South Coast Air Quality Management District

Form 2007-4A

### Regional Clean Air Incentives Market Trading **Credits (RTCs) Report of Contingent Right to Purchase RTCs**

C Extension

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers

P.O. Box 4830

Diamond Bar, CA 91765-0830

Tel: (909) 396-3119 www.agmd.gov

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B. Name of Buyer<sup>†</sup>\_\_\_\_\_\_ Account I.D. #\_\_\_\_\_ Name of Seller†\_\_\_\_\_\_ Account I.D. # If Intermediary: Intermediary Name Evolution Markets Inc. \_ Account I.D. #\_\_\_ 700117 O Sell Contingent Right to: Premium paid for this contract: Purchase O Swap

> Modification (Circle items modified)

12/31/2020 → Attach agreement for contingent right to purchase

(If not initial, provide initial agreement date): 6/9/2020

Pollutant: 

NOx or OSOx (Identify one pollutant only)

Reason for this report: O Initial

Date when this agreement was reached:

Is this an Infinite-Year-Block RTCs transaction? O Yes [Total Value of Transaction \$ N/A

\$500,000,00

02/22/2022

No

(Attach a separate form if more spaces are needed)

Expiration Date of Contingent Right Agreement:

	Terms of RTC Tr	ansferred	Original Zana	Maximum	ф	
Cycle	From Compliance Year *	To Compliance Year *	Original Zone (Coastal or Inland)	Quantity (Pounds/year)	Price (\$/Pound)	Origin of Credits
2	6/30/2021	Single Year Trade	Either Vf	fo 50000	N/A	Rule No.: Reg XX
1	12/31/2021	Single Year Trade	Either Uf	to 150000	N/A	Rule No.: Reg XX
2	6/30/2022	Single Year Trade		to 150000	N/A	Rule No.: Reg XX
						Rule No.:
						Rule No.:
						Rule No.:

- + For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column.
- In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

this report does not act as or repla	ice the RTC Transaction Re ccordance with Rule 2007 w	ement of a contingent right to purchase, sale, or s gistration. I also understand that a separate RTC I within five (5) business days of the exercise of the knowledge.	Transaction Registration and
Christine Grandstaff	Director	1 .	
Reported by (Print Name)	Title		<del></del>
Evolution Markets Inc.			
Company Name		Date and Place	

# South Coast

South Coast Air Quality Management District

## Form 2007-4A

#### Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

tRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B.

Name of	f Buyer†					_	Account I.D. #		
Name of	f Seller†						Account I.D. #		
If Interm	ediary: diary Name_Evol	ution Markets Inc					Account I.D. #		
			) Sell	O Swap		Premiu	m paid for this contract:		
Reasor	n for this report: (	O Initial	) Extension	<ul><li>Modification</li></ul>	n l				
		al agreement date):		(Circle items mo					
Date w	hen this agreeme	nt was reached:	12/31/2020	)		Expiration Date of Contingent Right Agreement: 1/15/2021			
→ Attac	h agreement for co	ontingent right to purc	nase		-				
Pollutan	t: • NOx or o	○ SOx (Identify one	pollutant only)						
		ock RTCs transaction		Total Value of	Francact	ion \$ N	I/A D No		
15 11115 at	ir iriiiriile- rear-bic	JCK INTOS (Tarisacii)		Total value of	Hallsaci	ΙΟΙΙ Ψ <u>Ι</u>	(Attach a separate form if more spaces are needed)		
	Terms of RTC Tra	nsferred	Original Zone	Maximum		ф			
Cycle	From Compliance Year *	To Compliance Year *	(Coastal or Inland)	Quantity (Pounds/year)	Prid (\$/Po		Origin of Credits		
1	12/31/2020	Single Year Trade	Either	100000	\$5.0	000	Rule No.: Reg XX		
					-		Rule No.:		
							Rule No.:		
							Rule No.:		
							Rule No.:		
							Rule No.:		
* In t trar ma of t	he "From Compliance Y nsaction, (2) perpetual s rk this column "Single Y he last compliance year	stream transaction, or (3) ( 'ear Trade". For a perpeture. Use separate lines for the	piration date of the fi multiple year transaction al stream transaction ransactions of differ	irst compliance year letion of RTCs of sam on, mark this column ent RTCs, quantities	RTCs. The e zone, qui 'All Years A or prices.	"To Comp antity, and After". For	oliance Year" Column is used to enter (1) single year d price in a single line. For a single year transaction, a multiple year transaction, fill in the expiration date		
this repo associat stateme	ort does not act as of ted forms are requirents of are true, accurate	or replace the RTC Tra red in accordance with te, and complete to the	nsaction Registr Rule 2007 withir e best of my kno	ation. I also unde n five (5) business	rstand th	at a sepa	e, sale, or swap RTCs. I further understand that arale RTC Transaction Registration and cise of the contingent right. I certify that the		
	tine Grandstaff	Directo	or						
	d by (Print Name)	Title							
-	ution Markets In	C.				2021 S	an Juan		
Compan	ny Name			Date a	nd Place				

