

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

	ning confidentiality O Buyer	of identity, check		box and comple		ront an	d reverse sides of this	s form:
Name :	of Buyert_ Beta (Offshore					Account I.D. #	166073
Name (of Seller† Taube	(Do not fill-out this line if claser Oil Company	aiming confidentiality of E	Buyer – see reverse side (of form)		Account I.D. #	700153
	mediary:	(Do not fill-out this line if cla	iming confidentiality of S	Seller – see reverse side o	of form)		Account i.D. #	700100
nterme	ediary Name				4		Account I.D. #	
Forwa	rd Contract to:	Purchase	O Sell	O Swap		Prem	ium paid for this contr	act:
	n for this report:	Initial ial agreement date	O Extension	O Modificatio (Circle items mod				
-	when this agreem		12/26/2019)			when the RTCs are to 4/2020	be delivered:
	Terms of RTC Tr	lock RTCs transact	Original Zone (Coastal or	Maximum	Pr	ф rice	(Attach a separate for	○ No m if more spaces are need
Cycle	Compliance Year	Compliance Year	Inland)	Quantity (Pounds/year)	(\$/Pd	ound)	Origin	of Credits
1	12/31/2019	Single Year Trade	EITHER	80000	\$2.4	1500	Rule No.: Reg XX	
							Rule No.:	
							Rule No.:	
Ф Fo							Rule No.:	
* In tra	the "From Compliance on saction, (2) perpetual ark this column "Single of the last compliance year stand that this is only a report does not ac	stream transaction, or (3) Year Trade". For a perpet r. Use separate lines for y a report to the AQM	piration date of the firmultiple year transactual stream transaction transactions of difference of the agreement of transaction Reference of the agreement of transaction Reference of the agreement of transaction Reference	rst compliance year R tion of RTCs of same in, mark this column "A ent RTCs, quantities or at of a forward cont egistration. I also	TCs. The zone, qualifyears A rprices.	"To Com antity, an After". Fo	appliance Year" Column is used to price in a single line. For a par a multiple year transaction, see, sale, or swap of RTCs a separate RTC Transactorsise of a forward continuous contraction.	single year transaction, fill in the expiration date I further understand tion Registration and
hat this		ieu ili accoluance wii	II Rule 2007 WILITI	ii live (5) busii			areiga of a forward cont	act. I certify that the
hat this issocia itateme	nts are true, accura	te, and complete to th	e best of my know	meage.				
hat this ssocia tateme Earl T	nts are true, accura homas	te, and complete to th	e best of my know					
hat this ssocia tateme Earl T Reporte	nts are true, accura	te, and complete to th	e best of my knov	Signatu	12/20	- 1:	9 House	

South Coast AQMD

South Coast Air Quality Management District

© South Coast Air Quality Management District, Form 2007-4B (2014.07)

Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

Page_

of

Tel: (909) 396-3119 www.aqmd.gov

		of identity, check th				. A SILVE		
C	Buyer	O Seller	OBoth	Buyer and Selle	-			
ame of	Buyert_NCI Gr	oup Inc., DBA, M	etal Coaters o	of CA	f form)		Account I.D. #	115563
ama a	Collect Altair F	Paramount, LLC	ning contidentiality of b	uyer - 866 (646)36 366 0	, ionny		Account I.D. #	187165
		(Do not fill-out this line if claim	ning confidentiality of S	eller - see reverse side of	form)			
	ediary: diary Name						Account I.D. #	
	The state of the s	Purchase) Sell	O Swap		Premiu	m paid for this cont	ract:
	for this report:		Extension	O Modification (Circle items mod	n tified)			
	nitial, provide initi hen this agreeme	al agreement date) ent was reached:	12/10/2019			Date w 01/02	hen the RTCs are t	o be delivered:
		ontingent right to purc OSOx (Identify on						
this a	n Infinite-Year-Bl	ock RTCs transaction	on? O Yes [Total Value of Tra	ansactio	on \$_N/	(Attach a separate fo	orm if more spaces are ne
	Terms of RTC Tra	ansferred	Original Zone	Maximum	D.	Φ		
	From * Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Pri (\$/Po		Orig	in of Credits
Cycle	From* Compliance	To * Compliance	(Coastal or	Quantity		und)	Orig	jin of Credits
Cycle	From* Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Po	und)		in of Credits
Cycle	From* Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Po	und)	Rule No.: Reg XX	in of Credits
Cycle	From* Compliance Year 12/31/2020	To * Compliance Year Single Year Trade	(Coastal or Inland)	Quantity (Pounds/year) 19495	(\$/Po	500	Rule No.: Reg XX Rule No.: Rule No.: Rule No.:	in of Credits
Cycle Fo Intra	From * Compliance Year 12/31/2020 r Infinite-Year-Block traithe "From Compliance insaction, (2) perpetual ark this column "Single the last compliance year	To * Compliance Year Single Year Trade saction, report total value Year Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for	(Coastal or Inland) Coastal of transaction. For piration date of the multiple year transaual stream transactions of differences.	Quantity (Pounds/year) 19495 each transaction line election of RTCs of same on, mark this column "rent RTCs, quantities of the column and t	\$3.7 \$3.7 Inter "N/A" of the example of the examp	under the "To Commantity, and	Rule No.: Reg XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. pliance Year Column is us d price in a single line. For a multiple year transaction	sed to enter (1) single year r a single year transaction, n, fill in the expiration date
Cycle Fo Intra ma of unders that this associa stateme Luis F	From * Compliance Year 12/31/2020 r Infinite-Year-Block transthe "From Compliance insaction, (2) perpetual ark this column "Single the last compliance years that this is on a report does not acted forms are requirents are true, accurated by (Print Name)	To * Compliance Year Single Year Trade Single Year Trade nsaction, report total value Year Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for	(Coastal or Inland) Coastal of transaction. For a piration date of the multiple year transaction sactions of difference of the agreement of the transaction of the agreement of the transaction of transact	Quantity (Pounds/year) 19495 19495 each transaction line electric compliance year Rection of RTCs of same on, mark this column "yent RTCs, quantities of the column to fa forward contegistration. I also no five (5) busines	\$3.7 stream of the stream of	under the "To Companity, and After". For	Rule No.: Reg XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. pliance Year' Column is us d price in a single line. For r a multiple year transactio e, sale, or swap of RTG a separate RTC Trans ercise of a forward column.	sed to enter (1) single year



Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

Page__

of

Tel: (909) 396-3119 www.aqmd.gov

	O Buyer	O Seller	⊙ Both	Buyer and Selle	er		
Name (of Buyer†	(D)					Account I.D. #
lame (of Seller†	(Do not fill-out this line if clai	iming confidentiality of E	Buyer – see reverse side o	f form)		Account I.D. #
	nediary:	(Do not fill-out this line if clair	ming confidentiality of S	Seller – see reverse side o	f form)		Account I.D. #
	ediary Name			11 1			Account I.D. #
Forwa	rd Contract to:	O Purchase (O Sell	⊙ Swap		Premi	ium paid for this contract:
	n for this report:		O Extension	O Modification (Circle items mod	1 lified)		
		ial agreement date)	:		_	0.00	
Date w	hen this agreem	ent was reached:	12/20/2019)		SA BUSIN	when the RTCs are to be delivered: 2/2020
11.		nck RTCe transaction	nn? OYes II	Total Value of Tra	ansact	ion \$ N	I/A] ONo
s this a	n Infinite-Year-B	ock it i os tiansacti	J	otal value of Th		· · · · ·	
s this a	In Infinite-Year-B						(Attach a separate form if more spaces are ne
s this a			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	P	ound)	
Cycle	Terms of RTC Tr	ansferred To * Compliance	Original Zone (Coastal or	Maximum Quantity	P/ (\$/P	ф rice	(Attach a separate form if more spaces are ne
Cycle	Terms of RTC Tr	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	P/ (\$/P	rice ound)	(Attach a separate form if more spaces are ne Origin of Credits
Cycle	Terms of RTC Tr	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	P/ (\$/P	rice ound)	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Reg XX
Cycle	Terms of RTC To From* Compliance Year 12/31/2021	To * Compliance Year Single Year Trade	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year) 15000	P(\$/P	ound)	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Reg XX Rule No.: Rule No.: Rule No.:
Cycle 1 For	From* Compliance Year 12/31/2021	To * Compliance Year Single Year Trade	Original Zone (Coastal or Inland) Coastal	Maximum Quantity (Pounds/year) 15000	P(\$/P \$9.5	trice oound)	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Reg XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column.
Cycle 1 For * In t trat ma	Terms of RTC Tr From* Compliance Year 12/31/2021 r Infinite-Year-Block trai the "From Compliance" nsaction, (2) perpetual rk this column "Single"	To * Compliance Year Single Year Trade	Original Zone (Coastal or Inland) Coastal fransaction. For expiration date of the firmultiple year transaction at stream transaction.	Maximum Quantity (Pounds/year) 15000 ach transaction line en rst compliance year R' tion of RTCs of same	P. (\$/P \$9.4	ound) 5000 under the	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Reg XX Rule No.: Rule No.: Rule No.:
Cycle For In t trar ma of t unders hat this associate	From * Compliance Year 12/31/2021 Infinite-Year-Block trait the "From Compliance rk this column "Single" the last compliance yea tand that this is onle report does not acted forms are requi	ansferred To * Compliance Year Single Year Trade Disaction, report total value of the compliance Year' Column, fill in the experient transaction, or (3) of the complete of	Original Zone (Coastal or Inland) Coastal fransaction. For expiration date of the finultiple year transaction ransactions of difference of the agreement Transaction Rence 2007 within	Maximum Quantity (Pounds/year) 15000 ach transaction line en rst compliance year R tition of RTCs of same n, mark this column "A ent RTCs, quantities or tof a forward cont gistration. I also u n five (5) business	\$9.5 ter "N/A" TCs. The zone, quill Years a prices.	ound) under the e "To Comantity, an After". Fo	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. pliance Year" Column is used to enter (1) single year d price in a single line. For a single year transaction, r a multiple year transaction, fill in the expiration date
Process of the second of the s	From* Compliance Year 12/31/2021 r Infinite-Year-Block tranthe "From Compliance insaction, (2) perpetual rk this column "Single" the last compliance year trand that this is only a report does not acted forms are requi	ansferred To * Compliance Year Single Year Trade Single Year Trade Disaction, report total value of the compliance of the complete of the	Original Zone (Coastal or Inland) Coastal fransaction. For expiration date of the finultiple year transaction ransactions of difference of the agreement Transaction Rence 2007 within	Maximum Quantity (Pounds/year) 15000 ach transaction line en rst compliance year R tition of RTCs of same n, mark this column "A ent RTCs, quantities or tof a forward cont gistration. I also u n five (5) business	\$9.5 ter "N/A" TCs. The zone, quill Years a prices.	ound) under the e "To Comantity, an After". Fo	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Reg XX Rule No.: Rule No.: Price (\$/Pound) column. upliance Year" Column is used to enter (1) single year transaction.
For a line training of training tatement with the second tatement	From* Compliance Year 12/31/2021 Infinite-Year-Block traithe "From Compliance in saction, (2) perpetual rk this column "Single in the last compliance year trand that this is only report does not acted forms are requirents are true, accura	ansferred To * Compliance Year Single Year Trade Single Year Trade Disaction, report total value of the compliance of the complete of the	Original Zone (Coastal or Inland) Coastal fransaction. For expiration date of the finultiple year transaction ransactions of difference of the agreement Transaction Rence 2007 within	Maximum Quantity (Pounds/year) 15000 ach transaction line en rst compliance year R tition of RTCs of same n, mark this column "A ent RTCs, quantities or tof a forward cont gistration. I also u n five (5) business	\$9.5 ter "N/A" TCs. The zone, quill Years a prices.	ound) under the e "To Comantity, an After". Fo	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. pliance Year" Column is used to enter (1) single year d price in a single line. For a single year transaction, r a multiple year transaction, fill in the expiration date
For a line transfer of transfer this issociate tatement. Macket Reported Air Queen and the control of the	From* Compliance Year 12/31/2021 r Infinite-Year-Block tranthe "From Compliance insaction, (2) perpetual rk this column "Single" the last compliance year trand that this is only a report does not acted forms are requi	ansferred To * Compliance Year Single Year Trade Single Year Trade Sear' Column, fill in the expertment transaction, or (3) or fear Trade. For a perpeture Use separate lines for the AGMD tas or replace the RT red in accordance with the and complete to the Title	Original Zone (Coastal or Inland) Coastal fransaction. For expiration date of the finultiple year transaction ransactions of difference of the agreement Transaction Rence 2007 within	Maximum Quantity (Pounds/year) 15000 ach transaction line en rst compliance year R' tition of RTCs of same n, mark this column "A ent RTCs, quantities or tof a forward cont gistration. I also u n five (5) business viedge.	\$9.5 ter "N/A" "Cs. The zone, quil Years prices. ract for inderst: days o	ound) under the e "To Comantity, an After". Fo	(Attach a separate form if more spaces are ne Origin of Credits Rule No.: Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. pliance Year" Column is used to enter (1) single year d price in a single line. For a single year transaction, r a multiple year transaction, fill in the expiration date



Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

© South Coast Air Quality Management District, Form 2007-4B (2014.07)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

						front an	d reverse sides of this form:
	O Buyer	O Seller	⊙ Both	Buyer and Selle	er 		
lame o	of Buyer†	(Do not fill-out this line if cla	iming confidentiality of E	Buyer – see reverse side o	of form)		Account I.D. #
lame o	of Seller†						Account I.D. #
	mediary: ediary Name	(Do not fill-out this line if cla	iming confidentiality of S	Geller – see reverse side o	of form)		Account I.D. #
Forwar	rd Contract to:	O Purchase (O Sell	Swap		Prem	ium paid for this contract:
	on for this report:) Extension	O Modificatio (Circle items mo		0.00	
		ial agreement date)	:			0.00	
ate w	vhen this agreeme	ent was reached:	12/20/2019)		100000000000000000000000000000000000000	when the RTCs are to be delivered: 2/2020
		O SOx (Identify on ock RTCs transaction		otal Value of Tr	ansact	ion \$_ <u>^</u>	N/A
	Terms of RTC Tra	ansferred	Original Town			Φ.	The second secon
			Original Zone	Maximum			
Cycle	From* Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)		rice 'ound)	Origin of Credits
	Compliance	Compliance		Quantity	(\$/F		Origin of Credits Rule No.: Reg XX
	Compliance Year	Compliance Year	Inland)	Quantity (Pounds/year)	(\$/F	ound)	
:ycle	Compliance Year	Compliance Year	Inland)	Quantity (Pounds/year)	(\$/F	ound)	Rule No.: Reg XX
	Compliance Year 12/31/2019	Compliance Year Single Year Trade	Inland) Coastal	Quantity (Pounds/year) 50000	(\$/F	8500	Rule No.: Reg XX Rule No.: Rule No.: Rule No.:
* In the train of	Compliance Year 12/31/2019 12/31/2019 1 Infinite-Year-Block transthe "From Compliance Years action, (2) perpetual surk this column "Single Years the last compliance year stand that this is only a report does not acted forms are required.	Compliance Year Single Year Trade Single Year Trade saction, report total value (ear" Column, fill in the existream transaction, or (3) (ear Trade". For a perpetic. Use separate lines for the AQME as or replace the RT	Inland) Coastal of transaction. For expiration date of the firmultiple year transaction transaction transactions of different of the agreement of the agreeme	Quantity (Pounds/year) 50000 ach transaction line erest compliance year Rition of RTCs of samen, mark this column "Aent RTCs, quantities on to fa forward contigistration. I also in five (5) business	\$2. \$2. Inter "N/A" ICs. The zone, qualify ears prices.	and that	Rule No.: Reg XX Rule No.: Rule No.: Rule No.:
For a first training of training at this social ateme	Compliance Year 12/31/2019 12/31/2019 1 Infinite-Year-Block transthe "From Compliance Years action, (2) perpetual surk this column "Single Years the last compliance year stand that this is only a report does not acted forms are required.	Compliance Year Single Year Trade Single Year Trade saction, report total value Year Column, fill in the existream transaction, or (3) of Year Trade. For a perpet Use separate lines for total value Year are port to the AQME That as or replace the RT Tred in accordance with	Inland) Coastal of transaction. For expiration date of the firmultiple year transaction transaction transactions of different of the agreement of the agreeme	Quantity (Pounds/year) 50000 ach transaction line erest compliance year Rition of RTCs of samen, mark this column "Aent RTCs, quantities on to fa forward contigistration. I also in five (5) business	\$2. \$2. Inter "N/A" ICs. The zone, qualify ears prices.	and that	Rule No.: Reg XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. Apliance Year" Column is used to enter (1) single year dorice in a single line. For a single year transaction, or a multiple year transaction, fill in the expiration date se, sale, or swap of RTCs. I further understand as separate RTC Transaction Registration and
Formula In In Internal	Compliance Year 12/31/2019 12/31/2019 r Infinite-Year-Block tran the "From Compliance Y nsaction, (2) perpetual s ark this column "Single Y the last compliance year stand that this is only a report does not act ted forms are requirents are true, accurate	Compliance Year Single Year Trade Single Year Trade saction, report total value Year Column, fill in the existream transaction, or (3) of Year Trade. For a perpet Use separate lines for total value Year are port to the AQME That as or replace the RT Tred in accordance with	Inland) Coastal of transaction. For expiration date of the firmultiple year transaction transaction transactions of different of the agreement of the agreeme	Quantity (Pounds/year) 50000 ach transaction line erest compliance year Rition of RTCs of samen, mark this column "Aent RTCs, quantities on to fa forward contigistration. I also in five (5) business	\$2. \$2. Inter "N/A" ICs. The zone, qualify ears prices.	and that	Rule No.: Reg XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. Apliance Year" Column is used to enter (1) single year dorice in a single line. For a single year transaction, or a multiple year transaction, fill in the expiration date se, sale, or swap of RTCs. I further understand as separate RTC Transaction Registration and
Format the second that th	Compliance Year 12/31/2019 12/31/2019 r Infinite-Year-Block tran the "From Compliance Y nsaction, (2) perpetual s rk this column "Single Y the last compliance year stand that this is only s report does not act ted forms are requir ints are true, accurate enzie Romano	Compliance Year Single Year Trade Single Year Trade Issaction, report total value Year' Column, fill in the experience transaction, or (3) Year Trade''. For a perpetic. Use separate lines for the AQMI It as or replace the RT Itered in accordance with the and complete to the Title	Inland) Coastal of transaction. For expiration date of the firmultiple year transaction transaction transactions of different of the agreement of the agreeme	Quantity (Pounds/year) 50000 ach transaction line erest compliance year Rition of RTCs of samen, mark this column "Aent RTCs, quantities on to fa forward contigistration. I also in five (5) business	\$2 ster "N/A" TCs. The zone, qualifyears prices. ract for underst	and that	Rule No.: Reg XX Rule No.: Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. Inpliance Year" Column is used to enter (1) single year d price in a single line. For a single year transaction, or a multiple year transaction, fill in the expiration date

South Coast

South Coast Air Quality Management District

Form 2007-4A Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B.

