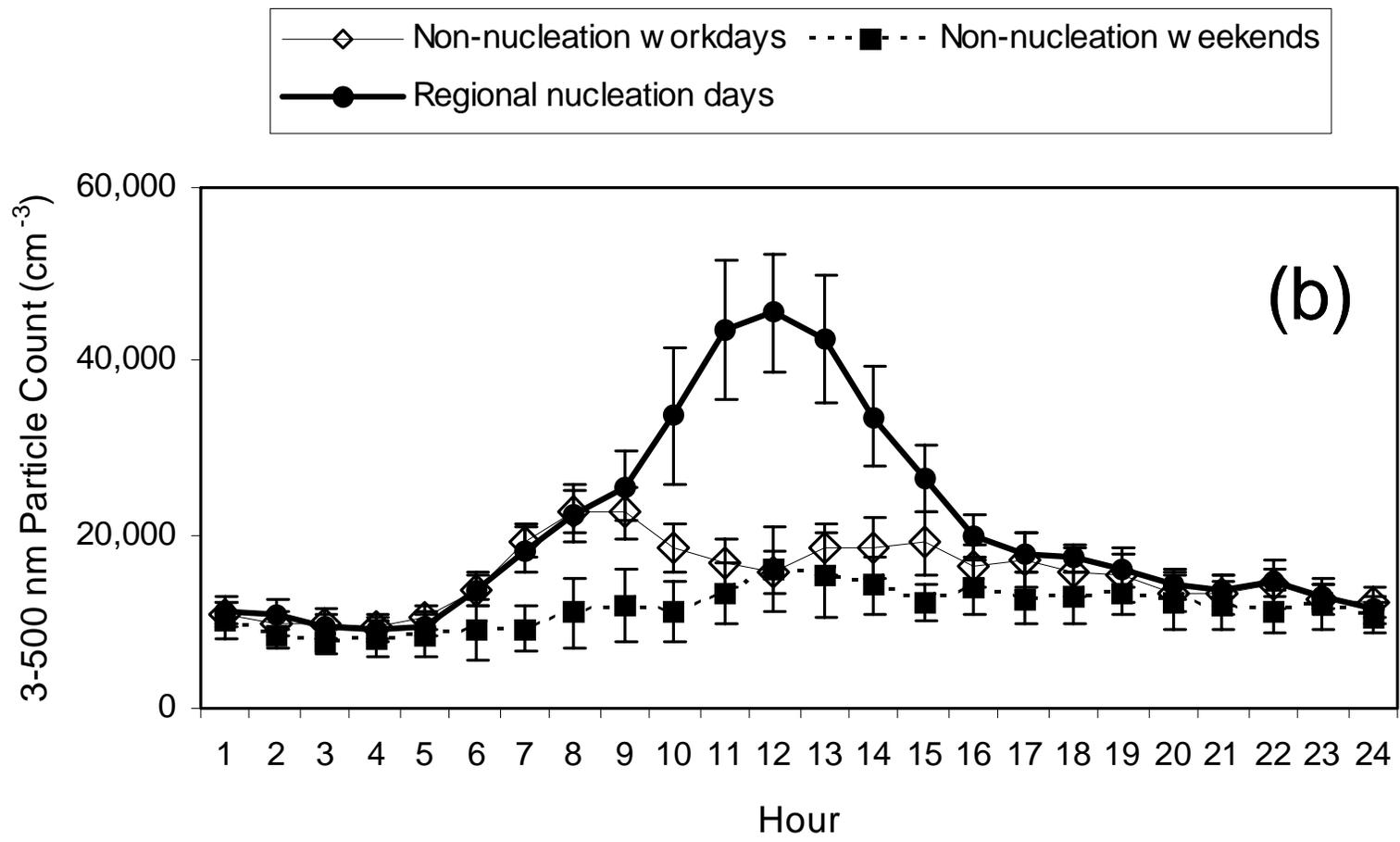
- Method: simultaneous sampling

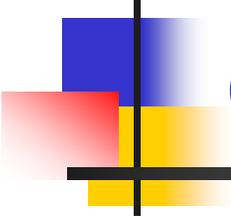


# Spatial Coverage Result



# Diurnal Pattern





# Chemical Measurements

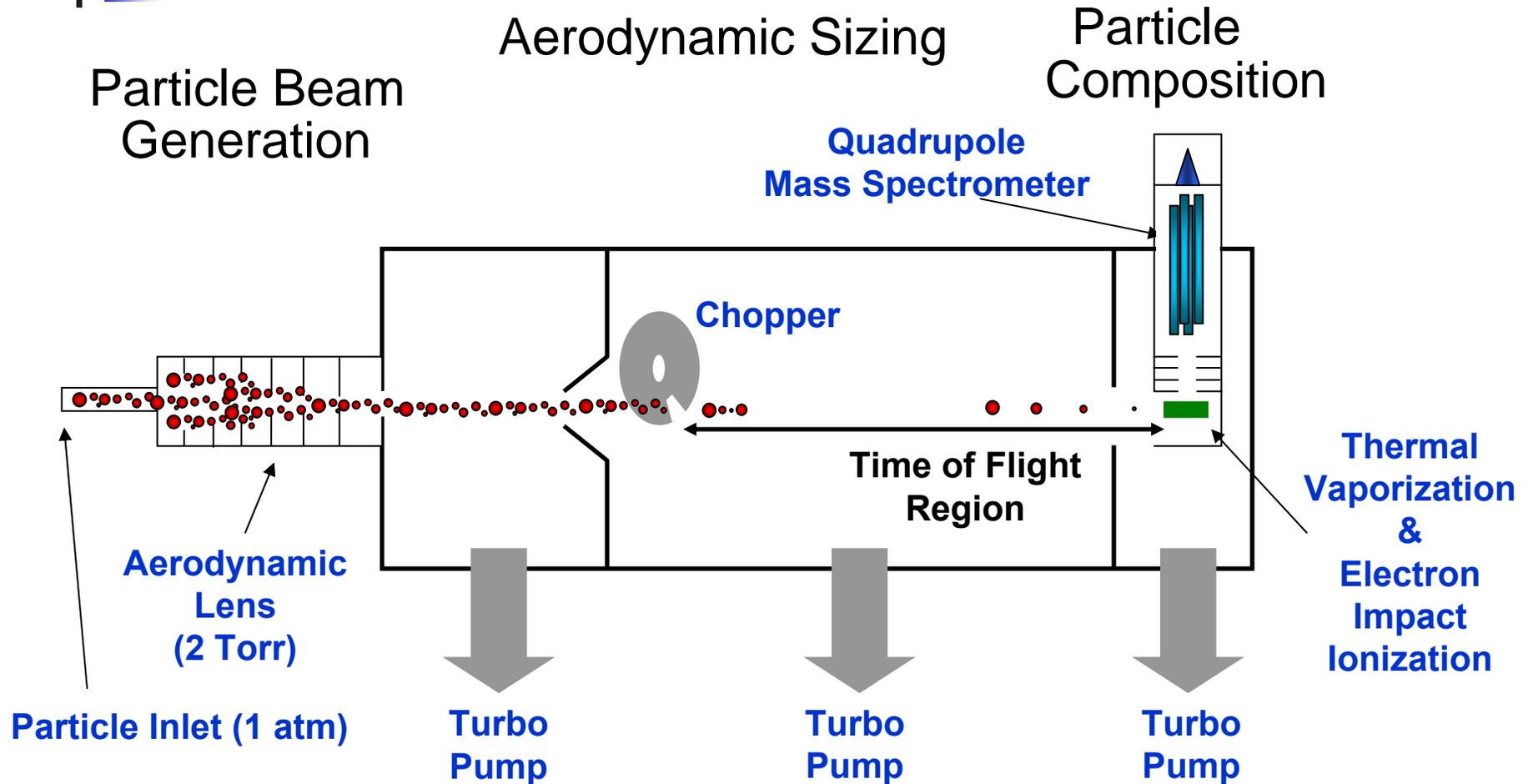
---

# Aerosol Mass Spectrometer



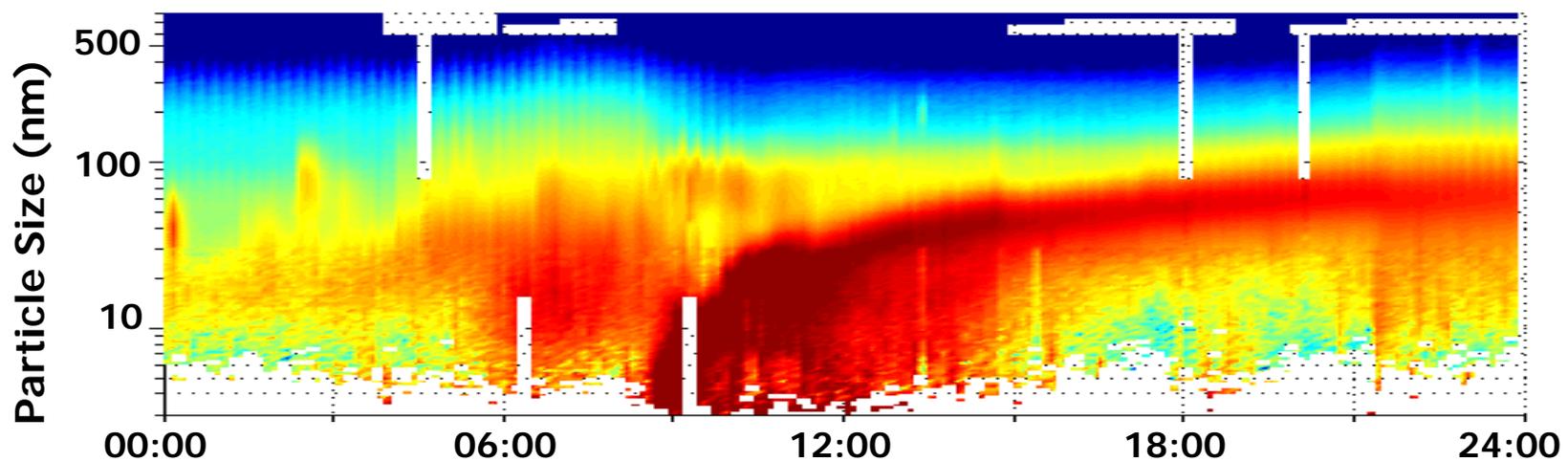
Zhang, Q.; Jimenez, J.L.; Caragaratna, M.; Worsnop, D.

# Aerosol Mass Spectrometer

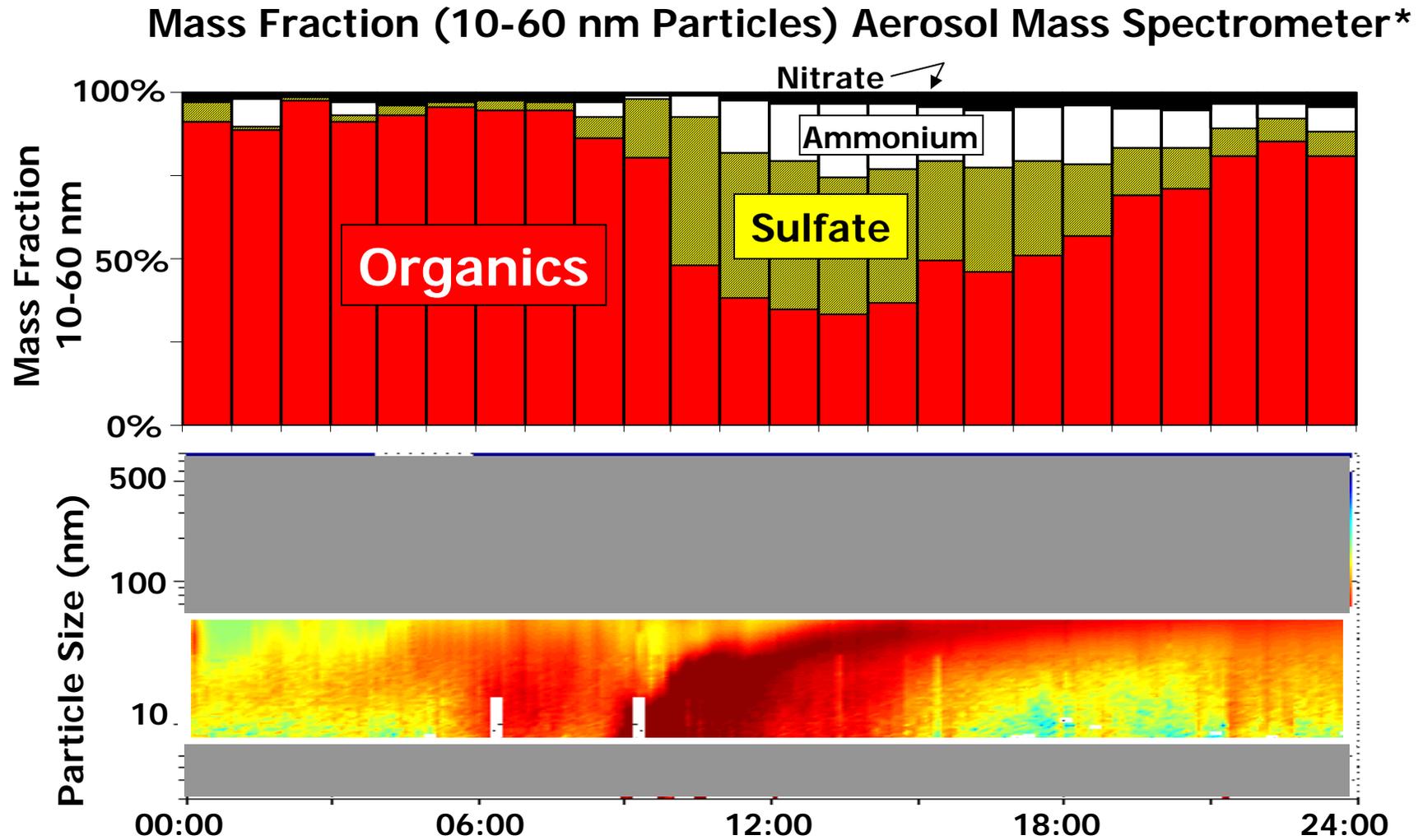


Jayne et al., *Aerosol Science and Technology* 33:1-2(49-70), 2000.  
Jimenez et al., *Journal of Geophysical Research*, in press, 2002.

# September 12, 2002 Nucleation Event

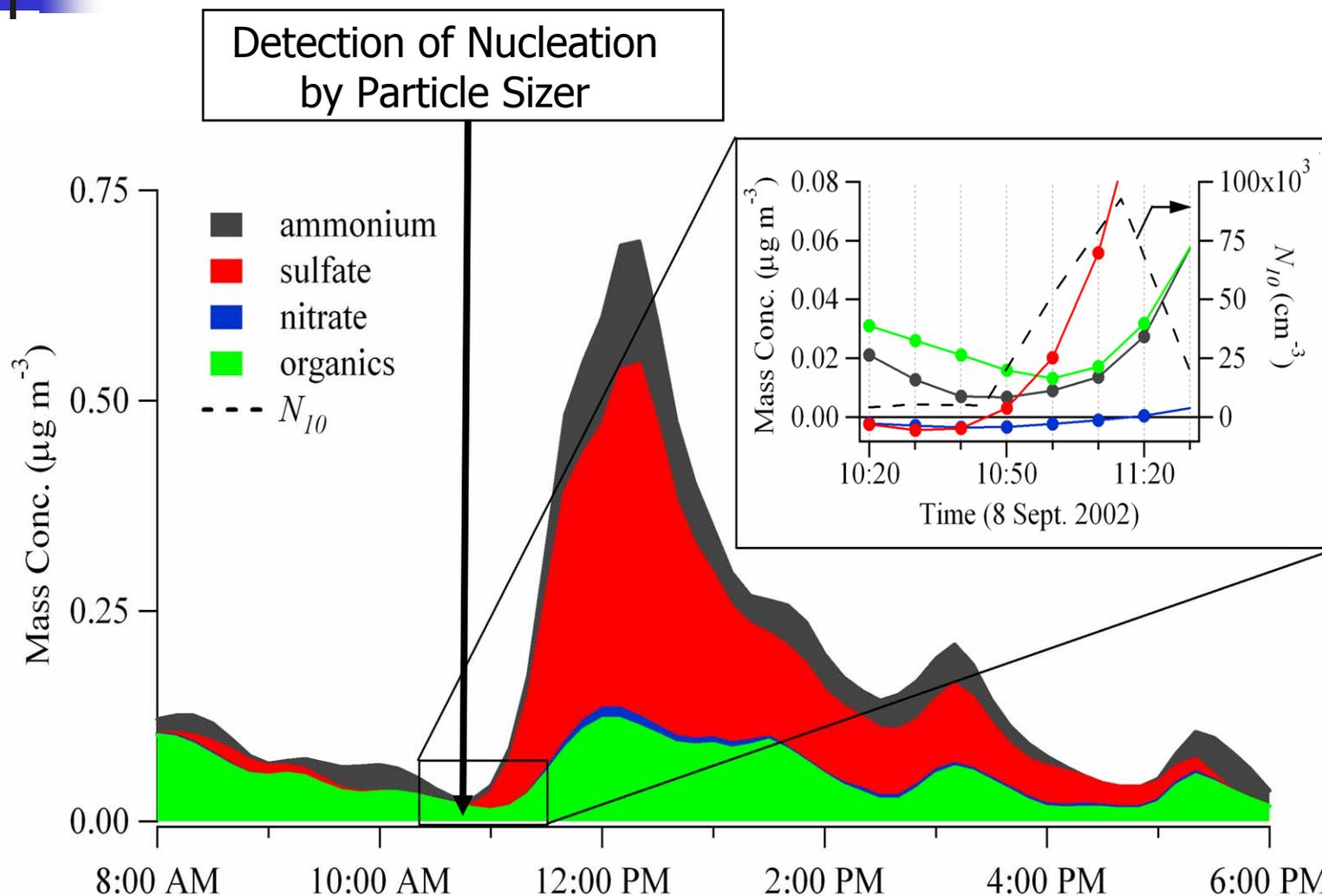


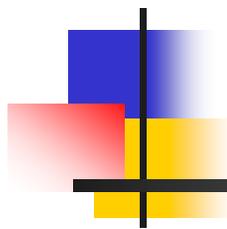
# September 12, 2002 Nucleation Event



\*Zhang & Jimenez (Univ. Colorado-Boulder)