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

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# South Coast Air Quality Management District Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract (Two-sided Form)

Mail To:

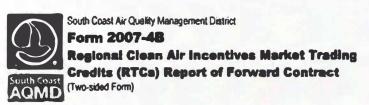
SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

Page\_\_\_\_of \_\_\_

<sup>†</sup>Rule 2007 (e)(2)(I) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

	) Buyer	O Seller	⊕ Both	Buyer and Selle	r			
Name o	of Buyer†	/Do not 61 out this lies the	laiming confidentiality of Br	wor and some side of	form		Account I.D. #	
Jomo c	of Seller†	(Do not fill-out this I he if c	laiming confidentiality of Bi	uyer – see reverse side of	torm)	٨	account I.D. #	
		(Do not fill-out this line if c	laiming confidential ty of Se	el er – see revers es ide of	form)		.ccount 1.D. #	
	nediary: diary Name					Δ	account I.D. #	30
		O Purchase	Sell	O Swap			n paid for this contrac	 t:
Reaso	n for this report:	O Initial	O Extension	O Modification	)			
(If not i	nitial provide init	al agreement date	ā).	(Circle items modi	nea)			
	hen this agreeme		01/25/2021			Date wh	en the RTCs are to b 2021	e delivered:
→ Attac	ch agreement for co	ontingent right to pu	rchase		-	ni -		
		SOx (Identify of						
		ock RTCs transac		otal Value of Tra	ansactio	on \$_65,	800.00	O No
	T (DTO T-		1		-		(Attach a separate form	if more spaces are need
	Terms of RTC Tra		Original Zone	Maximum	Pri	ф ce	Origin of Credits	
Cycle	From* Compliance Year	To* Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Pc			
1	12/31/2021	All Year After	Coastal	2350	N	/A Rule No.: RegXX		
						F	Rule No.:	
						F	Rule No.:	
						F	Rule No.:	
	r Infinite-Year-Block trai	nsaction, report total valu				under the Pr		
			ovniration data of the fil	ret compliance vear R1			ance Year" Column is used t	
* In t trai ma	ark this column "Single "	stream transaction, or (3	B) multiple year transaction etual stream transaction	ction of RTCs of same n, mark this column "A	zone, qu Il Years A	antity, and p	price in a single line. For a s multiple year transaction, fil	ngle year transaction,
* In the train of	nsaction, (2) perpetual ark this column "Single ' the last compliance yea stand that this is only a report does not acted forms are requients are true, accura	stream transaction, or (3/ear Trade". For a perp.  Use separate lines for y a report to the AQI at as or replace the fred in accordance we te, and complete to	multiple year transaction of the agreement RTC Transaction Revith Rule 2007 within the best of my know	etion of RTCs of same n, mark this column "A ent RTCs, quantities or that of a forward cont egistration. Laiso to n five (5) business	zone, qu II Years A prices. ract for	antity, and p After". For a purchase, and that a	orice in a single line. For a s	ngle year transaction, in the expiration date
* In the train of train of the	nsaction, (2) perpetual ark this column "Single ' the last compliance yea stand that this is only are report does not acted forms are requients are true, accurant Ferlita	stream transaction, or (3/ear Trade". For a perp.  Use separate lines for y a report to the AQI as or replace the Fred in accordance we te, and complete to Vice	multiple year transaction transaction transactions of differe MD of the agreemen RTC Transaction Revith Rule 2007 withi	etion of RTCs of same n, mark this column "A ent RTCs, quantities or that of a forward cont egistration. Laiso to n five (5) business	zone, qu II Years A prices. ract for	antity, and p After". For a purchase, and that a	write in a single line. For a s multiple year transaction, fil sale, or swap of RTCs. separate RTC Transacti	ngle year transaction, in the expiration date
* In the train may of the understhat this associa stateme Jacly:	nsaction, (2) perpetual ark this column "Single ' the last compliance yea stand that this is only a report does not acted forms are requients are true, accura	stream transaction, or ( /ear Trade". For a perp r. Use separate lines for y a report to the AQI at as or replace the F red in accordance w te, and complete to  Vice  Title	multiple year transaction of the agreement RTC Transaction Revith Rule 2007 within the best of my know	etion of RTCs of same n, mark this column "A ent RTCs, quantities or that of a forward cont egistration. Laiso to n five (5) business	zone, qu II Years A prices. ract for	antity, and partity. For a purchase, and that a f the exercise	write in a single line. For a s multiple year transaction, fil sale, or swap of RTCs. separate RTC Transacti	ngle year transaction, in the expiration date