2007 10	•						
Name of	Buyert_Fathom	Energy dba Fath	nom California	l		Account I.D. #	700179
Name of	Sellert Southe	rn California Edis	son			Account I.D. #	160437
If Intermed	ediary: liary Name_Air (Quality Consultan	ts, LLC			Account I.D. #	
Conting	ent Right to:	Purchase (O Sell	O Swap	Prem	nium paid for this cont	ract:
	for this report:) Extension	O Modification (Circle items mod	dified)	00	
(If not in	nitial, provide initi	al agreement date)	:		\$0.0	JU	
Date wh	nen this agreeme	ent was reached:	12/23/2019)	The first of the f	ation Date of Conting 13/2020	ent Right Agreement:
Pollutant	: ONOx or	ontingent right to pure OSOx (Identify one ock RTCs transaction)	pollutant only)	Total Value of T	ransaction \$_		
	Terms of RTC Tra	nsferred				The second of th	· m y more spaces are necaeay
Cycle	From Compliance Year *	To Compliance Year *	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Price (\$/Pound)	Orig	in of Credits
2	6/30/2020	Single Year Trade	Coastal	50000	\$2.0000	Rule No.: Reg XX	
						Rule No.:	
						Rule No.:	
						Rule No.:	
						Rule No.:	
						Rule No.:	
* In the transmark of the I underst this report associate statement Macket	e "From Compliance Y saction, (2) perpetual s t this column "Single Y e last compliance year and that this is only rt does not act as o	rear" Column, fill in the experter transaction, or (3) of transaction, or (3) of transaction. Use separate lines for the separate lines for the separate the RTC Transaction.	piration date of the firmultiple year transaction transactions of difference of the agreement of the agreeme	rst compliance year R' tion of RTCs of same n, mark this column "A ent RTCs, quantities or nt of a contingent r ation. I also under five (5) business of	TCs. The "To Conzone, quantity, a last Years After". For prices.	e Price (\$/Pound) column. mpliance Year" Column is use nd price in a single line. For or a multiple year transaction se, sale, or swap RTCs. parate RTC Transaction rcise of the contingent ri	a single year transaction, , fill in the expiration date I further understand that Registration and
	ality Consultan				/2019		
Company				Date and			

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

© South Coast Air Quality Management District, Form 2007-4A (2014.07)

Page___of ___

Form 2007-4A
Regional Clean Air Incentives Market Trading
Credits (RTCs) Report of Contingent Right to Purchase RTCs

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B.

2007-46) .						
Name o	Buyert Fathon	n Energy dba Fati	nom California	l\		Account I.D. #	700179
		ern California Edis	son	~~		Account I.D. #	160437
If Intermed	ediary: diary Name_Air	Quality Consultar	its, LLC			Account I.D. #	
Conting	ent Right to:	Purchase	O Sell	O Swap	Prem	ium paid for this contr	act:
Reasor	for this report:	● Initial	O Extension	O Modification	1		
(If not in	nitial, provide init	ial agreement date)	:	(Circle items mod	\$0.0	0	
Date w	nen this agreeme	ent was reached:	12/23/2019)		ation Date of Continge 5/2021	ent Right Agreement:
Pollutan	t: NOx or	ontingent right to pure O SOx (Identify one ock RTCs transacti	pollutant only)	Total Value of T	ransaction \$_		
	Terms of RTC Tr	ansferred				(Attach a separate for	rm if more spaces are needed)
Cycle	From Compliance Year *	To Compliance Year *	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Price (\$/Pound)	Origi	n of Credits
1	12/31/2020	Single Year Trade	Coastal	50000	\$2.2500	Rule No.: Reg XX	
						Rule No.:	
						Rule No.:	
						Rule No.:	
						Rule No.:	
						Rule No.:	
* In the trans	ne "From Compliance \ saction, (2) perpetual : k this column "Single \ ne last compliance year	stream transaction, or (3) fear Trade". For a perpet r. Use separate lines for	piration date of the fir multiple year transac ual stream transaction transactions of differe	rst compliance year R tion of RTCs of same n, mark this column "A ent RTCs, quantities or	TCs. The "To Cor zone, quantity, ar Il Years After". Fo prices.	npliance Year" Column is use nd price in a single line. For a or a multiple year transaction,	a single year transaction, fill in the expiration date
this repo associate statemer	rt does not act as o ed forms are requir	or replace the RTC Tra	nsaction Registra Rule 2007 within	ation. I also under	stand that a ser	e, sale, or swap RTCs. I parate RTC Transaction F	Registration and
Reported	by (Print Name)	Title					
	ality Consultar	nts Inc		12/30			
Compan	y Name			Date and	d Place		

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

© South Coast Air Quality Management District, Form 2007-4A (2014.07)

Page____of ____

South Coast AOMD (Two

South Coast Air Quality Management District

© South Coast Air Quality Management District, Form 2007-4B (2014.07)

Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

Page

of

Tel: (909) 396-3119 www.aqmd.gov

(O Buyer	O Seller	Both	Buyer and Seller	the front a	
lame c	of Buyer†					Account I.D. #
0000	of Callart	(Do not fill-out this line if clair	ming confidentiality of Bu	iyer – see reverse side of fo	orm)	Account LD #
	of Seller†	(Do not fill-out this line if clair	ming confidentiality of Se	eller – see reverse side of fo	orm)	Account I.D. #
	nediary: ediary Name					Account I.D. #
		O Purchase C) Sell	Swap	Prer	Account I.D. # nium paid for this contract:
0250	n for this report:	O Initial	Extension	Modification		
				(Circle items modifi	ed) 0.0	0
		al agreement date)	12/23/2019			
ate w	when this agreeme	ent was reached:	01/08/2020			when the RTCs are to be delivered:
Atta	ch agroomont for ac	ontingent right to purc			- 1	
		OSOx (Identify on				•
this a	an Infinite-Year-Blo	ock RTCs transaction	on? O Yes [T	otal Value of Trar	nsaction \$_	N/A] NO (Attach a separate form if more spaces are nee
	Terms of RTC Tra	ansferred			+	Amach a separate form if more spaces are nee
			Original Zone	Maximum	Price	
Cycle	From * Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Pound)	Origin of Credits
	Compliance	Compliance				Origin of Credits Rule No.: Reg XX
	Compliance Year	Compliance Year	Inland)	(Pounds/year)	(\$/Pound)	
	Compliance Year 12/31/2019	Compliance Year Single Year Trade	Inland) Coastal	(Pounds/year) 50000	(\$/Pound) \$2.8500	Rule No.: Reg XX
	Compliance Year 12/31/2019 6/30/2021	Compliance Year Single Year Trade Single Year Trade	Coastal Coastal	(Pounds/year) 50000 12319	(\$/Pound) \$2.8500 \$9.5000	Rule No.: Reg XX Rule No.: Reg XX
ф Fo	Compliance Year 12/31/2019 6/30/2021 12/31/2021 or Infinite-Year-Block trans	Compliance Year Single Year Trade Single Year Trade Single Year Trade Single Year Trade	Coastal Coastal Coastal of transaction. For ea	(Pounds/year) 50000 12319 2681 ach transaction line enter	(\$/Pound) \$2.8500 \$9.5000 \$9.5000	Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX he Price (\$/Pound) column.
Fo In tra ma of t	Compliance Year 12/31/2019 6/30/2021 12/31/2021 or Infinite-Year-Block tranthe "From Compliance Yearsaction, (2) perpetual sark this column "Single Year the last compliance year	Compliance Year Single Year Trade Foar Trade Foar Trade To a perpet Use separate lines for the	Coastal Coastal Coastal of transaction. For experimental stream transaction and the firmultiple year transaction and the stream transactions of different transactions of diff	(Pounds/year) 50000 12319 2681 ach transaction line enterest compliance year RTC tion of RTCs of same z n, mark this column "All nt RTCs, quantities or p	\$2.8500 \$9.5000 \$9.5000 \$9.5000 er "N/A" under to Cs. The "To Colone, quantity, Years After".	Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: he Price (\$/Pound) column. compliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction, For a multiple year transaction, fill in the expiration date
* In tra ma of the tra this essocia etateme	Compliance Year 12/31/2019 6/30/2021 12/31/2021 12/31/2021 or Infinite-Year-Block tranthe "From Compliance Years and the "From Compliance Years this column "Single Years this column "Single Years this compliance Years are true, accurated forms are requirents are true, accura	Compliance Year Single Year Trade Single Year Trade Single Year Trade Single Year Trade Issaction, report total value of the experiment of the experime	Coastal Coastal Coastal Coastal of transaction. For each interest transaction date of the firmultiple year transaction transactions of difference of the agreement of transaction Reh Rule 2007 within	(Pounds/year) 50000 12319 2681 ach transaction line enterest compliance year RTC tion of RTCs of same zero, mark this column "All nt RTCs, quantities or put of a forward contragistration. I also un five (5) business	\$2.8500 \$9.5000 \$9.5000 \$9.5000 er "N/A" under to cone, quantity, Years After". orices.	Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: he Price (\$/Pound) column. compliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction,