# Chemistry of Growth: Particle Mass Spectra at 20-33 nm

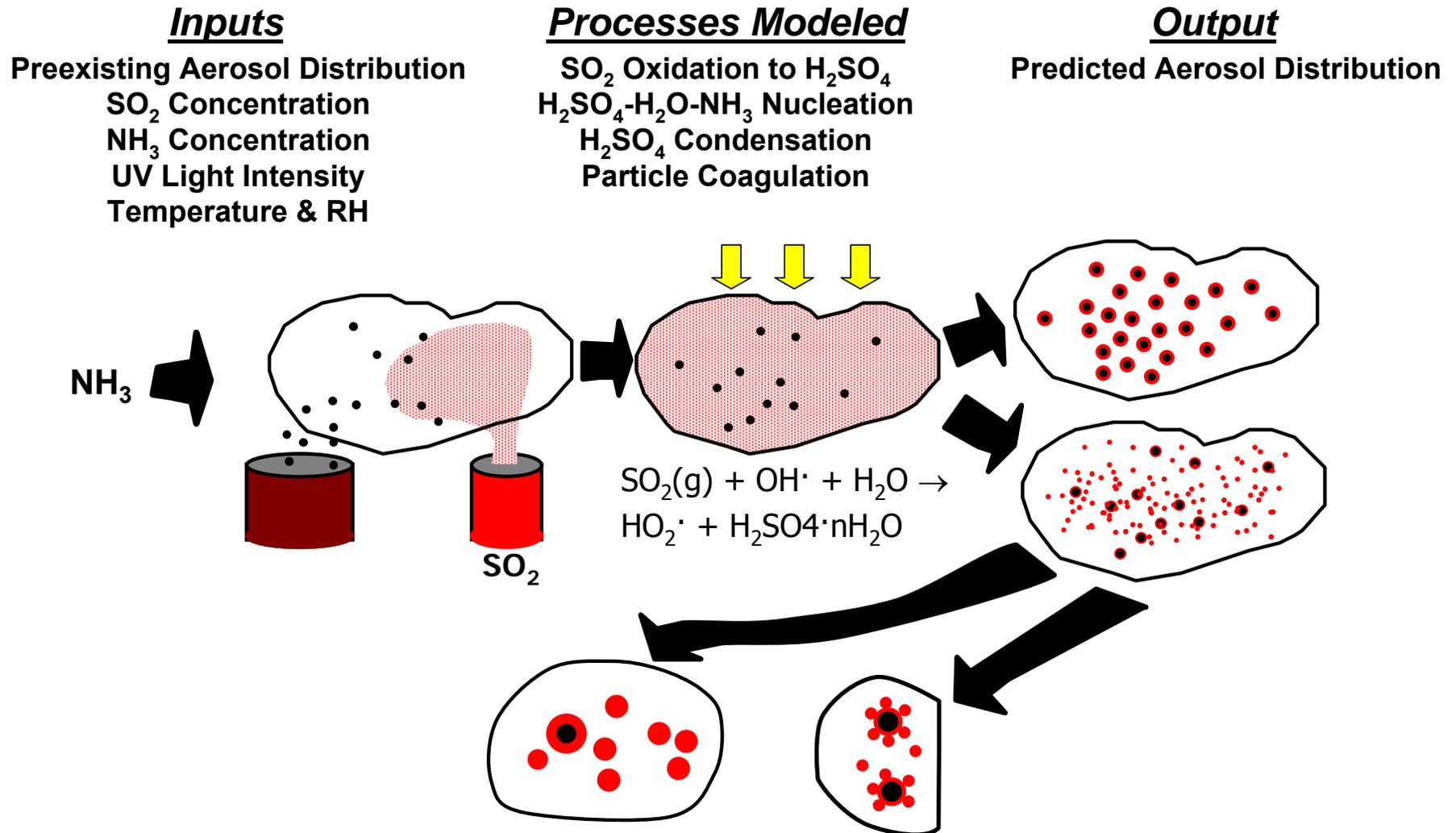




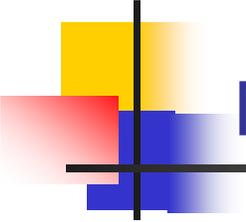
# Modeling

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# Chemistry of Nucleation: Simulation<sup>1</sup>



<sup>1</sup>Model adapted from Capaldo, Kasibhatla, Pandis. J. Geophys. Res., 1999.



# Modeling H<sub>2</sub>SO<sub>4</sub> Nucleation

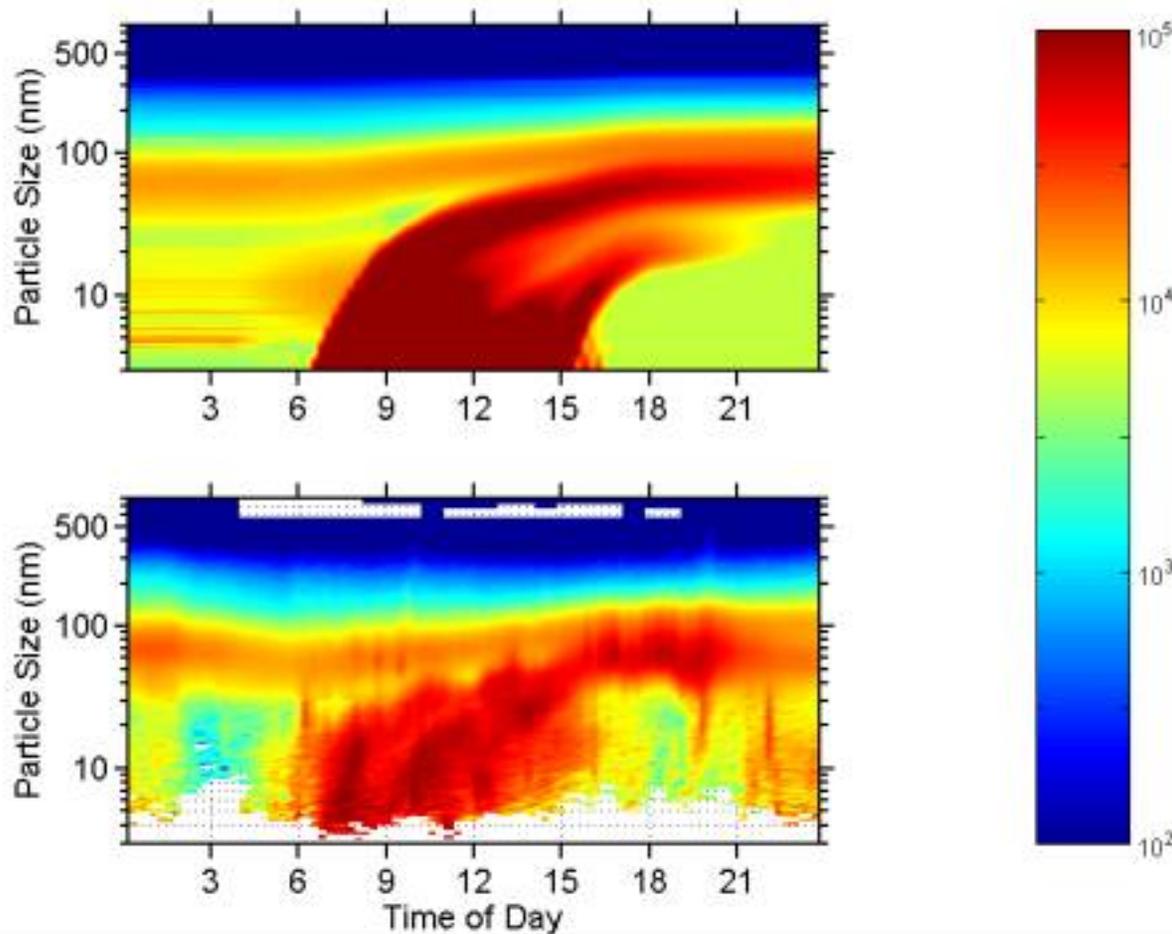
---

- Photochemical box model
- Modeled gas-phase species:
  - SO<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub>, OH, NH<sub>3</sub>
  - SO<sub>2</sub> measured, OH and NH<sub>3</sub> calculated from measurements
- 220 fixed size sections ranging in size from 0.8 nm to 10 μm
- T, RH, SO<sub>2</sub> and UV radiation from measurements
- Initial distribution available from dry size distributions
- Maximum OH concentration assumed for each month, scaled based on UV
  - 5 x 10<sup>6</sup> molecules/cm<sup>3</sup> in summer<sup>1</sup>
  - 1 x 10<sup>6</sup> molecules/cm<sup>3</sup> in winter<sup>2</sup>

<sup>1</sup>Ren et al. (2003)

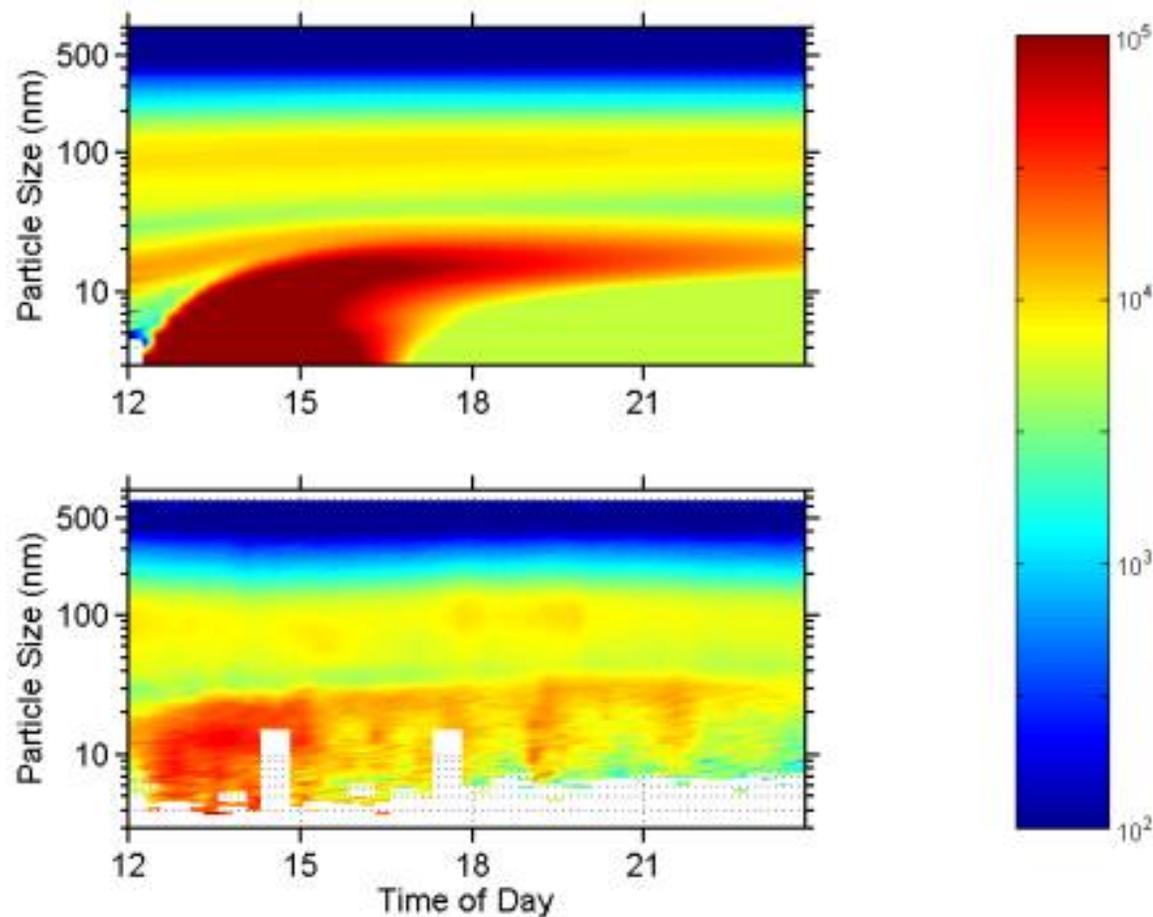
<sup>2</sup>Heard et al. (2001)

# Comparison on July 27, 2001



- Model predicted presence or lack of nucleation on all 19 days
- Timing of onset of nucleation within one hour of observations for all 13 events
- Size and shape of growth curve consistent with observations
- High number concentrations predicted

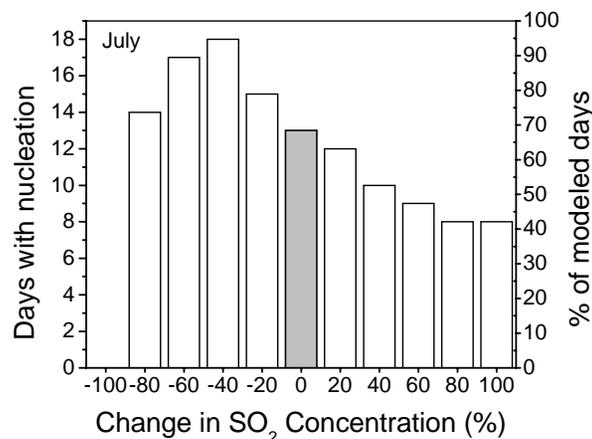
## Comparison on January 28, 2002



- 25 out of 29 days predicted correctly
- Timing of onset of nucleation not as good (6 of 12 within one hour)
- Growth generally underpredicted with two exceptions
- High number concentrations predicted

