

South Coast Air Quality Management District Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract (Two-sided Form) Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

<sup>1</sup>Rule 2007 (e)(2)(I) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

If claiming confidentialit	y of identity, che	ck the appropriate	box and complete the	front and reverse sides of this form:
O Buyer	O Seller	⊙ Bot	h Buyer and Seller	
Name of Buyert	(Do not fil-out this line	e if claiming confidentiality of	Buyer - see reverse side of form)	Account I.D. #
Name of Seller <sup>†</sup>				Account I.D. #
If Intermediary:	(Do not fill-out this line	e if claiming confidentiality of	Seller - see reverse side of form)	
Intermediary Name				Account I.D. #
Forward Contract to:	<ul> <li>Purchase</li> </ul>	O Sell	O Swap	Premium paid for this contract:
Reason for this report:	<ul> <li>Initial</li> </ul>	O Extension	O Modification (Circle items modified)	
(If not initial, provide in	itial agreement d	late):		\$0
Date when this agreem	ent was reached			Date when the RTCs are to be delivered:
		6/4/20		7/15/20

→ Attach agreement for contingent right to purchase

Pollutant: • NOx or O SOx (Identify one pollutant only)

Is this a	n Infinite-Year-Blo	ck RTCs transaction	on? O Yes [T	otal Value of Tr	ansaction \$	jٌ
						(Attach a separate form if more spaces are needed)
NTS'E	Terms of RTC Trai	nsferred	Original Taxa	Harimum	4	
Cycle	From * To * Compliance Compliance Year Year		- Original Zone Maximum (Coastal or Ouantity Inland) (Pounds/year)		Price (\$/Pound)	Origin of Credits
2	6/30 /2000	Single Year Trac	CoastaVT. I. J	55.000	15	Rule No: REG XX

Rule No.: Rule No.: Rule No.:

+ For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter \*N/A\* under the Price (SPound) column.

In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separatelines for transactions of different RTCs, quantities or prices.

I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the exercise of a forward contract. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Michael Taylor	President		
Reported by (Print Name)	Title	Signature	-
Emission Advisors, Inc.		6/5/20 Houston, Texas	
Company Name		Date and Place	
			_

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Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract (Two-sided Form)

Tel: (909) 395-3119 www.agmd.gov

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O Buyer	O Seller	O Both Buyer and Seller

Name of Buyert	(Do not fil-out this line	f claiming confidentiality of	Buyer - see reverse side of form)	Account I.D. #
Name of Sellert				Account I.D. #
If Intermediary: Intermediary Name	(Do not fill-out this line)	il claiming confidentiality of a	Seller – see reverse side of form)	Account I.D. #
Forward Contract to:	O Purchase	O Sell	O Swap	Premium paid for this contract:
Reason for this report:	<ul> <li>Initial</li> </ul>	O Extension	O Modification (Circle items modified)	
(If not initial, provide ini	tial agreement da	ate):		<u>so</u>
Date when this agreem	ent was reached			Date when the RTCs are to be delivered:
		6/4/2020		1/15/2021

→ Attach agreement for contingent right to purchase

Pollutant: • NOx or O SOx (Identify one pollutant only)

		*
Is this an Infinite-Year-Block RTCs transaction?	O Man ITakal Makun of Tananakian C	1
is this an infinite-year-Block RILLS transaction?		

	Terms of RTC T	ransferred	1.1.1			fAttach a separate form if more spaces are needed)
Cycle	From * Compliance Year	To* Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Price (\$/Pound)	Origin of Credits
1	12/31/2020	Single Year Trade	Coastal/	82000	\$2.7500	Rule No.: REG XX
						Rule No.:
						Rule No.:
						Rule No.:

✤ For Infinite-Year-Block transaction, report lotal value of transaction. For each transaction line enter "N/A" under the Price (\$Pound) column.