* Fo * In the transport of the transport	Compliance Year 12/31/2019 6/30/2021 12/31/2021 or Infinite-Year-Block tranthe "From Compliance Year-Block transaction, (2) perpetual sark this column "Single Year the last compliance year the last compliance year stand that this is only a report does not accurate forms are requirents are true, accurate enzie Romano	Compliance Year Single Year Trade Isaction, report total value of the complete o	Coastal Coastal Coastal Coastal of transaction. For each interest transaction date of the firmultiple year transaction transactions of difference of the agreement of transaction Reh Rule 2007 within	(Pounds/year) 50000 12319 2681 ach transaction line enterest compliance year RTC tion of RTCs of same zero, mark this column "All nt RTCs, quantities or put of a forward contragistration. I also un five (5) business	\$2.8500 \$9.5000 \$9.5000 \$9.5000 er "N/A" under to cone, quantity, Years After". orices.	Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: he Price (\$/Pound) column. compliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction, For a multiple year transaction, fill in the expiration date ase, sale, or swap of RTCs. I further understand at a separate RTC Transaction Registration and
* Fo * In transport transport understatthis ssocia tateme Macket	Compliance Year 12/31/2019 6/30/2021 12/31/2021 12/31/2021 or Infinite-Year-Block tranthe "From Compliance Years and the "From Compliance Years this column "Single Years this column "Single Years this compliance Years are true, accurated forms are requirents are true, accura	Compliance Year Single Year Trade Isaction, report total value of the complete o	Coastal Coastal Coastal Coastal of transaction. For each interest transaction date of the firmultiple year transaction transactions of difference of the agreement of transaction Reh Rule 2007 within	(Pounds/year) 50000 12319 2681 ach transaction line enterest compliance year RTC tion of RTCs of same zero, mark this column "All nt RTCs, quantities or put of a forward contragistration. I also un five (5) business	\$2.8500 \$9.5000 \$9.5000 \$9.5000 er "N/A" under to cone, quantity, Years After". orices.	Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: he Price (\$/Pound) column. compliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction, For a multiple year transaction, fill in the expiration date ase, sale, or swap of RTCs. I further understand at a separate RTC Transaction Registration and
* In tra ma of the tra ma of t	Compliance Year 12/31/2019 6/30/2021 12/31/2021 or Infinite-Year-Block tranthe "From Compliance Year-Block transaction, (2) perpetual sark this column "Single Year the last compliance year the last compliance year stand that this is only a report does not accurate forms are requirents are true, accurate enzie Romano	Compliance Year Single Year Trade	Coastal Coastal Coastal Coastal of transaction. For each interest transaction date of the firmultiple year transaction transactions of difference of the agreement of transaction Reh Rule 2007 within	(Pounds/year) 50000 12319 2681 ach transaction line enterest compliance year RTG tion of RTCs of same zon, mark this column "All nt RTCs, quantities or put of a forward contragistration. I also un five (5) business delege.	\$2.8500 \$9.5000 \$9.5000 \$9.5000 er "N/A" under to cone, quantity, Years After". orices.	Rule No.: Reg XX Rule No.: Reg XX Rule No.: Reg XX Rule No.: he Price (\$/Pound) column. compliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction, For a multiple year transaction, fill in the expiration date ase, sale, or swap of RTCs. I further understand at a separate RTC Transaction Registration and