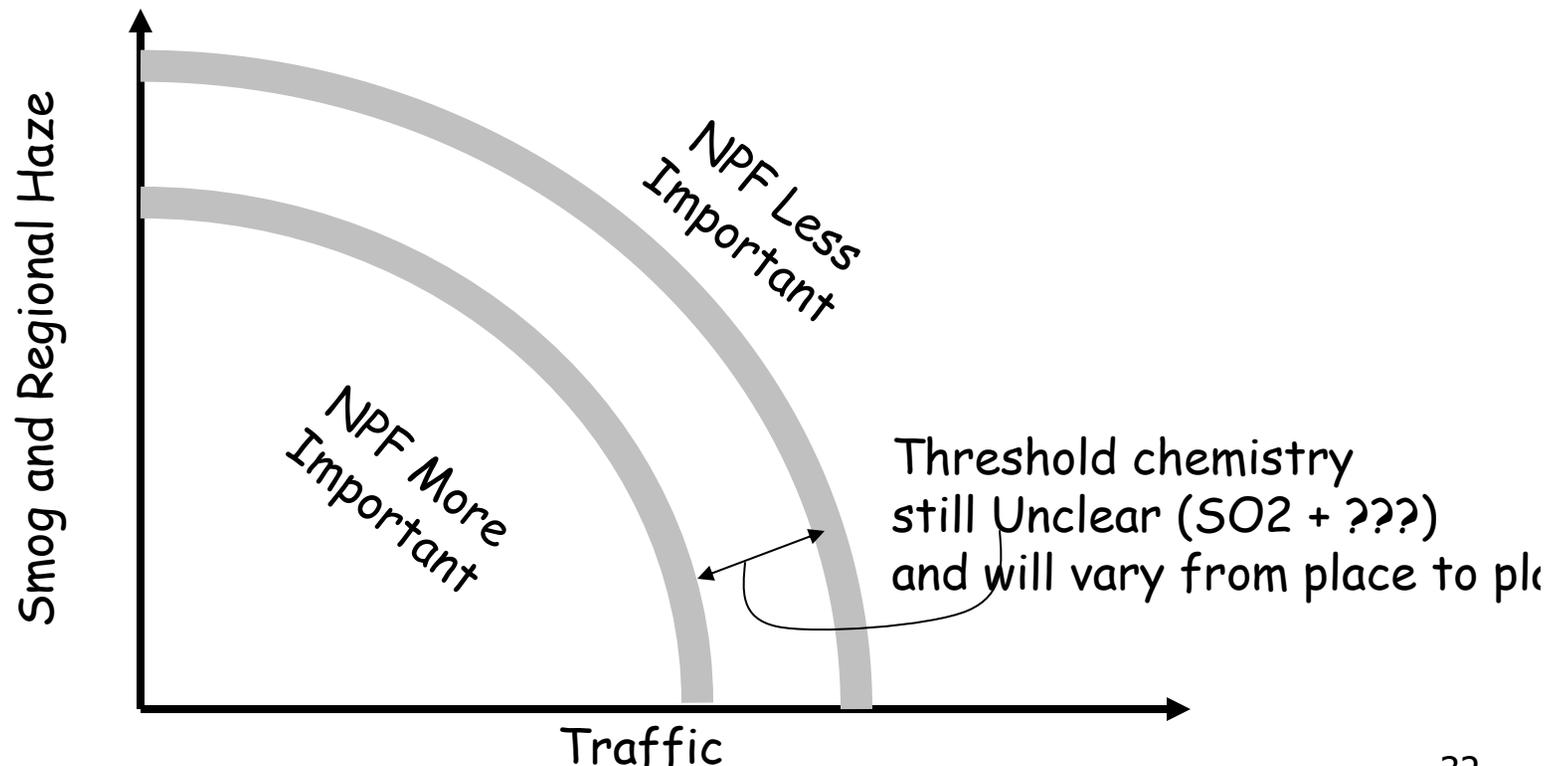
# Sensitivities

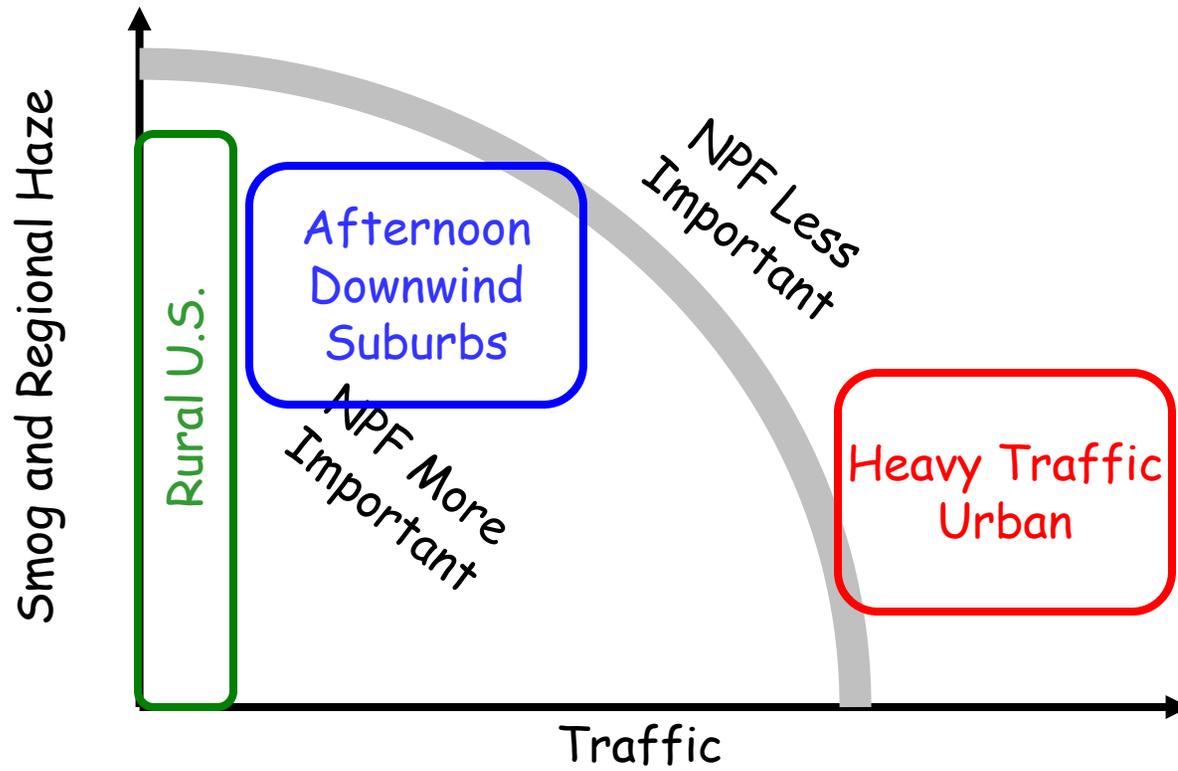
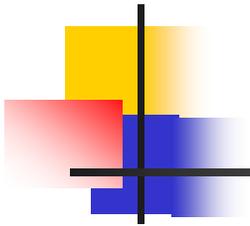
- NPF is thought to be sensitive to:
  - $\text{PM}_{2.5}$  ↓ NPF ↑
  - Ammonia ↑ NPF ↑ (but not sure how strong this effect)
  - $\text{SO}_2$  ↓ NPF ↓ (if  $\text{NH}_3$  in excess ... that covers most locations)
  - $\text{SO}_2$  ↓ NPF ↑ (if  $\text{NH}_3$  limited, e.g. northeast U.S. in summer)
  - Reactive VOCs ↓ NPF ? – but growth of particles will be limited – so they will not last as long in the atmosphere



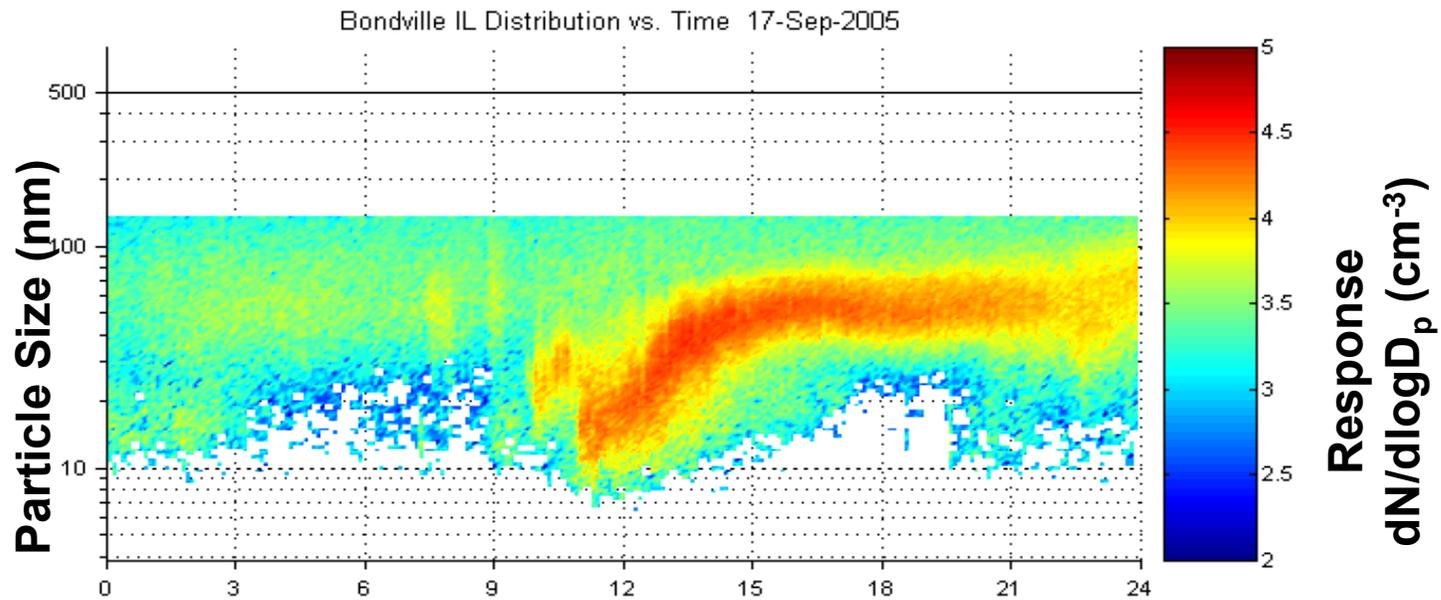
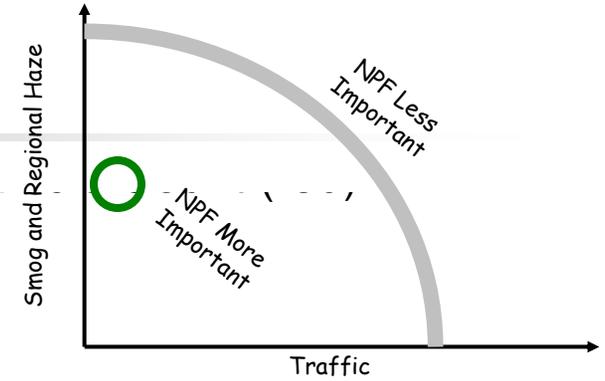
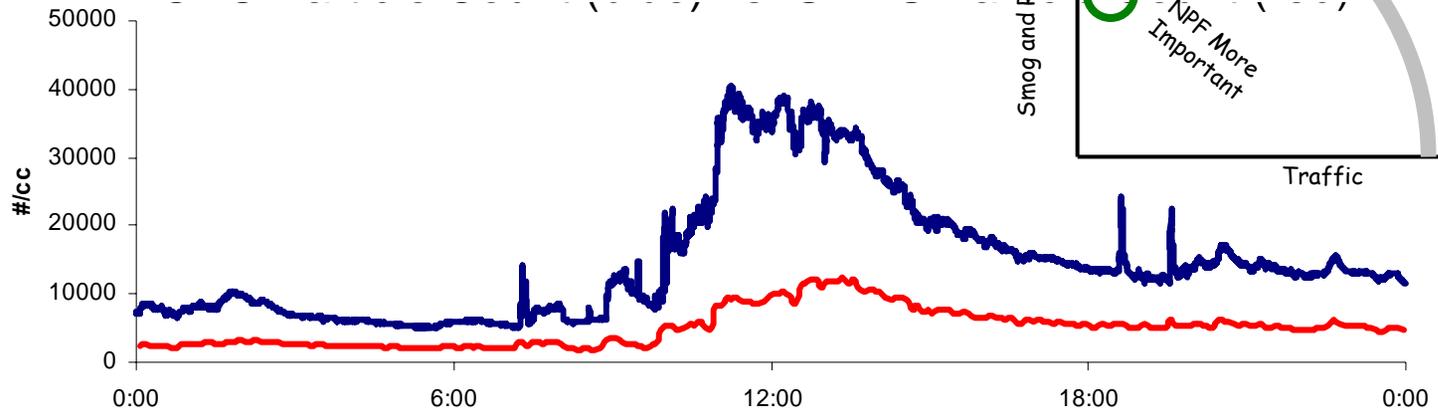
## What fraction of ultrafines are from NPF?

- To a large extent, we do not know
- Will vary by location
- NPF most important at midday and afternoons

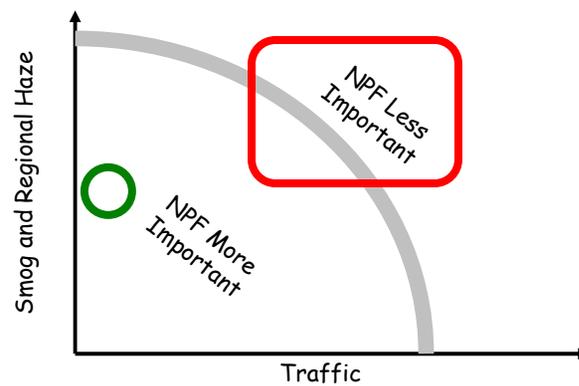
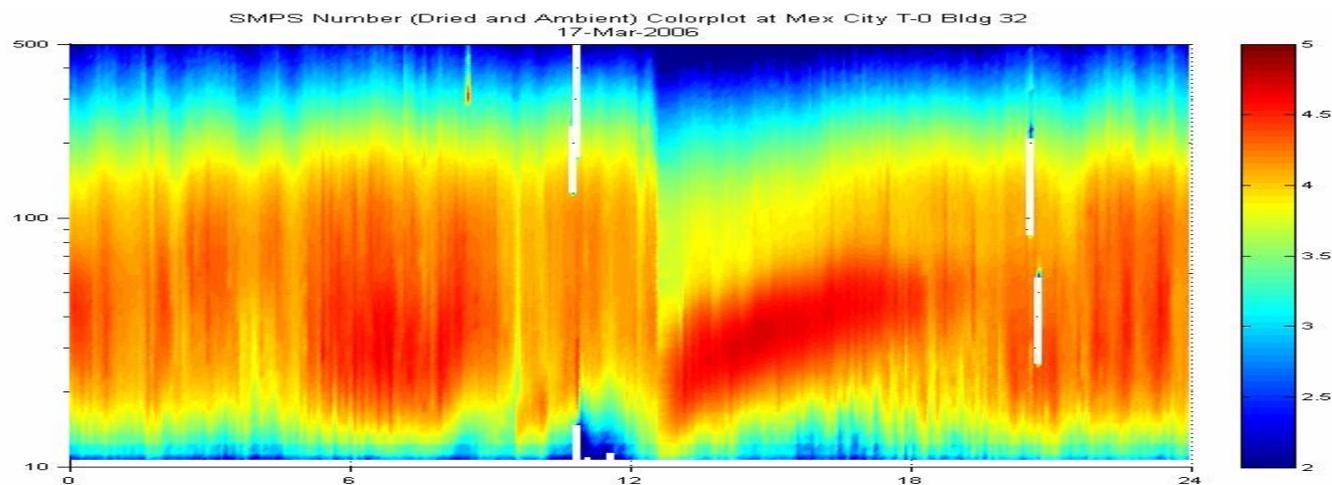




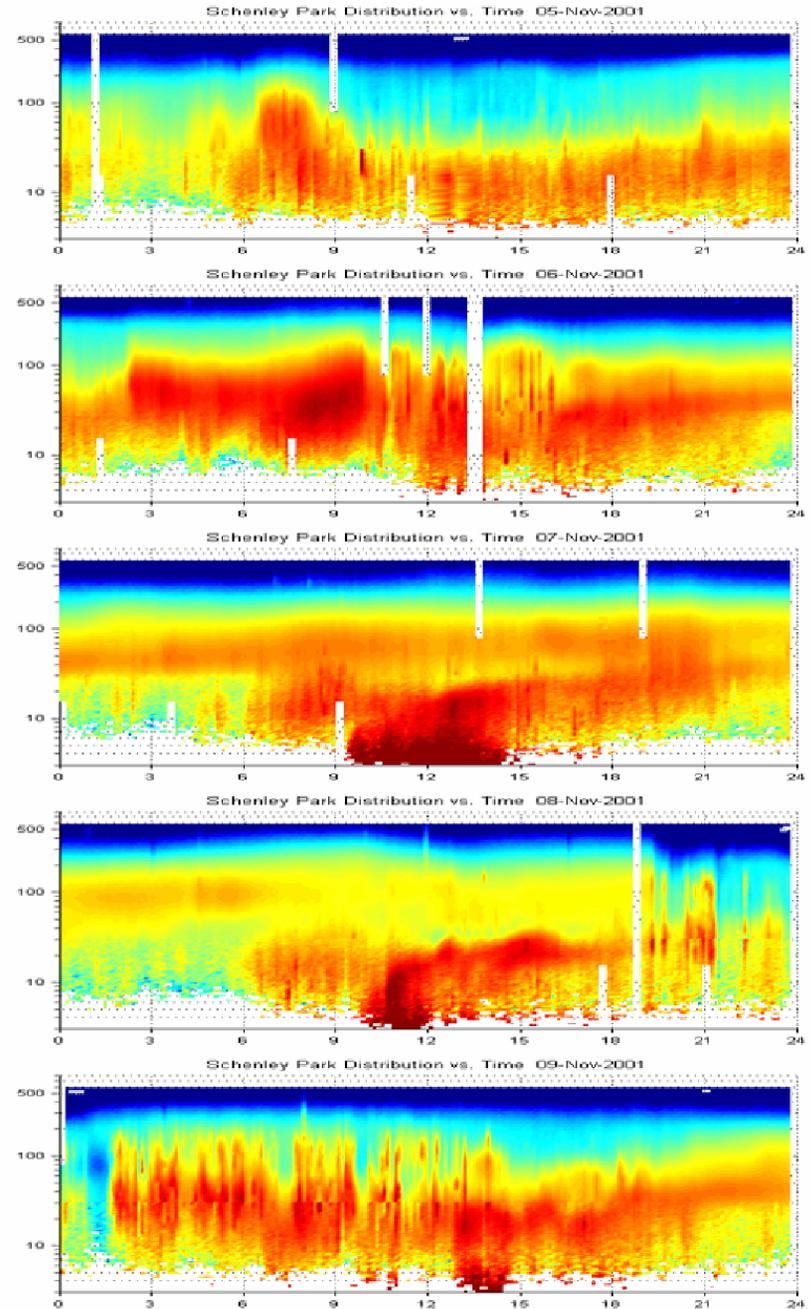
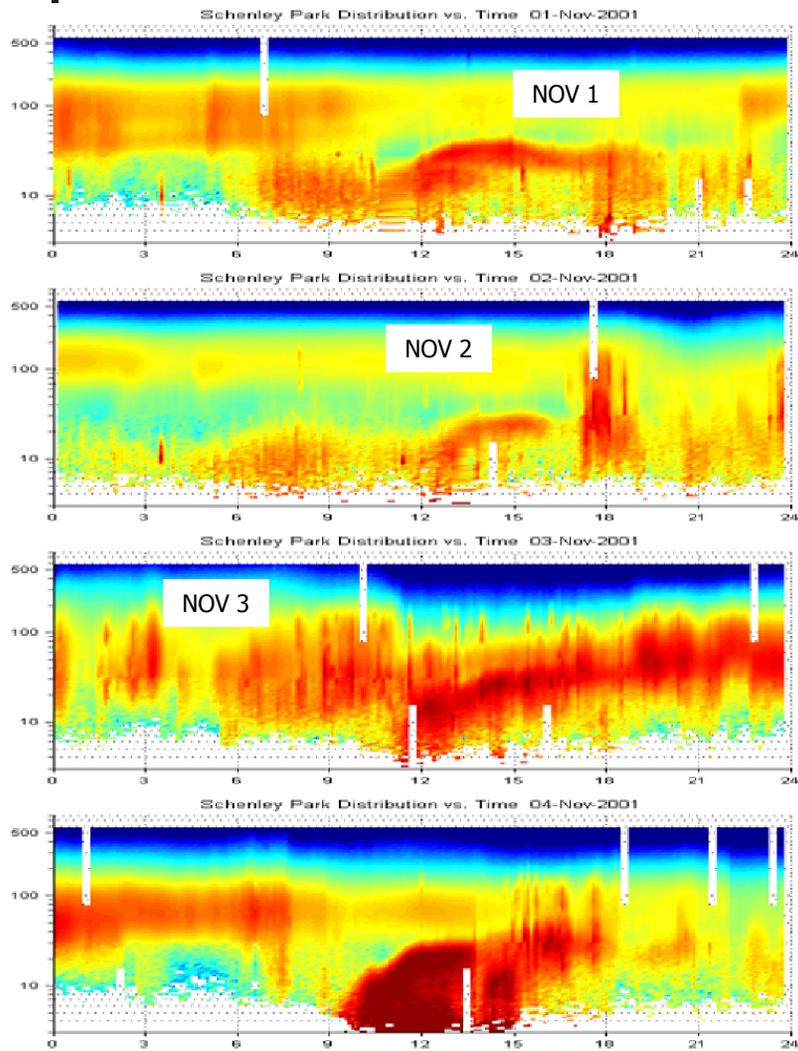
# Bondville Illinois, 2005