Mail To: SCAQMD, RECLAIM Administration - RTC Transfers

Page\_

of

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tRule 2007 (e)(2)(1) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

Reason for this report:  Initial  Extension  Modification  (Circle idems modified)  Interview initial agreement date):  Date when this agreement was reached:  Attach agreement for contingent right to purchase  Pollutant:  Nox or  Sox (Identify one pollutant only)  Is this an Infinite-Year-Block RTCs transaction?  Yes [Total Value of Transaction \$	reverse sides of this form:	the from	ox and complet	e appropriate t	of identity, check th	ng confidentiality	lf claimir
(Do not fill-out this line if claiming confidentiality of Buyer – see reverse side of form)    Control   C			Buyer and Selle		O Seller	) Buyer	0
Name of Seller¹  (Co not fill-out this line if derring confidentiality of Seller - see reverse side of form)  fill intermediary: Intermediary Name  Forward Contract to:	Account I.D. #					f Buyert	lame of
Intermediary: Intermediary Name  Forward Contract to:  Purchase  Sell  Swap  Prem  Reason for this report:  Initial  Extension  Modification  (Cacte stems modified)  Reason for this agreement was reached:  Date when this agreement was reached:  Date when this agreement for contingent right to purchase  Pollutant:  NOx or  SOx (Identify one pollutant only)  Is this an Infinite-Year-Block RTCs transaction?  Yes [Total Value of Transaction \$_  Terms of RTC Transferred  Cycle		orm)	ryer – see reverse side o	ning confidentiality of B	(Do not fill-out this line if claim		
Intermediary: Intermediary Name  Forward Contract to:  Purchase  Sell  Swap  Prem  Reason for this report:  Initial  Extension  Modification  (Carcle items modified)  If not initial, provide initial agreement date):  Date when this agreement was reached:  Attach agreement for contingent right to purchase  Collutant:  NOx or  SOx (Identify one pollutant only)  In this an Infinite-Year-Block RTCs transaction?  Yes [Total Value of Transaction \$_  Terms of RTC Transferred	Account I.D. #	outs!	alor – soo raverse side r	nino confidentality of S	(Do not fill out this line if claim	f Seller <sup>†</sup>	ame of
Reason for this report:  Initial	Account I.D. #						
If not initial, provide initial agreement date):  Date when this agreement was reached:  Attach agreement for contingent right to purchase collutant:  NOx or OSOx (Identify one pollutant only)  this an Infinite-Year-Block RTCs transaction?  Terms of RTC Transferred  Cycle  From  Compliance Year  Compliance Year  Compliance Year  To Compliance Year  Compliance Year  Compliance Year  Trade  Coastal  Attach agreement for contingent right to purchase  Congliand Zone (Coastal or Quantity (Pounds/year)  Price (\$Pound)  Terms of RTC Transferred  Compliance Year  Compliance Year  Compliance Year  Trade  To Coastal or Inland)  To Inland  Price (\$Pounds/year)  The Transaction line enter "N/A" under the "From Compliance Year Column, fill in the expiration date of the first compliance year RTCs. The "To Coastal stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, a mark this column "All Years After". In of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices  Inderstand that this is only a report to the AQMD of the agreement of a forward contract for purchathat this report does not act as or replace the RTC Transaction Registration. I also understand the statements are true, accurate, and complete to the best of my knowledge.	m paid for this contract:	F	O Swap	Sell	Purchase	d Contract to:	orwan
Date when this agreement was reached:  Attach agreement for contingent right to purchase collutant:  NOx or SOx (Identify one pollutant only)  Terms of RTC Transferred  From To Compliance Year Compliance Year Year  Trade Coastal or (Loastal or Quantity (Pounds/year)  Terms of RTC Transferred  To Compliance Compliance Year Year Trade Coastal 8400 \$3.6500  To the From Compliance Year Column, fill in the expiration date of the first compliance year RTCs. The To Cotransaction, (2) perpetual stream transaction, or (3) multiple year transaction, mark this column Single Year Trade. For a perpetual stream transaction, mark this column All Years After. If of the last compliance year. Use separate lines for transaction Registration. I also understand the estatements are true, accurate, and complete to the best of my knowledge.		ed)					
Attach agreement for contingent right to purchase collutant:  Nox or Sox (Identify one pollutant only)  It his an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$	hen the RTCs are to be delivered:						
Attach agreement for contingent right to purchase  ollutant:   NOx or   SOx (Identify one pollutant only)  this an Infinite-Year-Block RTCs transaction?   Yes [Total Value of Transaction \$				4/23/2021	ont was reached.	nen tills ayreelik	Jale WI