In the 'From Compliance Year' Column, full in the expiration date of the first compliance year RTCs. The 'To Compliance Year' Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column 'Single Year Trade'. For a perpetual stream transaction, mark this column 'All Years After'. For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

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Michael Taylor	President		
Reported by (Print Name)	Title	Signature	
Emission Advisors, Inc.		6/5/20 Houston, Texas	
Company Name		Date and Place	

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Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

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0	Buyer	O Seller	Both	Buyer and Seller		
ame of	f Buyer <sup>†</sup>					Account I.D. #
	6 Oallast	(Do not f3-out this the if da	anting contridentiality of Bu	yer - 500 reverse 502 0° 10	(111)	Account! D.#
	f Seller <sup>†</sup>	(Do not fal-out this line if da	siming confidentiality of Se	er - see reverse side of fo	(m)	Account I.D. #
f Intermed	iediary: diary Name					Account I.D. #
	d Contract to:	O Purchase	O Sell	O Swap	Prem	ium paid for this contract:
Reason	for this report:	Initial	O Extension	O Modification		
(If not in	nitial, provide init	tial agreement date	):		\$0	
Date wh	nen this agreem	ent was reached:	6/4/2020			when the RTCs are to be delivered:
Pollutant	t <ul> <li>NOx or</li> </ul>	contingent right to pur O SOx (Identify o lock RTCs transact	chase ne pollutant only)	btal Value of Tran		5/2021 ĴNo
Pollutant	t:  NOx or Infinite-Year-B	O SOx (Identify o lock RTCs transact	chase ne pollutant only)	otal Value of Tran	saction \$	4
Pollutant	t <ul> <li>NOx or</li> </ul>	O SOx (Identify o lock RTCs transact	chase ne pollutant only)	Dtal Value of Tran Maximum Quantity (Pounds/year)		j⇔ ON₀
Pollutant s this an	t:  NOx or Infinite-Year-B Terms of RTC Tr From* Compliance	O SOx (Identify o lock RTCs transact ransferred I To *	chase ne pollutant only) ion? O Yes [To Original Zone (Coastal or Inland)	Maximum Quantity	nsaction \$	] O No [Attach a sengrate form if more suppers are ne
Pollutant s this an	t: • NOx or Infinite-Year-B Terms of RTC Tr From * Compliance Year	O SOx (Identify o lock RTCs transact ransferred I To * Comptiance Year	chase ne pollutant only) ion? O Yes [To Original Zone (Coastal or inland)	Maximum Quantity (Pounds/year)	Price (S/Pound)	O No <u>(Attach a senarate form if more snoces are ne</u> Origin of Credits
Pollutant s this an	t: • NOx or Infinite-Year-B Terms of RTC Tr From * Compliance Year	O SOx (Identify o lock RTCs transact ransferred I To * Comptiance Year	chase ne pollutant only) ion? O Yes [To Original Zone (Coastal or inland)	Maximum Quantity (Pounds/year)	Price (S/Pound)	] O No <u>(Attach a senarate form if more snoces are ne</u> Origin of Credits Rule No.: REG XX
Pollutant s this an	t: • NOx or Infinite-Year-B Terms of RTC Tr From * Compliance Year	O SOx (Identify o lock RTCs transact ransferred I To * Comptiance Year	chase ne pollutant only) ion? O Yes [To Original Zone (Coastal or inland)	Maximum Quantity (Pounds/year)	Price (S/Pound)	O No     Attach a senarate form if more suppres are ne     Origin of Credits     Rule No.: REG XX     Rule No.:

		hin five (5) business days of the exercise of a forward contract. I certify that the	
Michael Taylor	President	· · · · ·	
Reported by (Print Name)	Title	Signatúre	
Emission Advisors, Inc.		6/5/20 Houston, Texas	
Company Name		Date and Place	

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Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract (Two-sided Form)

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OBuyer	O Seller	Both Buyer and Seller	