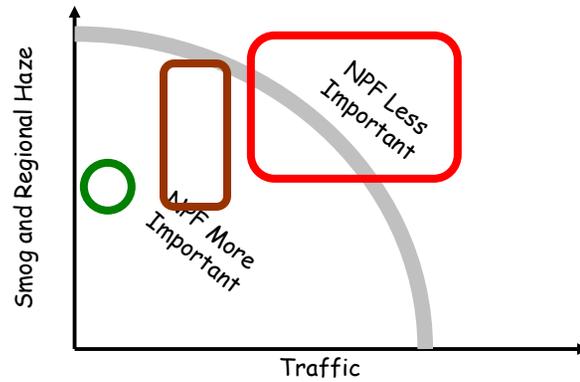
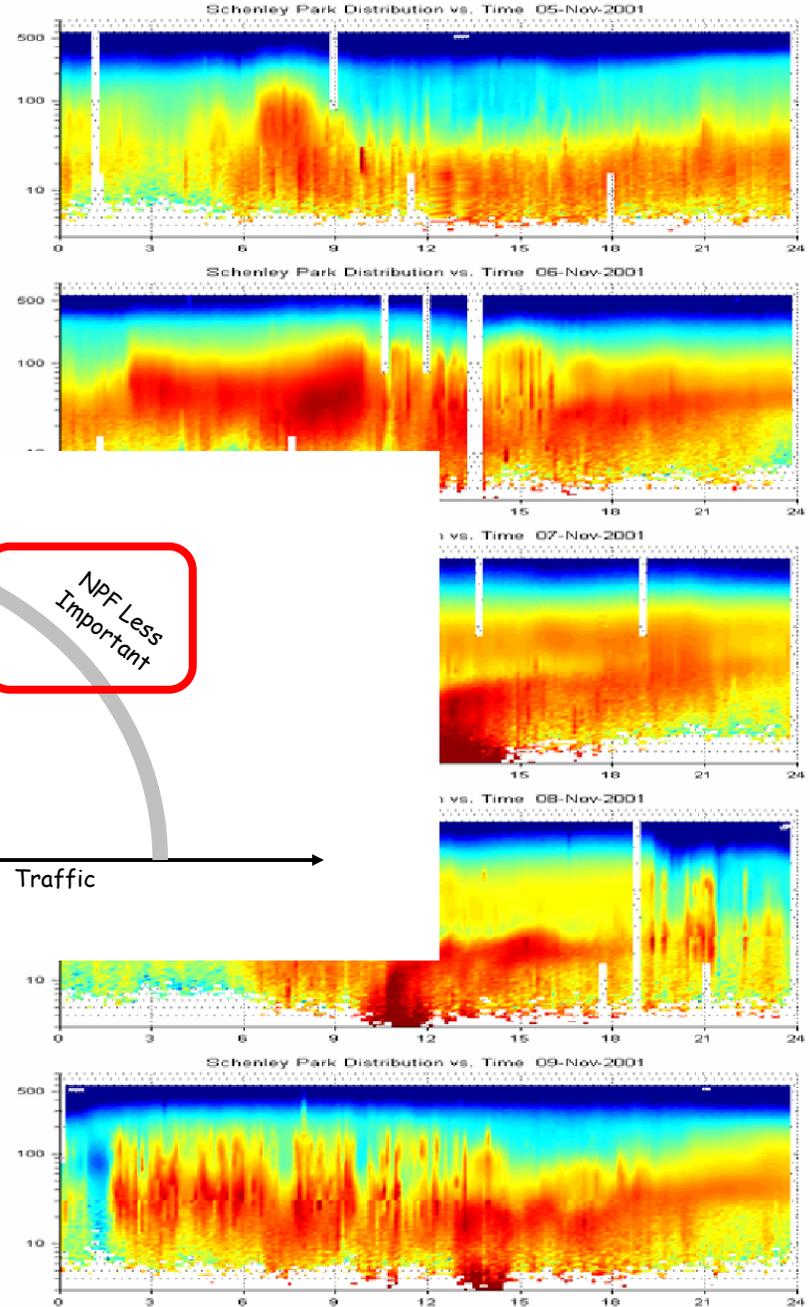
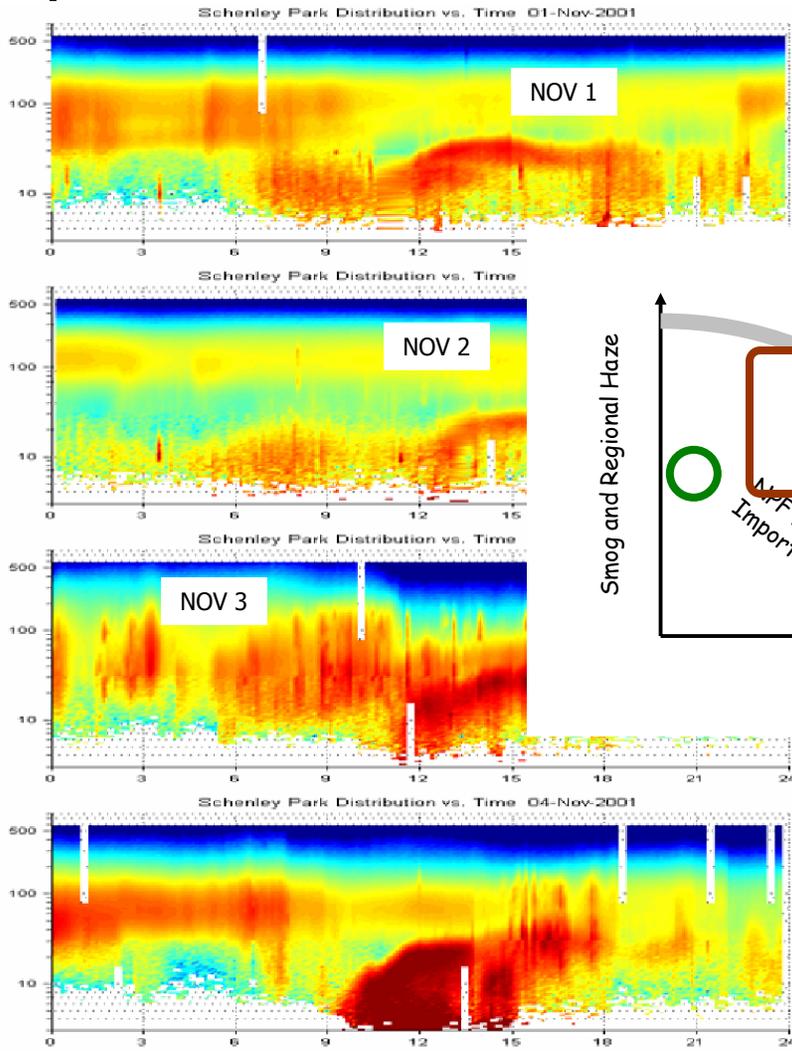
# Mexico City Mar 17, 2006

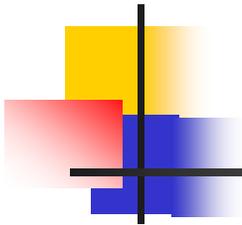


# Pittsburgh PA

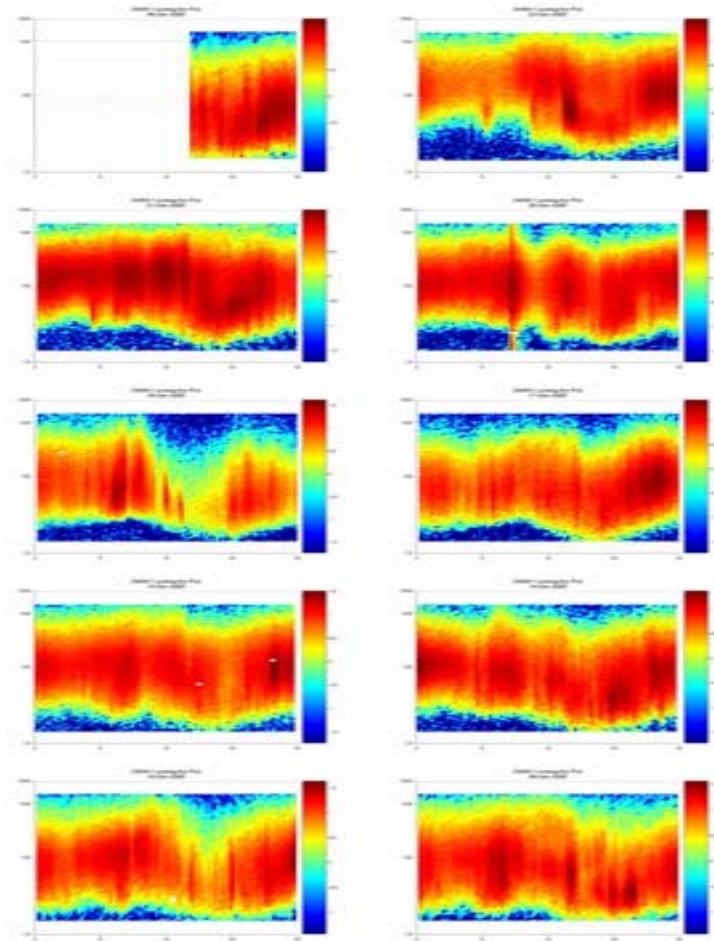


# Pittsburgh PA

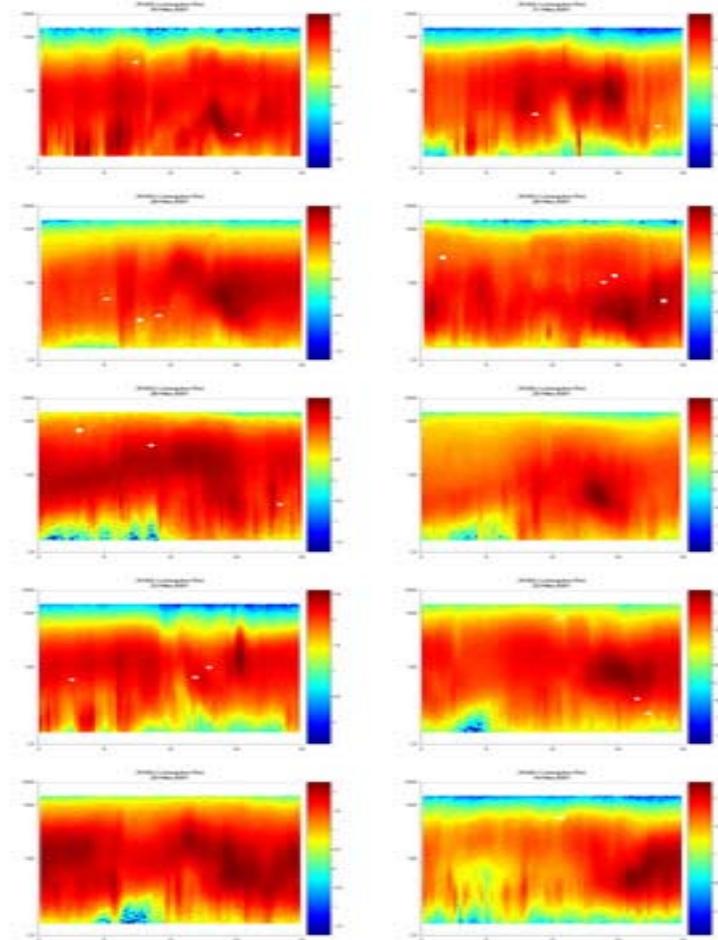


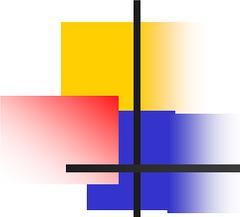


## DOWNY DEC 2000



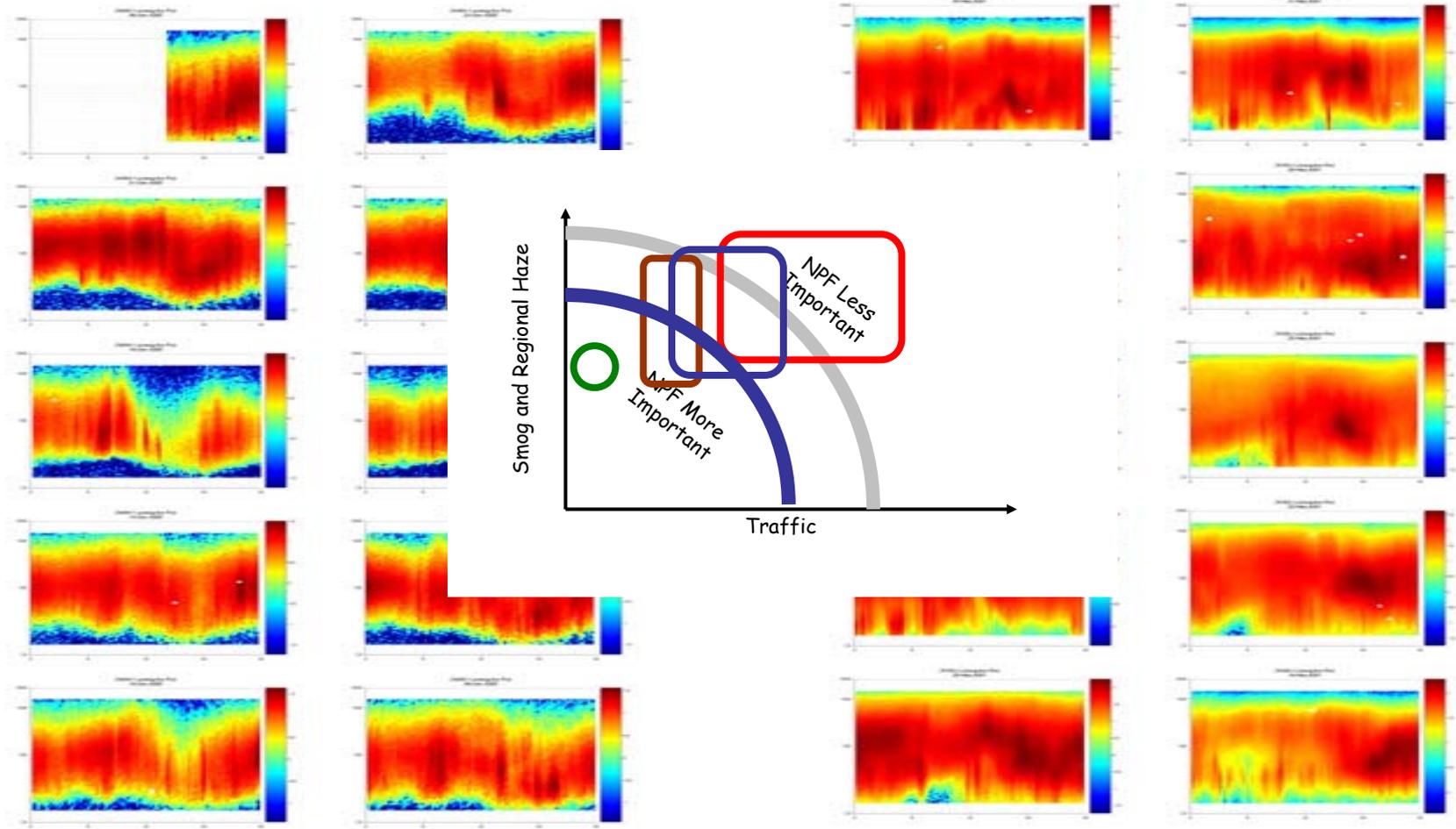
## RIVERSIDE MAY 2001

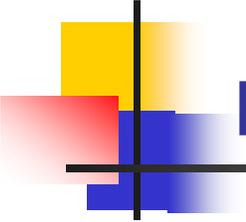




# DOWNY DEC 2000

# RIVERSIDE MAY 2001

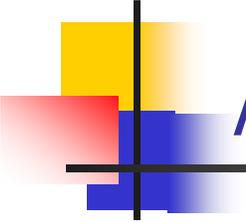




## Future Work

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- In the process of summarizing comparisons between Pittsburgh, Rochester, New York City, Atlanta, LA, and St. Louis.
- Unify measurements, detailed modeling, and a “screening” model that attributes ultrafines to traffic vs. new particle formation
- Sort out some details of chemistry and meteorology
- Monitor how implementation of further sulfur reductions from power plants, and advanced diesel influence new particle formation