Cycle Compliance Year Trade Coastal or Inland)  1 12/31/2021 Single Year Trade Coastal 8400 \$3.6500  To a Compliance Year Trade Coastal 8400 \$3.6500  For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter 'N/A" under the Inthe 'From Compliance Year' Column, fill in the expiration date of the first compliance year RTCs. The "To Coltransaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, a mark this column 'Single Year Trade'. For a perpetual stream transaction, mark this column "All Years After", if of the lest compliance year. Use separate lines for transactions of different RTCs, quantities or prices.  I understand that this is only a report to the AQMD of the agreement of a forward contract for purchate this report does not act as or replace the RTC Transaction Registration. I also understand the associated forms are required in accordance with Rule 2007 within five (5) business days of the estatements are true, accurate, and complete to the best of my knowledge.	(Attach a separate form if more spaces are nece	nsactior	otal Value of Tr				
Cycle Compliance Year Trade Constal Coastal R400 \$3.6500  1 12/31/2021 Single Year Trade Coastal 8400 \$3.6500  For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the first compliance year RTCs. The "To Cot transaction, (2) perpetual stream transaction, or (3) multiple year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". If of the less compliance year. Use separate lines for transactions of different RTCs, quantities or prices that this report does not act as or replace the RTC Transaction Registration. I also understand that this report does not act as or replace the RTC Transaction Registration. I also understand the associated forms are required in accordance with Rule 2007 within five (5) business days of the estatements are true, accurate, and complete to the best of my knowledge.				0.11	ansferred	Terms of RTC To	
Por Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the first compliance year RTCs. The "To Compliance Year Column, fill in the expiration date of the first compliance year RTCs. The "To Column states column Single Year Trade". For a perpetual stream transaction of RTCs of same zone, quantity, a mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After", if of the less compliance year. Use separate lines for transactions of different RTCs, quantities or prices.  I understand that this is only a report to the AQMD of the agreement of a forward contract for purchate this report does not act as or replace the RTC Transaction Registration. I also understand the associated forms are required in accordance with Rule 2007 within five (5) business days of the estatements are true, accurate, and complete to the best of my knowledge.	Origin of Credits		Quantity	(Coastal or	Compliance	Compliance	Cycle
• In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Column saction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, a mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". If of the lest compliance year. Use separate lines for transactions of different RTCs, quantities or prices. I understand that this is only a report to the AQMD of the agreement of a forward contract for purch, that this report does not act as or replace the RTC Transaction Registration. I also understand the associated forms are required in accordance with Rule 2007 within five (5) business days of the estatements are true, accurate, and complete to the best of my knowledge.	Rule No.: Reg XX	\$3.65	8400	Coastal	Single Year Trade	12/31/2021	
• In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Column transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, a mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". If of the less compliance year. Use separate lines for transactions of different RTCs, quantities or prices. understand that this is only a report to the AQMD of the agreement of a forward contract for purchat this report does not act as or replace the RTC Transaction Registration. I also understand the associated forms are required in accordance with Rule 2007 within five (5) business days of the extatements are true, accurate, and complete to the best of my knowledge.	Rule No.:						
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Reported by (Print Name) Little Signature	price in a single line. For a single year transaction, a multiple year transaction, fill in the expiration date e, sale, or swap of RTCs. I further understand a separate RTC Transaction Registration and	rone, quant I Years Aft prices act for prinderstandays of t	tion of RTCs of samen, mark this column and RTCs, quantities of a forward congistration. I also in five (5) busines	multiple year transaction stream transactions of differ of the agreement Transaction Right Rule 2007 with	stream transaction, or (3) in Year Trade". For a perpetitur. Use separate lines for the AGME as or replace the RT ired in accordance with	nsaction, (2) perpetual int this column "Single the lest compliance yea stand that this is on a report does not a ted forms are requi	rai ma of t unders that this associat stateme
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Company Name Date and Place	al chouston 1X			7-1-1		The William	