Form 2007-4B **Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract**

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830

Diamond Bar, CA 91765-0830

Tel: (909) 396-3119 www.aqmd.gov

) Buyer	O Seller		oox and comple Buyer and Sel		ont and reverse sides of this form:
Name of	f Buyer†	(Do not fill-out this line if cl	aiming confidentiality of Bu	uver – see reverse side	of form)	Account I.D. #
Name of	f Seller†				,	Account I.D. #
If Interm		(Do not fill-out this line if cl	aiming confidentiality of Se	eller – see reverse side	of form)	Account I.D. #
	diary Name					Account I.D. #
	d Contract to:	O Purchase	Sell	O Swap	T	Premium paid for this contract:
	n for this report:	Initialtial agreement date	O Extension	O Modificatio (Circle items me	on	
		ent was reached:	.)			Date when the RTCs are to be delivered:
Date Wi	non uno agreem	ent was reached.	12/23/2019			Date when the RTCs are to be delivered:
Pollutant	t: NOx or	contingent right to pur O SOx (Identify o lock RTCs transact	ne pollutant only)	otal Value of T	ransactio	n \$_N/A] [⊕]
	Terms of RTC T					(Attach a separate form if more spaces are neede
Cycle	From * Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Pric (\$/Pou	Organ of Credite
10	12/30/2020	Single yr. Trale	Coastal -	11,100	40.0	Rule No.: ROG XX
	1			11)		Rule No.:
		6 1 6 6				Rule No.:
						Rule No.:
+ For	Infinite-Year-Block tra	nsaction, report total value	e of transaction. For ea	ch transaction line e	enter "N/A" ui	nder the Price (\$/Pound) column.
trans	saction, (2) perpetual k this column "Single	stream transaction, or (3)	multiple year transact tual stream transaction	ion of RTCs of sam , mark this column '	e zone, quar 'All Years Af	To Compliance Year" Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, ter". For a multiple year transaction, fill in the expiration date
OI U			D of the agreement	of a famuard age		
I understathat this associate statemen	report does not a ed forms are requ	ly a report to the AQM ct as or replace the R ired in accordance wi ate, and complete to the Title	TC Transaction Reg th Rule 2007 withir	gistration. I also i five (5	tract for p understar	urchase, sale, or swap of RTCs. I further understand and that a separate RTC Transaction Registration and that the