# Acknowledgements

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## Students:

Alicia Kalafut – Ultrafine Particle Sampling  
Kazeem Olanrewaju – Ultrafine Particle Modeling

## University of Iowa Callaborators:

Greg Carmichael, Jerry Schnoor, Bill Eichinger

## Carnegie Mellon Collaborators:

Spyros Pandis, Tim Gaydos, Andrey Khlystov,

## Outside Collaborators:

Allen Williams

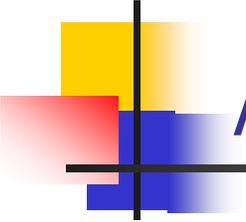
Illinois Soil and Water Survey

Peter McMurry

University of Minnesota

Jimenez Group, University of Colorado-Boulder

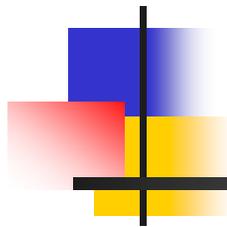
Jose-Luis Jimenez & Qi Zhang



## Additional Material

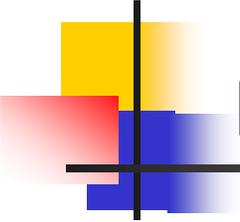
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- Modeling Framework
- Future Work
- Measurement Technique
- Traffic and Modeling
- Bondville
- Mexico
- Background on PM



# Modeling framework

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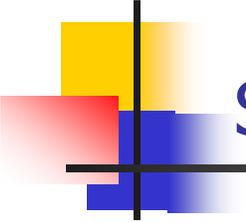


## Modeling H<sub>2</sub>SO<sub>4</sub> Nucleation

- Photochemical box model
- Modeled gas-phase species:
  - SO<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub>, OH, NH<sub>3</sub>
  - SO<sub>2</sub> measured, OH and NH<sub>3</sub> calculated from measurements
- 220 fixed size sectoins ranging in size from 0.8 nm to 10 μm

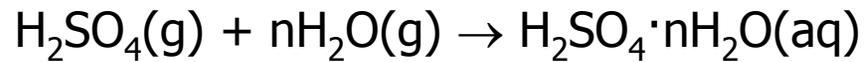
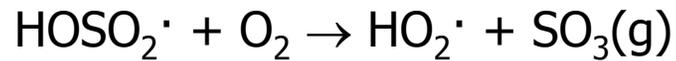
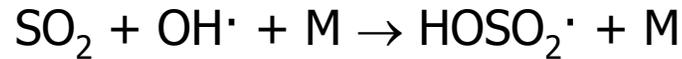
$$\frac{\partial C_{H_2SO_4}}{\partial t} = R_{gas}(SO_2, OH, T, P) + n^* R_{nuc}(C_{H_2SO_4}, NH_3, T, RH) \\ + R_{cond}^{H_2SO_4}(C_{H_2SO_4}, RH) - R_{dep}(C_{H_2SO_4})$$

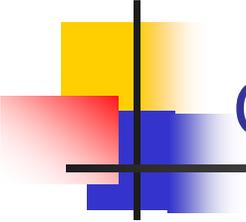
$$\frac{\partial N_i}{\partial t} = R_{nuc}(C_{H_2SO_4}, NH_3, T, RH) \\ + R_{coag}(N_j, RH) + R_{cond}^{N_i}(C_{H_2SO_4}, RH) - R_{dep}(N_i)$$



## Sulfuric Acid Nucleation Formation

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# Chemistry and deposition

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- Sulfuric acid is produced from the reaction of  $SO_2$  and  $OH$ <sup>1</sup>

$$R_{gas} = k_{SO_2} [SO_2][OH]$$

- Deposition:

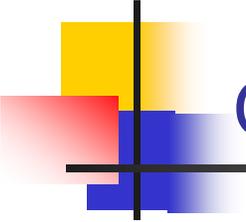
$$R_{dep} = \frac{v_{dry,i} C_i}{H}$$

- $v_{dep}$  for aerosol dependent on particle size<sup>2</sup>, 1.0 cm/s used for  $H_2SO_4$ <sup>3</sup>

<sup>1</sup> $k_{SO_2}$  from DeMore et al. (1994)

<sup>2</sup>Hummelshoj et al. (1992)

<sup>3</sup>Brook et al. (1999)



## Condensation

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- Condensation rate:

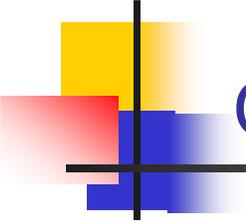
$$J = 2\pi N_i D D_p F(Kn) A(p - p_0)$$

- Change in number concentration:

$$R_{cond}^{N_i} = F_{i-1} N_i C_{H_2SO_4} - F_i N_i C_{H_2SO_4}$$

- Flux between fixed sections:

$$F_i = \frac{6M_{H_2SO_4} K_{mt}^i D_p}{RT\rho\pi \left[ (D_p^{i+1})^3 - (D_p^i)^3 \right]}$$



# Coagulation

---

- Coagulation rate:

$$R_{coag} = \frac{1}{2} \sum_{j=1}^k f_k K_{j,k-j} N_j N_{k-j} - N_k \sum_{j=1}^{\infty} K_{k,j} N_j \quad , \quad k \geq 2$$

- Generalized coagulation coefficient\*

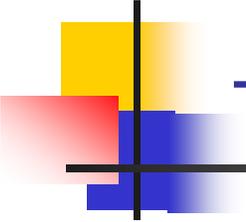
$$K_{12} = 2\pi(D_{p1} + D_{p2})(D_1 + D_2)\beta$$

- Linear interpolation to preserve mass, number:

$$f_k = \frac{V_{k+1} - V_p}{V_{k+1} - V_k}$$

$$f_{k+1} = \frac{V_p - V_k}{V_{k+1} - V_k}$$

\* $\beta$  calculated using method of Fuchs, (1964)

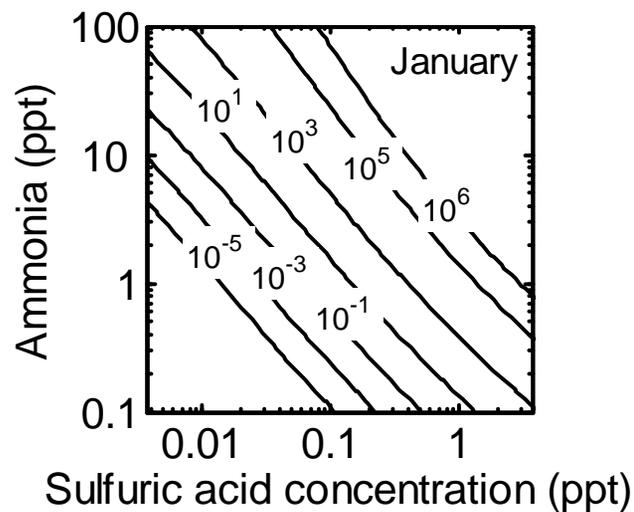
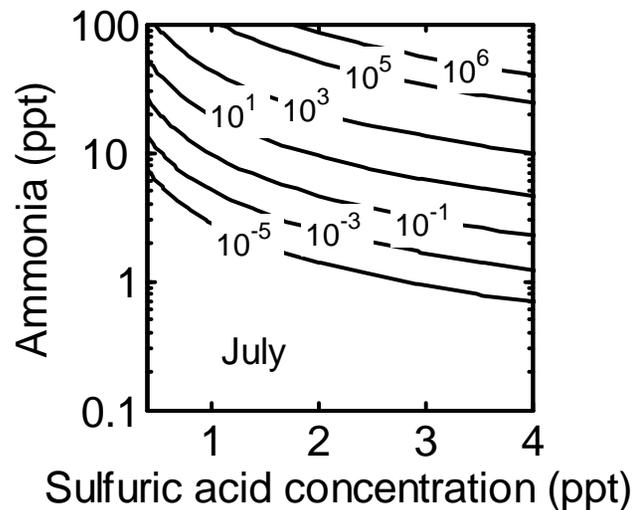


## Ternary nucleation correlation

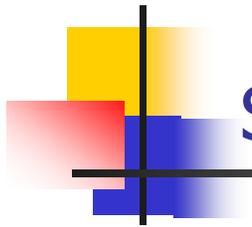
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- Parameterization from Napari et al. (2002)
  - Calculates nucleation rate using parameters of T, RH, NH<sub>3</sub>, H<sub>2</sub>SO<sub>4</sub>
- Approximation for initial nuclei size dependent on nucleation rate and T
  - 1 nm under typical July conditions
  - 0.8 nm under typical January conditions
- Approximation for composition of initial nuclei, also dependent on nucleation rate and T
  - Approximately 4 molecules of sulfuric acid, 4 of ammonium in July
  - 2 molecules of sulfuric acid, 2 of ammonium in January

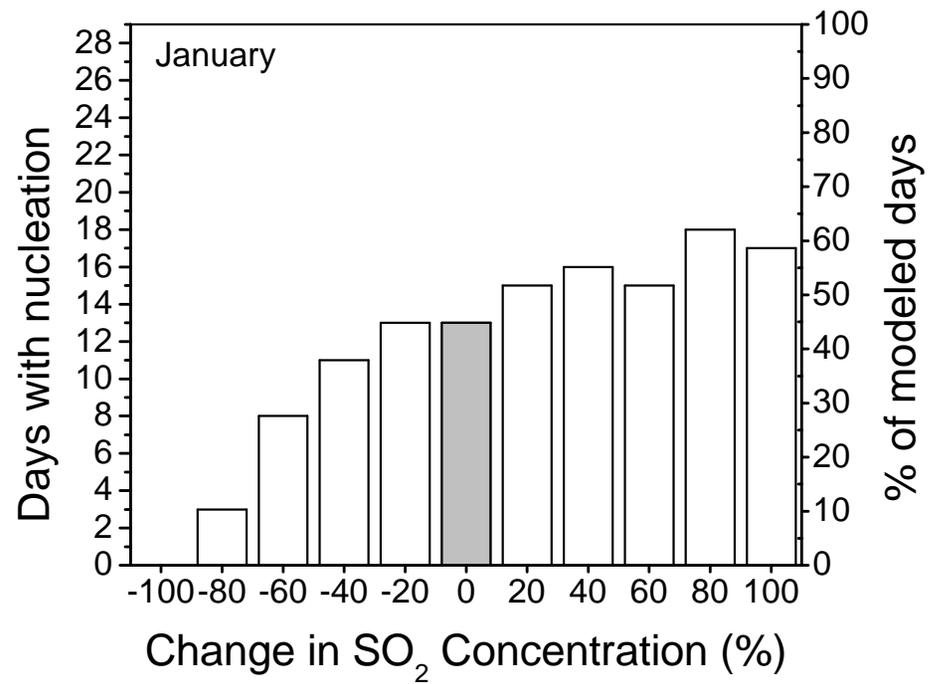
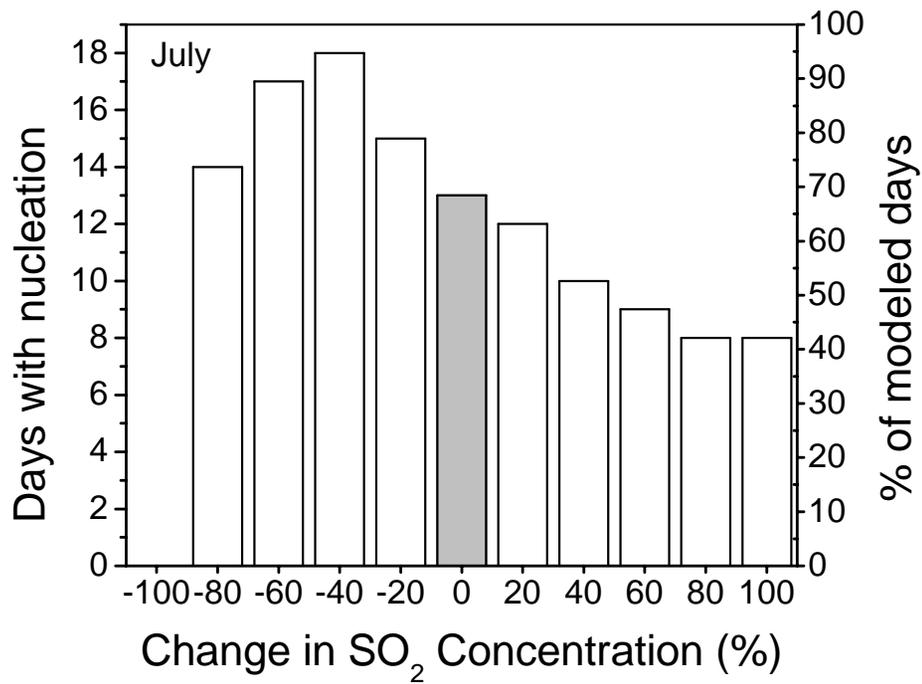
# Nucleation rates

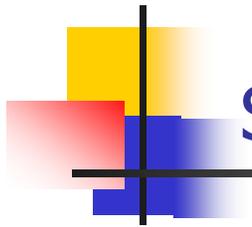


- Presence of gas-phase ammonia necessary for nucleation in July
  - 10 ppt generally enough
- Both ammonia and sulfuric acid play important role in January
  - Cloud cover, weaker UV radiation limit production of sulfuric acid

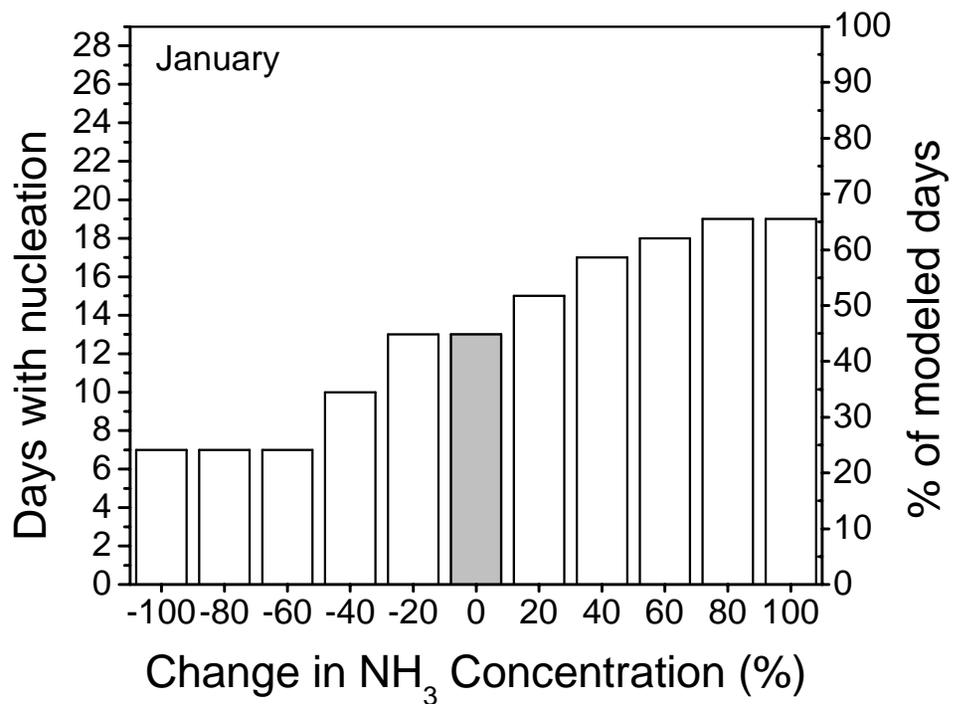
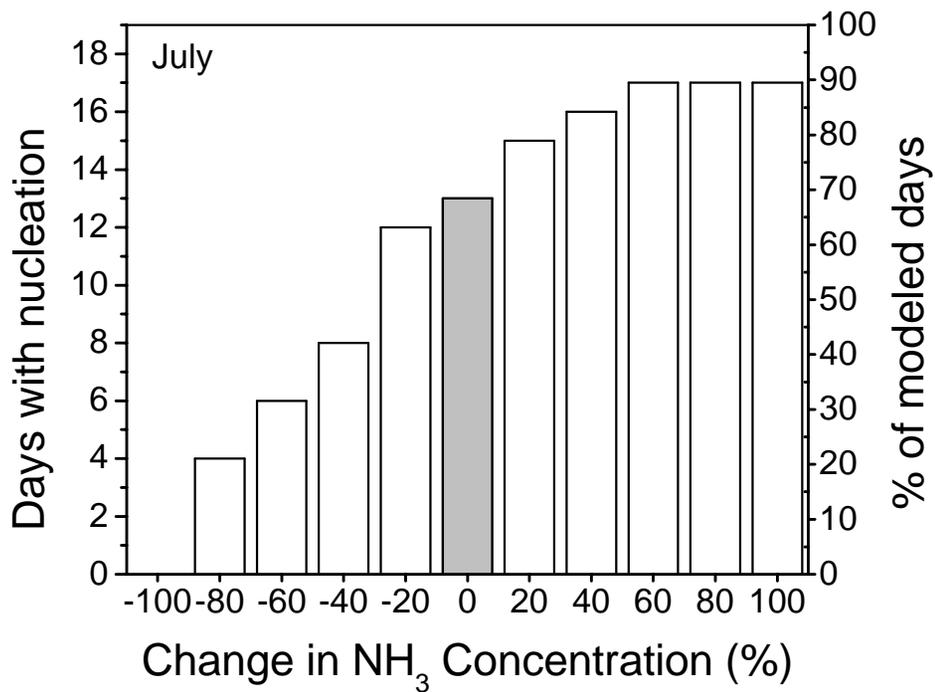