Name of Buyer <sup>†</sup>	(Do not fill-out this line t	I claiming confidentiality of I	Buyer - see reverse side of form)	Account I.D. #
Name of Sellert	(Do not fill-out this line i	f claiming confidentiality of \$	Seller — see reverse side of form)	Account I.D. #
Intermediary Name				Account I.D. #
Forward Contract to:	<ul> <li>Purchase</li> </ul>	O Sell	O Swap	Premium paid for this contract:
Reason for this report:	Initial	O Extension	O Modification (Circle items modified)	
(If not initial, provide initial)	itial agreement da	te):		\$0
Date when this agreem	ent was reached:			Date when the RTCs are to be delivered:
g, con		6/4/2020		1/15/2022

→ Attach agreement for contingent right to purchase

Pollutant: • NOx or O SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction?	O Yes [Total Value of Transaction \$	] O No

Terms of RTC Transferred			Maximum	Mariana 🗧		
Cycle	From* Compliance Year	To* Compliance Year	Original Zone (Coastal or Inland)	Quantity (Pounds/year)	Price (\$/Pound)	Crigin of Credits
1	12/31/2021	Single Year Trade	Coastal /	72000	\$3.2500	Rule No.: REG XX
						Rule No.:
	Vice and Projection					Rule No.:
		in the second				Rule No.:

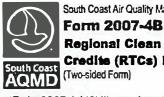
+ For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter \*N/A\* under the Price (SIPound) column.

In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

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Michael Taylor	President	1 h.	
Reported by (Print Name)	Title	Signature	
Emission Advisors, Inc.		6/5/20 Houston, Texas	
Company Name		Date and Place	

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Name of Buyer <sup>†</sup>				Account I.D. #		
Name of Sellert If Intermediary:		Sector States	Seler - see reverse side of form)	Account I.D. #		
Intermediary Name				Account I.D. #		
Forward Contract to:	<ul> <li>Purchase</li> </ul>	O Sell	O Swap	Premium paid for this contract:		
Reason for this report:	<ul> <li>Initial</li> </ul>	O Extension	O Modification			
(If not initial, provide ini	tial agreement da	ate):	, , , , , , , , , , , , , , , , , , ,	<u>\$0</u>		
Date when this agreem	ent was reached			Date when the RTCs are to be delivered:		
		6/4/2020		7/15/2022		

→ Attach agreement for contingent right to purchase

Pollutant: ONOx or OSOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction?	O Yes [Total Value of Transaction \$]	O No
--	---------------------------------------	------

Terms of RTC Transferred		Official Trans		*		
Cycle	From * Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Price (\$/Pound)	Origin of Credits
2	6/30/2022	Single Year Trade	Coastal/India	41000	\$3.5000	Rule No.: REG XX
						Rule No.:
						Rule No.:
			advis the second		Section Sectio	Rule No.:

For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$Pound) column.

 In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The 'To Compliance Year' Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

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President	and the second
Title	Signature
	6/5/20 Houston, Texas
	Date and Place

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Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract (Two-sided Form)

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If claiming confident	If claiming confidentiality of identity, check the appropriate box and complete the front and reverse sides of this form:						
O Buyer	O Seller	Both Buyer and Seller					
Name of Buyer <sup>†</sup>	(Do not fill-out this line if clar	ming confidentiality of Buyer - see reverse side of form)	Account I.D. #				
Name of Seller <sup>†</sup>		ning confidentiality of Select - see reverse side of form)	Account I.D. #				

Intermediary Name		a support		Account I.D. #
Forward Contract to:	<ul> <li>Purchase</li> </ul>	O Sell	O Swap	Premium paid for this contract:
Reason for this report:	<ul> <li>Initial</li> </ul>	O Extension	O Modification (Circle items modified)	
(If not initial, provide ini	itial agreement da	ate):		\$0
Date when this agreem	ent was reached			Date when the RTCs are to be delivered:
		6/4/2020		1/15/2023

→ Attach agreement for contingent right to purchase

Pollutant: ONOx or OSOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction?	O Yes [Total Value of Transaction \$]	O No

Terms of RTC Transferred		01/10/2				
Cycle	From* Compliance Year	To* Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Price (S/Pound)	Origin of Credits
1	12/31/2022	Single Year Trade	Coastal //	52000	\$3.7500	Rule No.: REG XX
						Rule No.:
						Ruie No.:
		C Press of Let			Rockerson	Rule No.:

\* For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column.