Form 2007-4B

South Coast Air Quality Management District

Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

> > of

) Buyer	O Seller	OBoth	Buyer and Sel	ler	
lame o	of Buyer†					Account I.D. #
		(Do not fill-out this line if cla	iming confidentiality of Bu	ıyer – see reverse side	of form)	
ame o	of Seller†	(Do not fill-out this line if cla	iming confidentiality of Co	Hos. and source side	-66	Account I.D. #
	nediary: diary Name	(50 Hot hill-out this line ii Gid	ining confidentiality of Se	nier – see reverse side	or torm)	Account I.D. #
orwar	d Contract to:	O Purchase	Sell	O Swap		Premium paid for this contract:
	n for this report:	● Initial (O Extension : 12/23/2019	O Modification (Circle items me		
		ent was reached:	12/23/2019			Date when the RTCs are to be delivered:
Attac	ch agreement for d	contingent right to pure	chase			
		O SOx (Identify or				
						•
this ai	n Infinite-Year-B	lock RTCs transacti	on? O Yes [To	otal Value of T	ransactio	1
	Terms of RTC T	ransferred				(Attach a separate form if more spaces are nee
	From*	To*	Original Zone	Maximum	Pric	\$
Cycle	Compliance Year	Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Pou	
2	6 30 202	Single ye. tral	coastal -	22,000	90,0	Rule No.: Reg XX
	1					Rule No.:
						Rule No.:
						Rule No.:
		nsaction, report total value	of transaction. For ea	ch transaction line e	nter "N/A" u	nder the Price (C/Dound) column
* In the	he "From Compliance nsaction, (2) perpetual rk this column "Single	Year" Column, fill in the ex stream transaction, or (3)	multiple year transacti ual stream transaction	on of RTCs of same, mark this column "	e zone, qua All Years Af	To Compliance Year Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, ter. For a multiple year transaction, fill in the expiration date
* In the transport of t	he "From Compliance nsaction, (2) perpetual rk this column "Single he last compliance yea tand that this is on	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI	multiple year transaction and stream transaction transactions of differer of the agreement	on of RTCs of sam, , mark this column " at RTCs, quantities of of a forward con	e zone, quai All Years Af or prices.	To Compliance Year' Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, ter". For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understand
* In the transmar of the underst	he "From Compliance nsaction, (2) perpetual rk this column "Single he last compliance yea tand that this is on report does not ac	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI as or replace the RT	multiple year transaction ual stream transaction transactions of differer of the agreement C Transaction Reg	on of RTCs of sam, mark this column " It RTCs, quantities of a forward consistration. I also	e zone, quai All Years Af or prices.	To Compliance Year" Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, iter". For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understanded that a separate RTC Transaction Registration and
* In the transmar of the underst this ssociated	the "From Compliance associon, (2) perpetual rk this column "Single the last compliance year tand that this is on report does not acted forms are required.	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI	multiple year transaction stream transaction of differer of the agreement C Transaction Reg h Rule 2007 within	on of RTCs of sam, mark this column " It RTCs, quantities of a forward conjistration. I also five (5) k	e zone, quai All Years Af or prices.	To Compliance Year" Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, ter". For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understand
* In the transmar of the underst that this ssociated	the "From Compliance associon, (2) perpetual rk this column "Single the last compliance year tand that this is on report does not acted forms are required.	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI as or replace the RT fred in accordance wit	multiple year transaction stream transaction of differer of the agreement C Transaction Reg h Rule 2007 within	on of RTCs of sam, mark this column " It RTCs, quantities of a forward conjistration. I also five (5) k	e zone, quai All Years Af or prices.	To Compliance Year" Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, iter". For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understanded that a separate RTC Transaction Registration and
* In the transmar of the understatement this speciate tatement.	the "From Compliance associon, (2) perpetual rk this column "Single the last compliance year tand that this is on report does not acted forms are required.	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI as or replace the RT fred in accordance wit	multiple year transaction stream transaction of differer of the agreement C Transaction Reg h Rule 2007 within	on of RTCs of sam, mark this column " It RTCs, quantities of a forward conjistration. I also five (5) k	e zone, quai All Years Af or prices.	To Compliance Year" Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, iter". For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understanded that a separate RTC Transaction Registration and
* In the transmar of the understatement this speciate tatement.	the "From Compliance is action, (2) perpetual rk this column "Single he last compliance year tand that this is on report does not act of forms are requints are true, accurate.	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI as or replace the RT ired in accordance wit ite, and complete to th	multiple year transaction stream transaction of differer of the agreement C Transaction Reg h Rule 2007 within	on of RTCs of sam, mark this column " It RTCs, quantities of a forward conjistration. I also five (5) k	e zone, qual All Years Af or prices. tract for p understar	To Compliance Year' Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, ter'. For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understanded that a separate RTC Transaction Registration and that the
* In the transmar of the understate this sociate tatement that the	the "From Compliance is action, (2) perpetual rk this column "Single he last compliance year tand that this is on report does not act of forms are requints are true, accurate.	Year" Column, fill in the ex stream transaction, or (3) Year Trade". For a perpet ir. Use separate lines for by a report to the AQMI as or replace the RT ired in accordance wit ite, and complete to th	multiple year transaction stream transaction of differer of the agreement C Transaction Reg h Rule 2007 within	on of RTCs of sam, mark this column int RTCs, quantities of a forward conjistration. I also five (5) k ledge.	e zone, qual All Years Af or prices. tract for p understar	To Compliance Year' Column is used to enter (1) single year ntity, and price in a single line. For a single year transaction, iter". For a multiple year transaction, fill in the expiration date urchase, sale, or swap of RTCs. I further understanded that a separate RTC Transaction Registration and