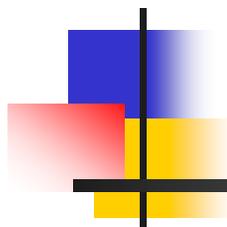
# Sensitivity to SO<sub>2</sub> Emissions





# Sensitivity to NH<sub>3</sub> Emissions

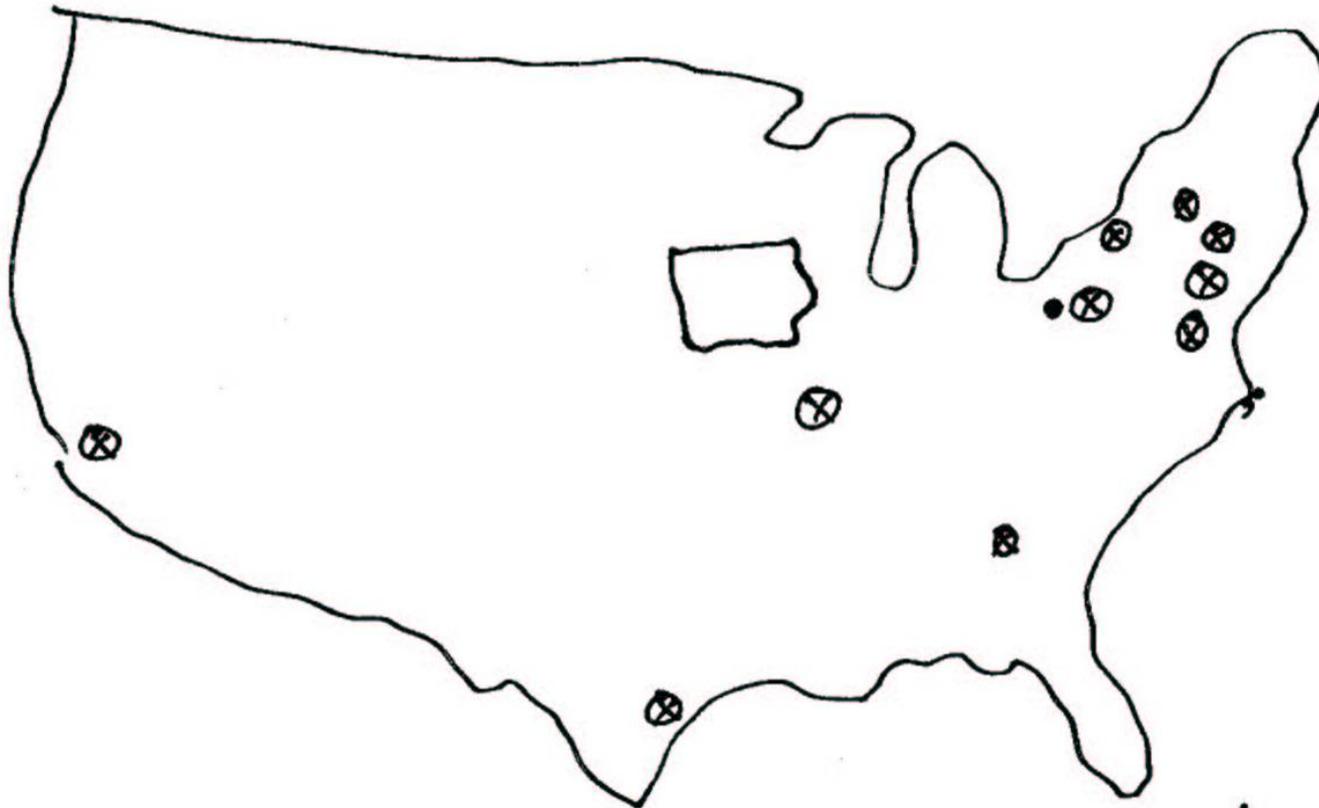




# Future Work

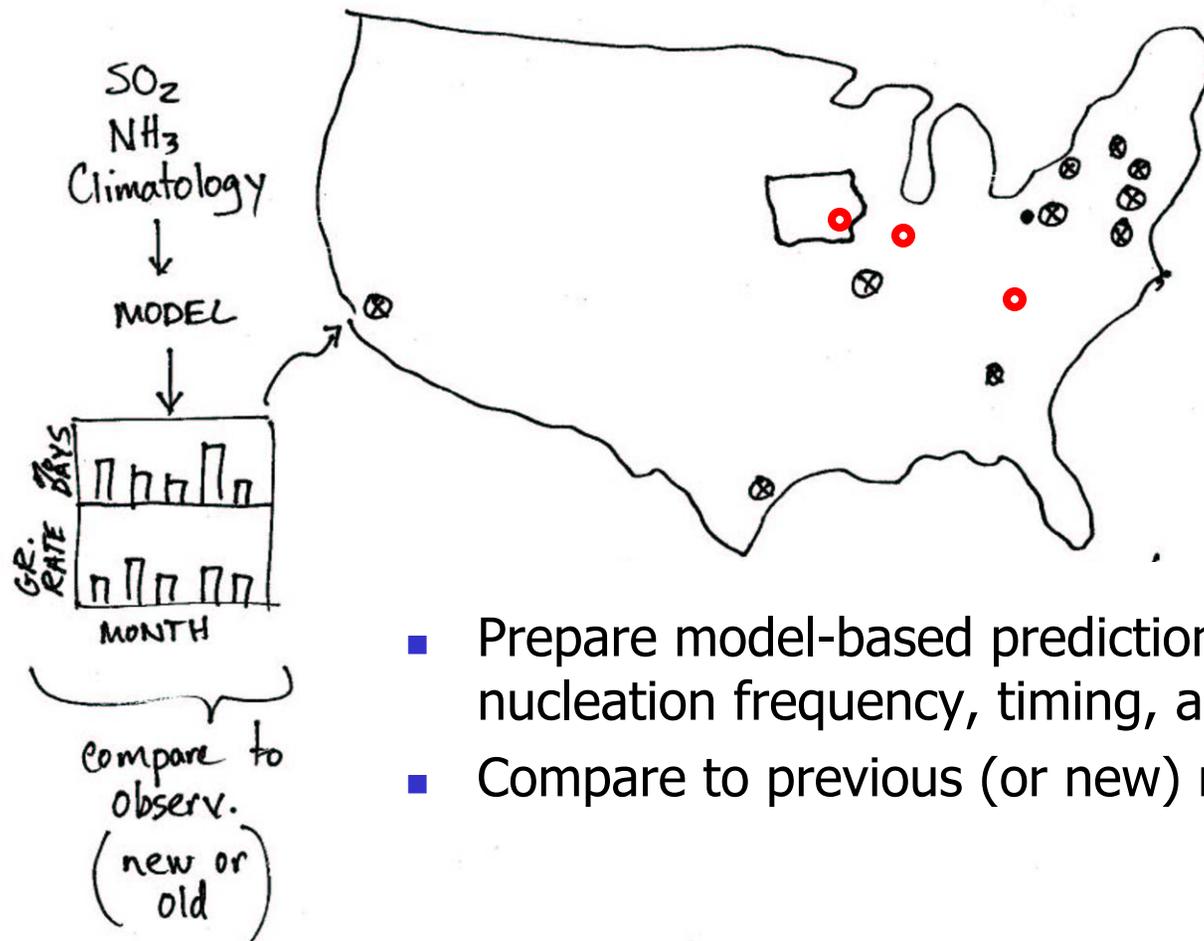
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## Observational Analysis

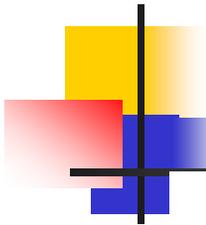


- Determine spatial extent of nucleation from 'Supersite' 2001 observations and compare to  $\text{SO}_2$  and  $\text{NH}_3$  maps.

# Observation-Model Hybrid

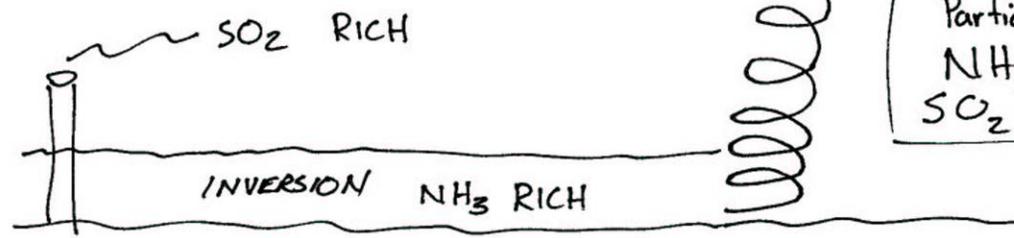


- Prepare model-based predictions of seasonal nucleation frequency, timing, and growth rate
- Compare to previous (or new) measurements



# Vertical Profile Sampling

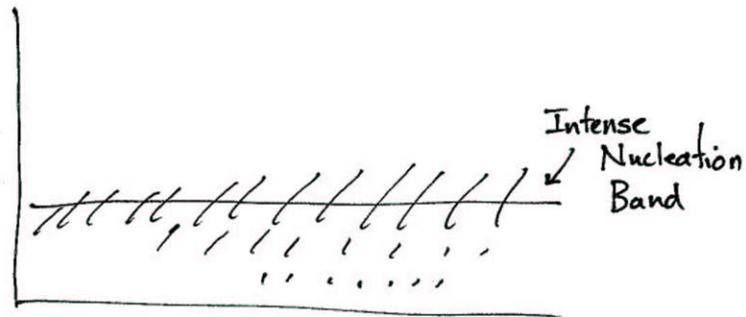
VERTICAL PROFILE



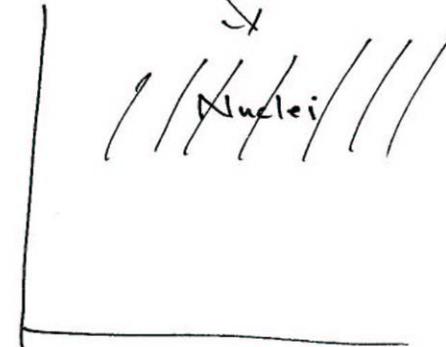
Instrumented  
Plane or Balloon

Particle Count
NH <sub>3</sub>
SO <sub>2</sub>

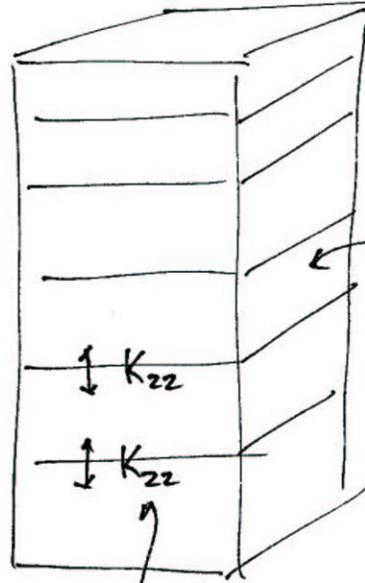
Strong  
NH<sub>3</sub> Role



No NH<sub>3</sub>  
Role



# Vertical Mixing Models



$$\frac{\partial c}{\partial t} \propto \frac{\partial}{\partial z} \left( K_{zz} \frac{\partial c}{\partial z} \right)$$

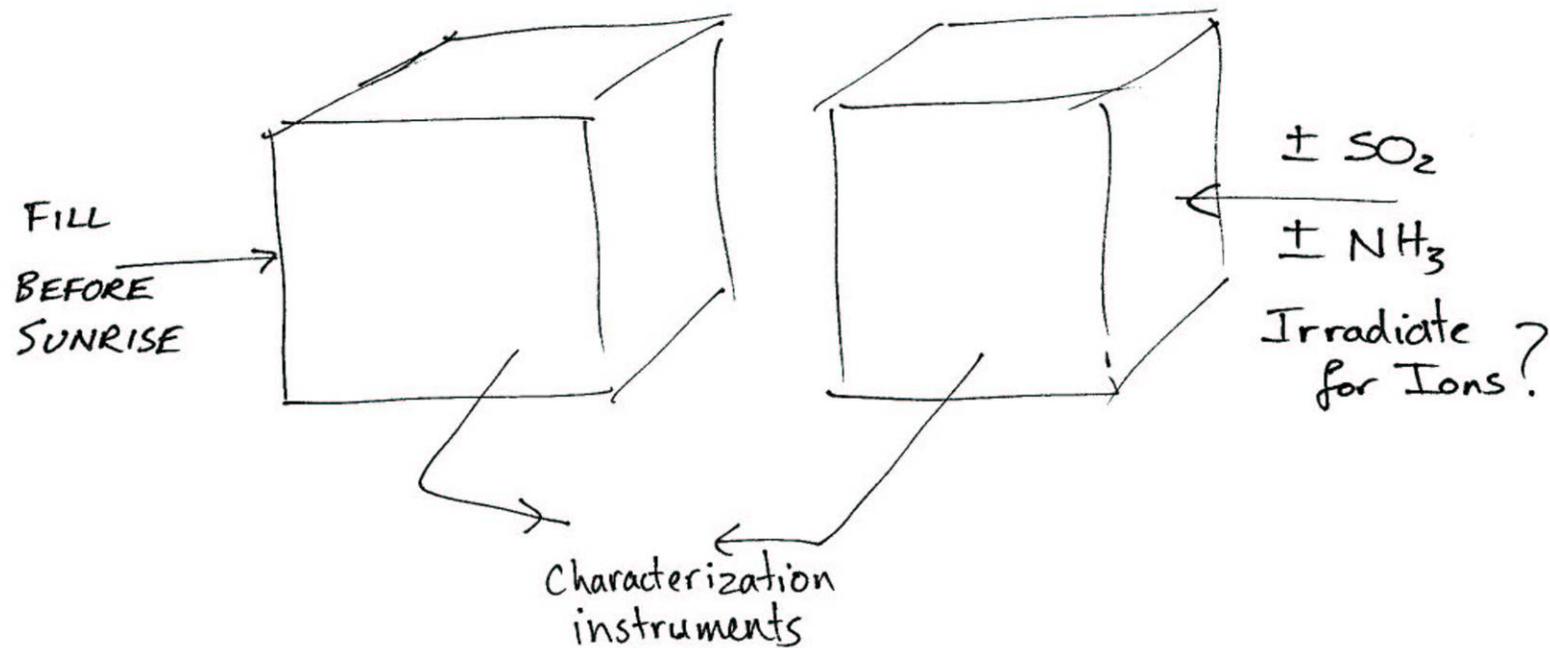
vertical eddy diffusion coefficient  
from Monin-Obukhov similarity  
theory

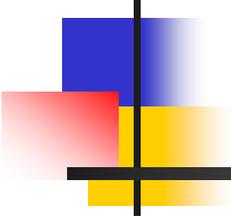
Function  
Dependent on  
Stability Class