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Michael Taylor President				
Reported by (Print Name)	Title	Signature		
Emission Advisors, Inc.		6/5/20 Houston, Texas		
Company Name		Date and Place		

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South Coast Air Quality Management District Form 2007-48 **Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract** (Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 395-3119 www.agmd.gov

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O Buyer	O Seller	O Both Buyer and Seller	
1.0			

Name of Buyer <sup>1</sup>	(D	Account I.D. #		
Name of Sellert	(Do not fill-out this line	Account I.D. #		
If Intermediary: Intermediary Name	(2010) 1001 003 010			Account I.D. #
Forward Contract to:	<ul> <li>Purchase</li> </ul>	O Sell	O Swap	Premium paid for this contract:
Reason for this report:	<ul> <li>Initial</li> </ul>	O Extension	O Modification (Circle items modified)	
(If not initial, provide in	itial agreement da	ate):		\$0
Date when this agreem	ent was reached	: 6/4/2020		Date when the RTCs are to be delivered: 7/15/2023

→ Attach agreement for contingent right to purchase

Pollutant: ONOx or OSOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction?	O Yes [Total Value of Transaction \$	] O No
	(Julach a sanas	ale form if more enaces and not

Terms of RTC Transferred		0	Maritan	4		
Cycle	From* Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	Price (\$/Pound)	Origin of Credits
2	6/30/2023	Single Year Trade	Coastal/InVint	27000	\$4.0000	Rule No.: REG XX
		ů				Rule No.:
				-		Rule No.:
			A CONTRACTOR		al la serie	Rule No.:

For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter \*N/A\* under the Price (\$Pound) column.

In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column Is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column Single Year Trade". For a perpetual stmam transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

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statements are true, accurate, an	d complete to the best of m	iy knowledge.
Reported by (Print Name)	Title	Signature
Emission Advisors, Inc.		6/5/20 Houston, Texas
Company Name		Date and Place

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If claiming confidential	ity of identity, check th	e appropriate box and complete the front and reverse sides of this form:	
OBuyer	O Seller	● Both Buyer and Seller	
			-

Name of Buyer <sup>†</sup>	(Do not fill-out this line it	Account I.D. #		
Name of Sellert If Intermediary: Intermediary Name	(Do not for out this line in	claiming confidentiality of s	Soller – see reverse side of form)	Account I.D. # Account I.D. #
Forward Contract to:	<ul> <li>Purchase</li> </ul>	O Sell	O Swap	Premium paid for this contract:
Reason for this report:	<ul> <li>Initial</li> </ul>	O Extension	O Modification	
(If not initial, provide ini	tial agreement da	te):		\$0
Date when this agreem	ent was reached:	6/4/2020		Date when the RTCs are to be delivered: 1/15/2024

→ Attach agreement for contingent right to purchase

Pollutant: ONOx or OSOx (Identify one pollutant only)

	-	4
Is this an Infinite-Year-Block RTCs transaction?	O Yes [Total Value of Transaction \$	] O No

	Terms of RTC Transferred		Original Zona		+	
Cycle	From * Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)	ty /S/Pound)	Origin of Credits
1	12/31/2023	Single Year Trade	Coastal/Inking	52000	\$4.2500	Rule No.: REG XX
		1°				Rule No.:
	14	1				Rule No.:
	CONTRACTOR OF	1 1 1 1 1 1 1 1 1				Rule No.:

For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter \*N/A\* under the Price (\$Pound) column.

\* In the 'From Compliance Year' Column, fill in the expiration date of the first compliance year RTCs. The 'To Compliance Year' Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the exercise of a forward contract. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Michael Taylor	President			
Reported by (Print Name) Title		Signature		
Emission Advisors, Inc.		6/5/20 Houston, Texas		
Company Name		Date and Place		

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