$$K_{zz} = \frac{K u_* z}{\Phi(z/L)}$$

Monin-Obukhov Length

# Perturbed Real Air Samples



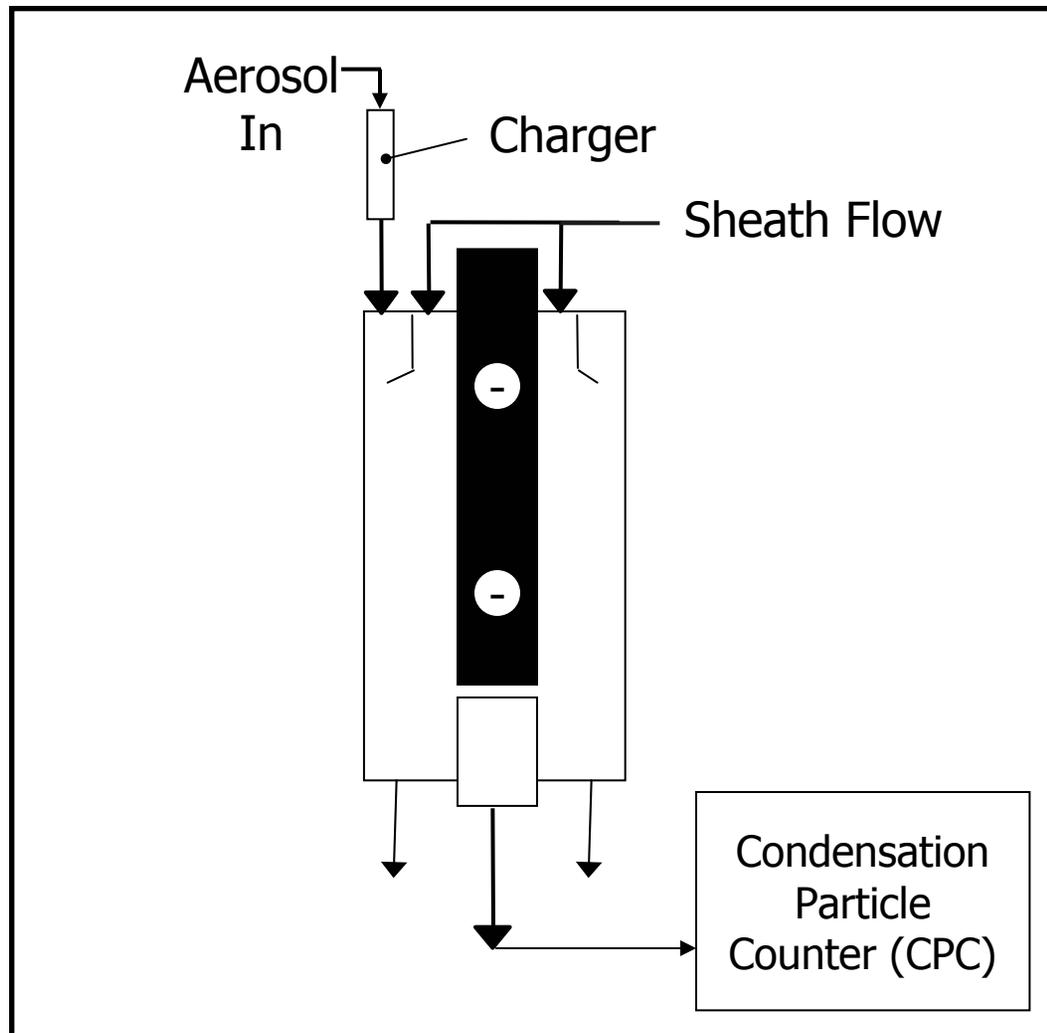


# Measurement technique

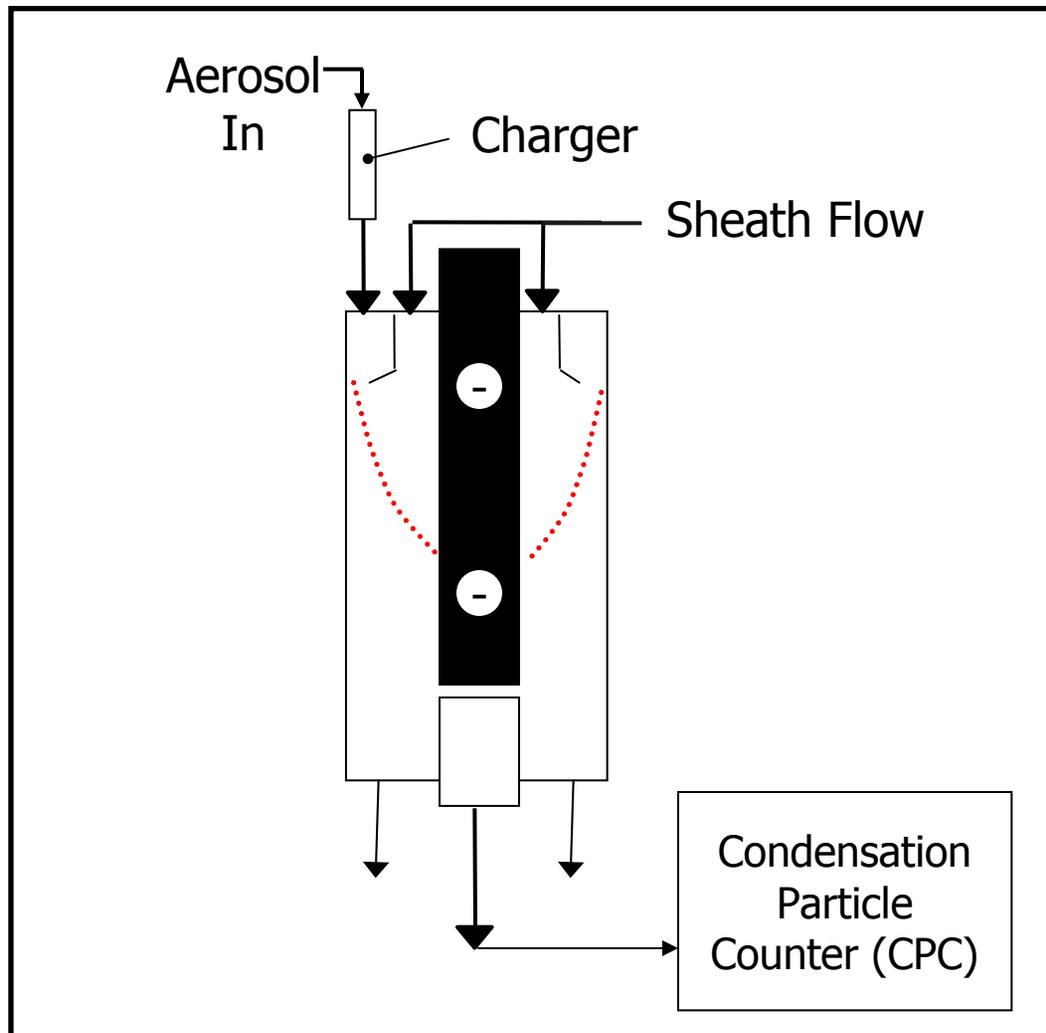
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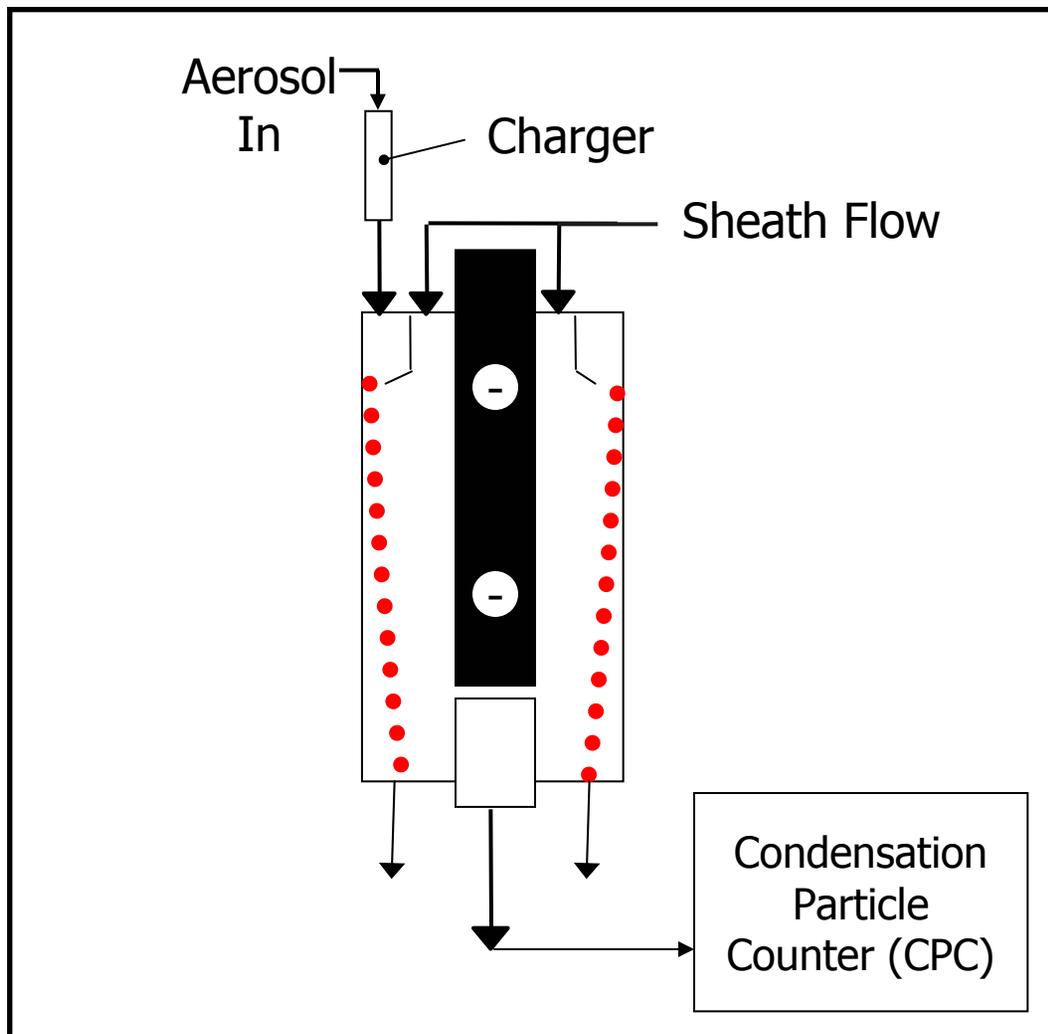
# Scanning Mobility Particle Sizer



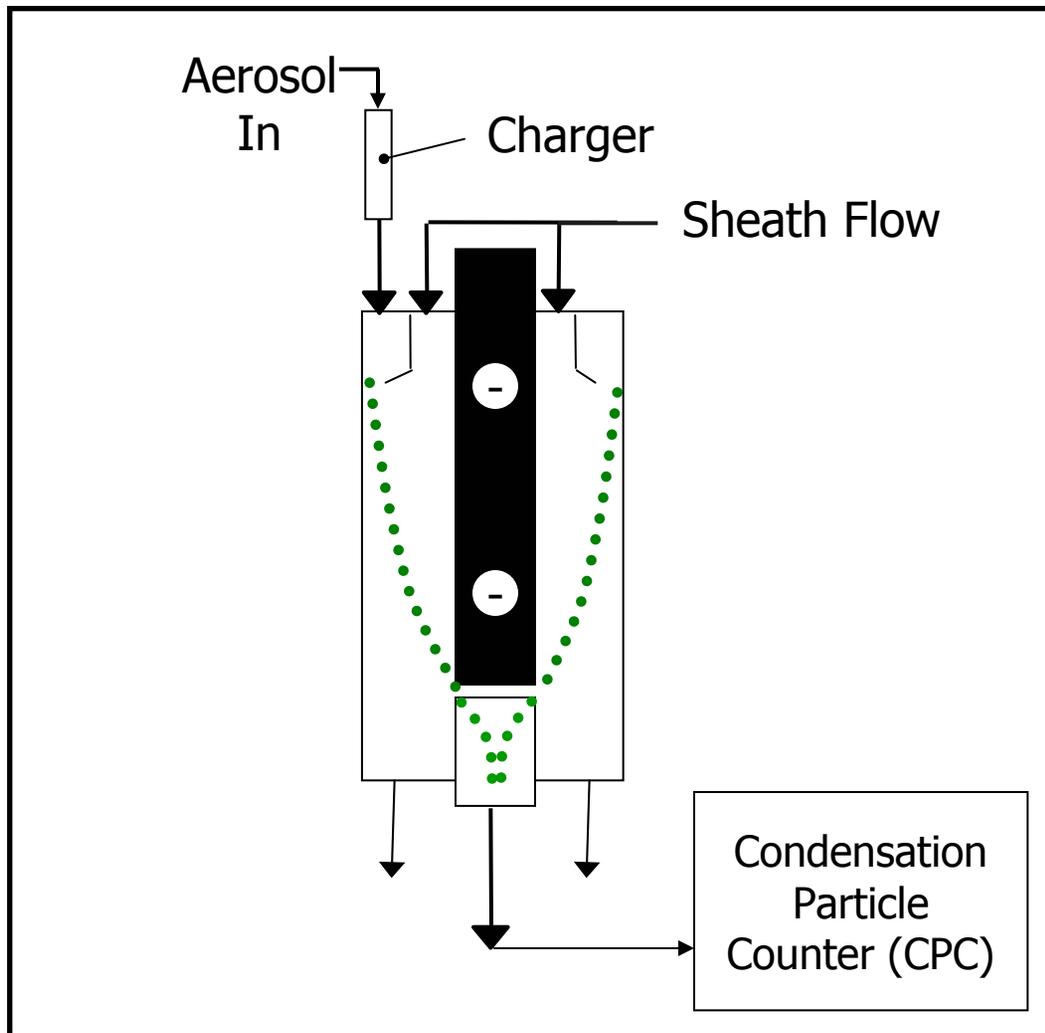
# Scanning Mobility Particle Sizer

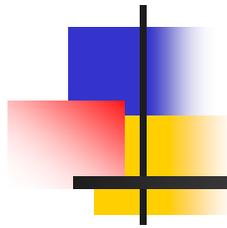


# Scanning Mobility Particle Sizer



# Scanning Mobility Particle Sizer

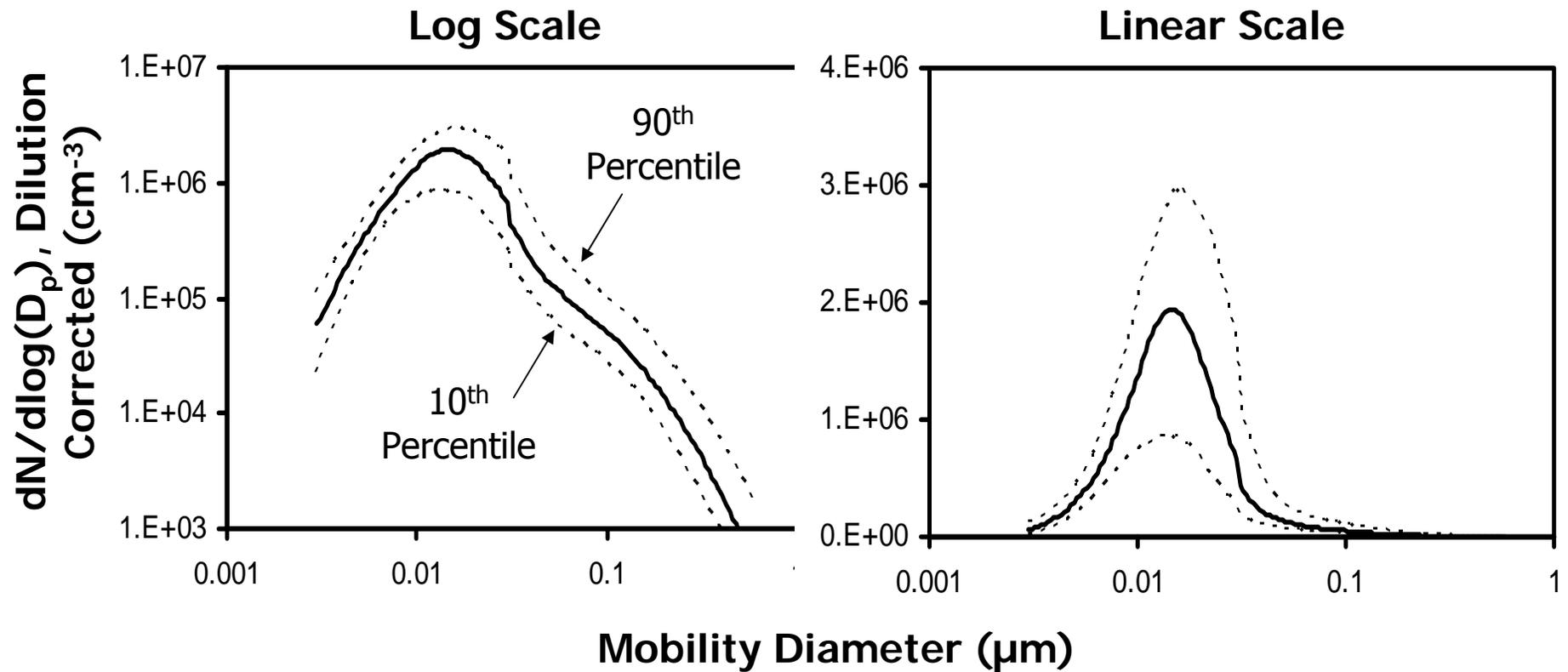




# Traffic and modeling

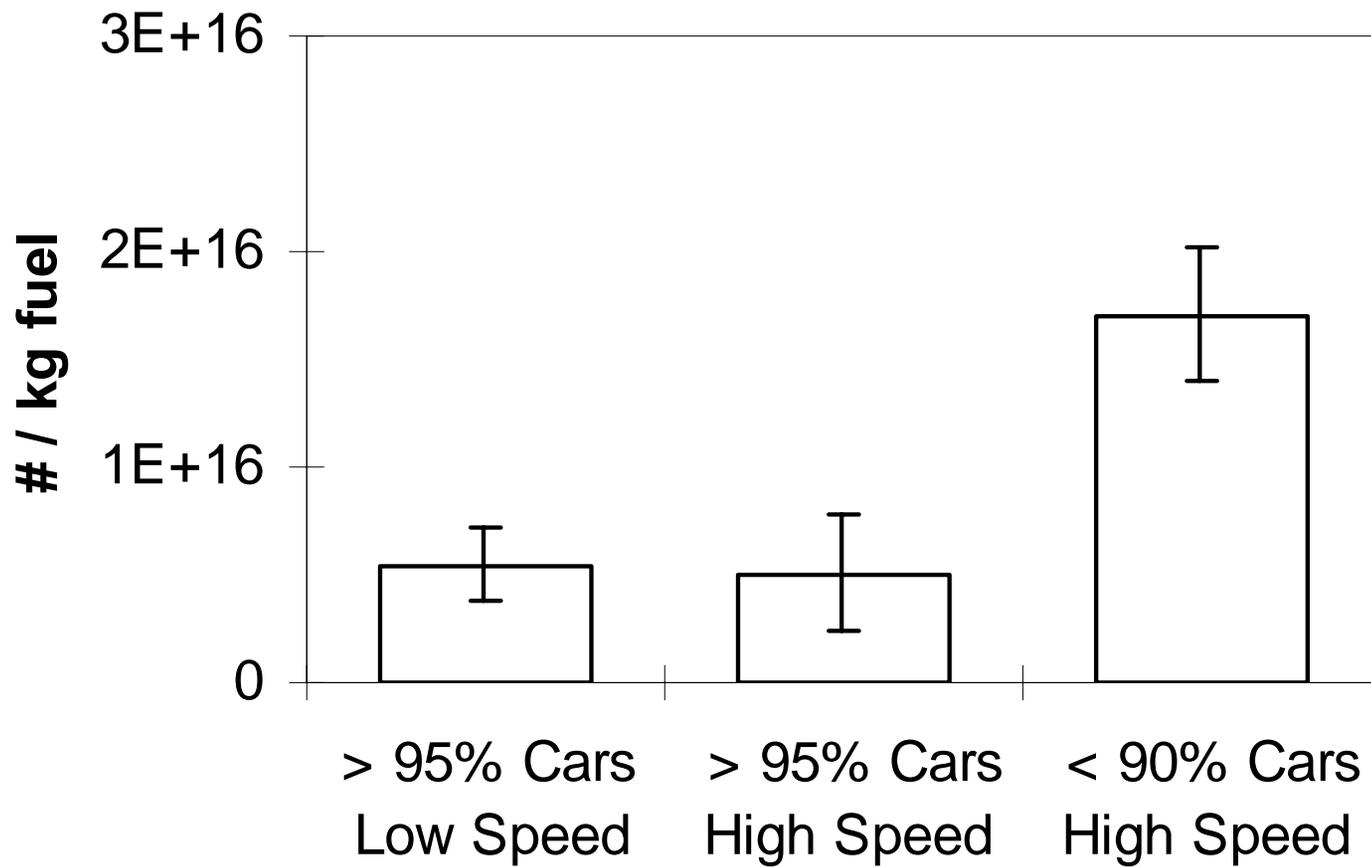
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# Traffic Emission Sampling

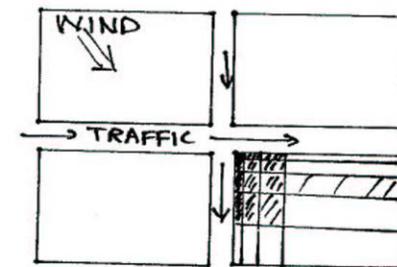
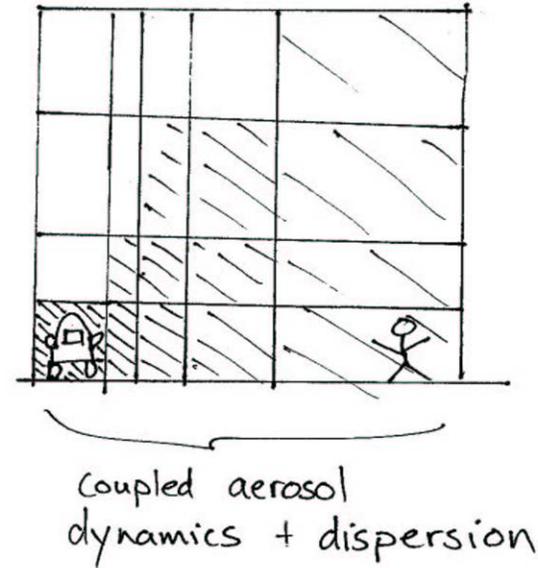
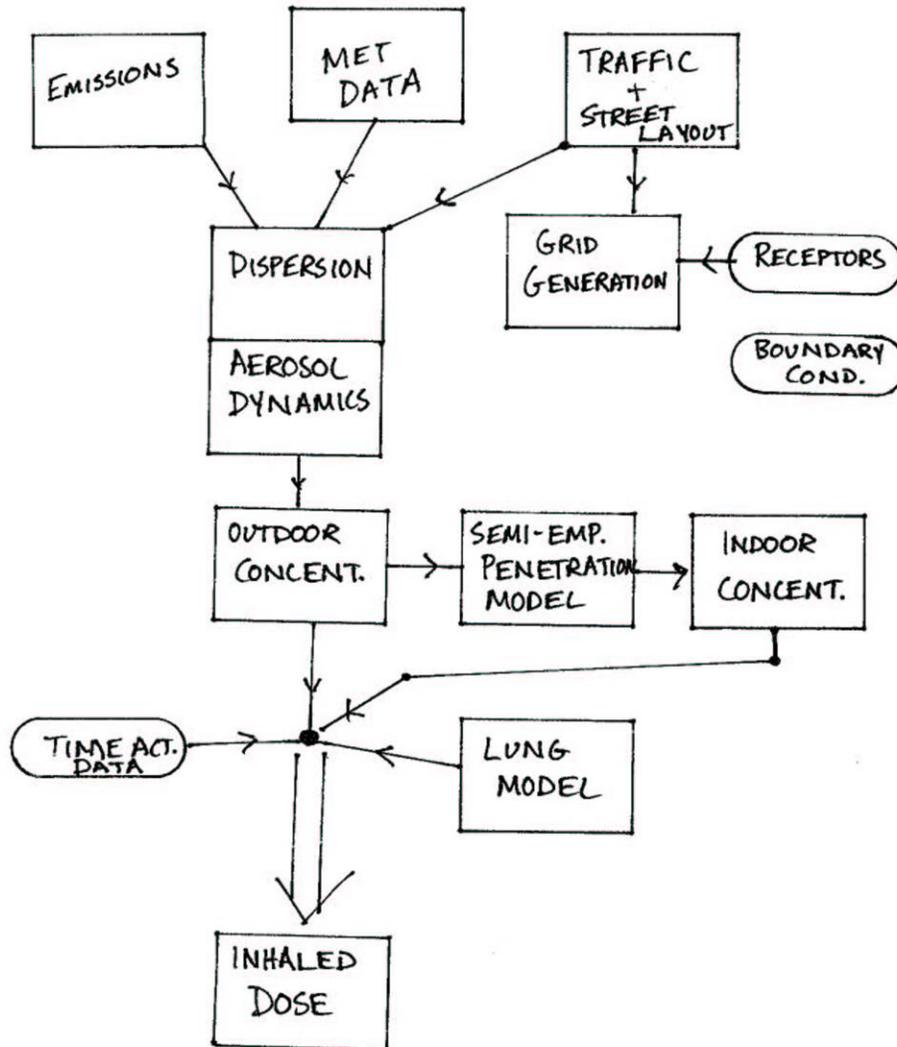


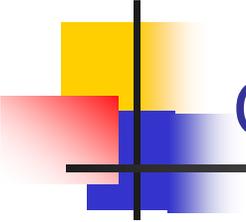
Note: 10<sup>th</sup> and 90<sup>th</sup> percentiles on 30-min average size distributions

# Total # Emission Factors for Vehicles



# "Spin-Off" of Modeling Techniques





## Calculation of gas-phase ammonia

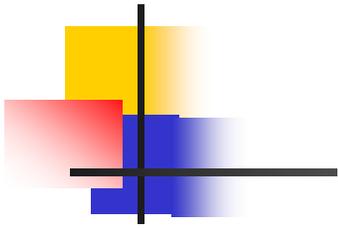
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- Estimated from comparison of measured total  $\text{NH}_3$  with  $\text{PM}_{2.5}$ ,  $\text{SO}_4$ ,  $\text{NO}_3$ 
  - When sufficient ammonia is available to neutralize these species, excess ammonia assumed to be in gas-phase
  - Otherwise, lower limit for parameterization used
- Compared to equilibrium calculations using GFEMN for total  $\text{NH}_3$ ,  $\text{NO}_3$ , and  $\text{SO}_4$

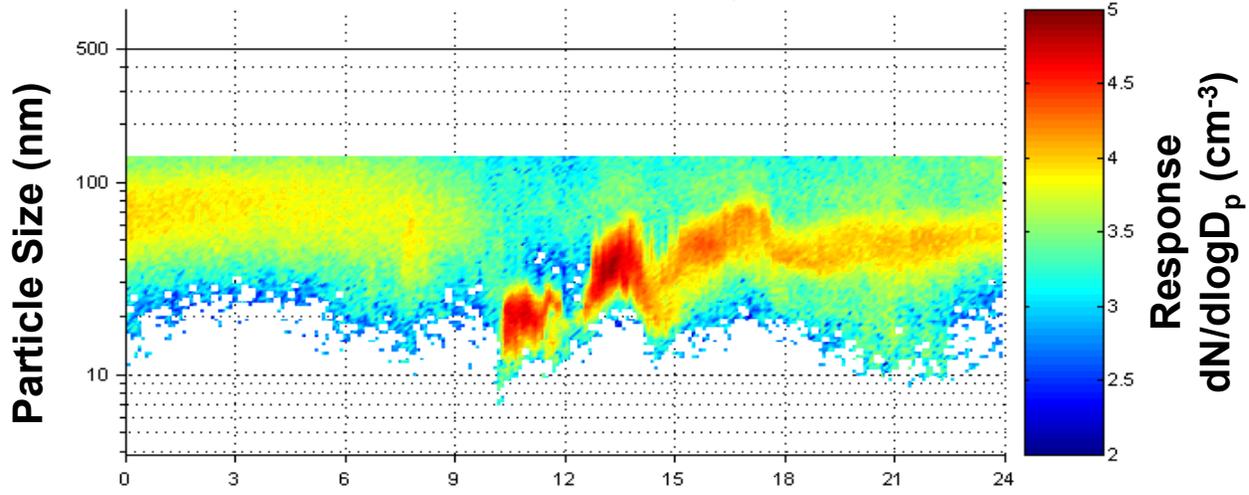
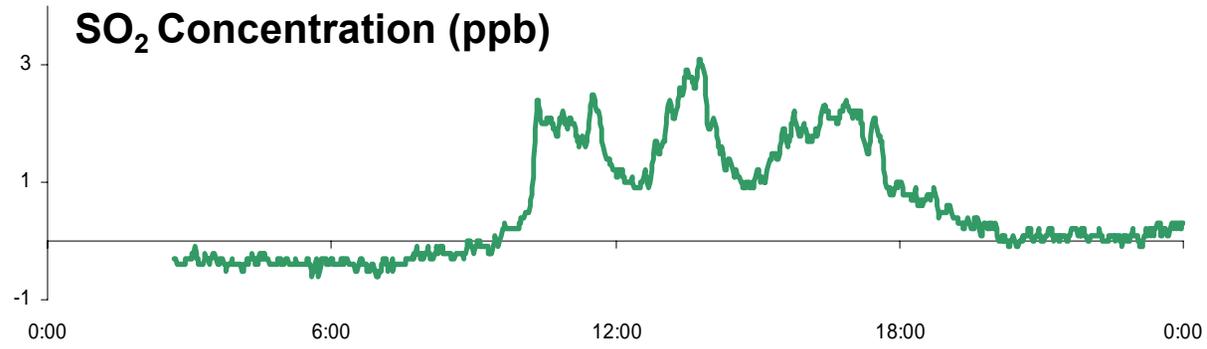
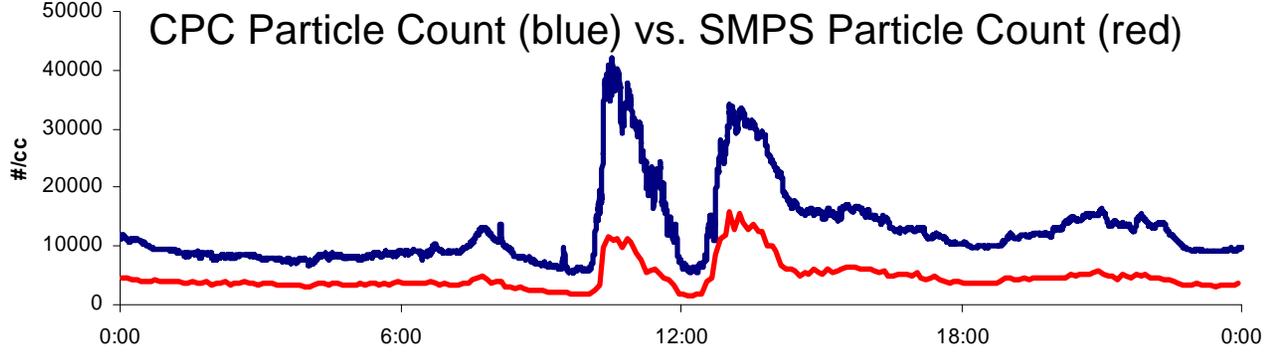


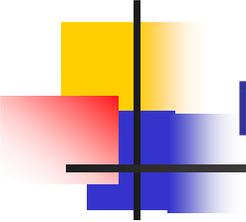
# Bondville





# September 18, 2005

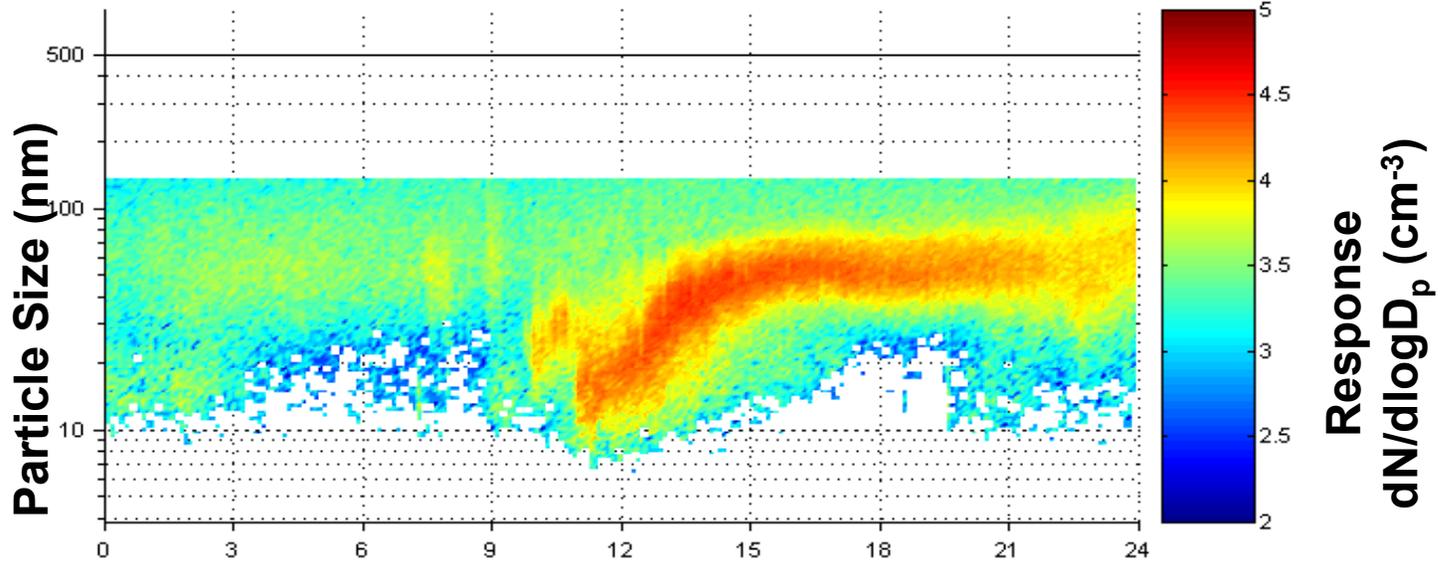
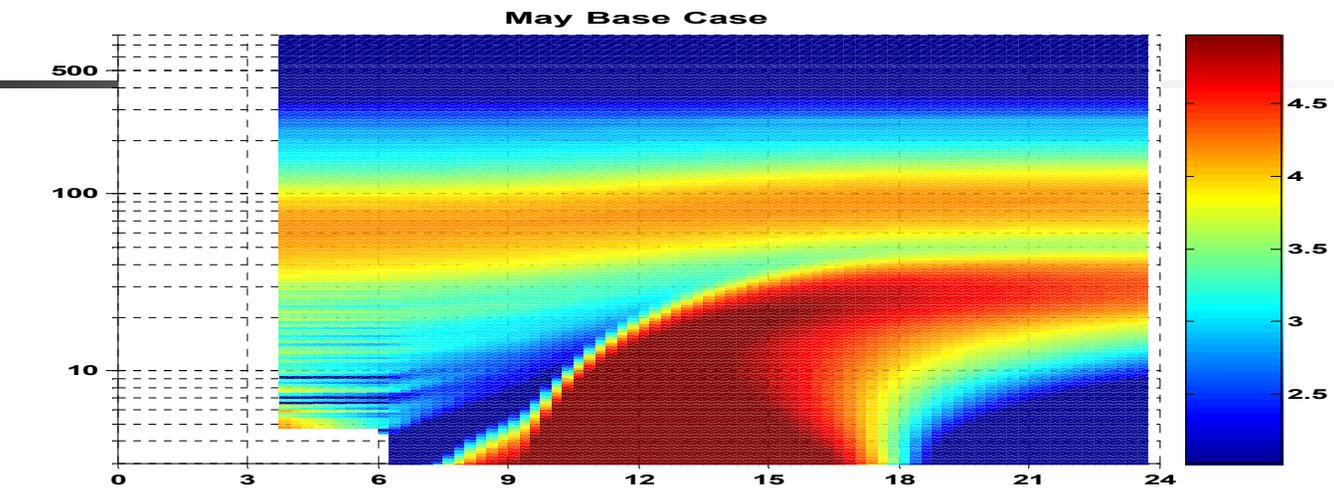
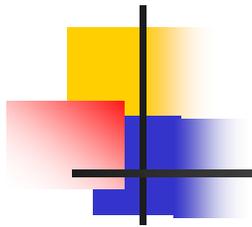




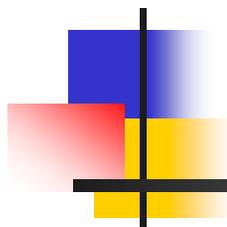
## Nucleation Box Model with May 2003 SWS Data

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- Constant  $\text{NH}_3$  of  $1.5 \mu\text{g m}^{-3}$  (2200 ppt)
- Constant  $\text{SO}_2$  of  $4 \mu\text{g m}^{-3}$  (1.54 ppb)
- Actual median RH and T from May dataset
- Bright sun used for UV / OH calculation







# PM Background Info

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# Typical Chemical Makeup

