

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the

O-11 *					Acco	unt ID#		
Seller N	Name [†]			Account ID#				
lf Intern	mediary: Evo	lution Markets Inc.		Account ID# 700117				
Type of Conting	contract:	□ Purchase □Sell		Premium paid for this contract:				
Date wh 2/19/06		nent was reached:	,	Expiration Date or Date when the RTCs are to be delivered On or before January 12, 2007				
		tract or agreement for						
Ollutari	NOXX_	or SOx (lden	tify one polluta	nt only)	(Attach a sep	arate form if more spaces are need		
Cycle								
2	6/30/2009	Single Year Trade	Coastal	22,000	\$5.65/lb	Reg XX		
	1	1						
this col	lumn "Single Year Tra mpliance year. Use	eam nansaction, or (3) multiple ade". For a perpetual stream t	year transaction of transaction, mark thi	ompliance year RTCs. The "To one RTCs of same zone, quantity, and so column "All Years After". For a quantities or prices. Transactions	d price in a single line. Fo	r a single year transaction, mark		
this column this c	umn "Single Year Tranpliance year. Use red. nd that this is or s. I further unde tTC Transaction	ade". For a perpetual stream to separate lines for transactions and a report to the AQMI perstand that this report to Registration and associated.	p year transaction of transaction, mark this of different RTCs, of O of the agreem does not act as tiated forms are	RTCs of same zone, quantity, and sociumn "All Years After". For a quantities or prices. Transactions pent of a forward contract or replace the RTC Transactions are quired in accordance to the RTC transactions.	ad price in a single line. For a multiple year transaction for all inclusive years bether a contingent rigustation Registration with Rufe 2007 with	r a single year transaction, mark, fill in the expiration date of the ween these two columns will be ht to purchase, sale, or I also understand that a in two 155 business days or		
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1/1/2



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Buyer N						ccount ID#		
Seller Na	ame [†]			Account ID#				
f interm	ediary: Evol	ution Markets Inc		Account ID# 700117				
ontinge	ontract: int Right to: E] Purchase □Sell	□ Swap	Premium paid for this contract:				
ate whe 2/19/06	n this agreem	ent was reached:		Expiration Date or Date On or before January		ne RTCs are to be delivered :		
ollutant	: NOx _X_	or SOx (ld	for contingent right	•	(Attac	h a separate form if more spaces are neede		
Terms of RTC Transferred From Compliance Year(*) To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum	Price (\$/Poun d)	Origin of Credits				
2	6/30/2009 Single Year Trade	Coastal	22,000	\$5.65/lb	Reg XX			
transact this colu	tion, (2) perpetual str umn "Single Year Tra opliance year. Use	eam transaction, or (3) mu ade". For a perpetual stre	Itiple year transaction of RT am transaction, mark this co	Cs of same zone, quantity, and polymn "All Years After". For a mo	rice in a single ultiple vear trai	Column is used to enter (1) single year line. For a single year transaction, mark insaction, fill in the expiration date of the ears between these two columns will be		
rap RTCs parate R1	 I further under TC Transaction 	erstand that this repo Registration and as	ort does not act as or sociated forms are re	replace the RTC Transac	ction Regis th Rule 200	ent right to purchase, sale, or tration. I also understand that a 17 within five (5) business days o knowledge.		
ported B	y: _Samantha	Unger(Print name		Signature:)		

DEC 22 2006



Revised.

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	ame¹ <u>Natsouı</u> ediary:	Account ID# <u>700066</u>					
	diary Name: <u>N</u>		Account ID# _	700066			
ontinge IForwai	rd Contract]Purchase □ Sell	□Swap	Premium paid for this contract: \$0.00			
ate whe 12/07		ent was reached:		Expiration Da by 01/5/07	te or Date whe	n the RTCs are to	be delivered :
> Attach	forward cont	ract or agreement	for contingent r	ight to purchase	·· h.		
ollutant	: NOxX	or SOx (Ide	entify one pollutal	nt only)			
						Attach a separate form if	more spaces are nee
Cyda	From Goraphianos Year(*)	2.88 (24.780)	Original Zone (Coastal or Infand)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin	of Credits
_1	12/31/06	Single Year Trade	Coastal	21,100	\$1.50/lb.	Rule No. :	XX
	<u> </u>					Rule No. :	
		1 1				Rule No. :	
	1 1	1 1	· · · · · · · · · · · · · · · · · · ·			Rule No.:	
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		1 1				Rule No.:	
	1 1					Rule No.:	
this colu- compliar registere derstand her unde jistration ements a	mn "Single Year Trac noe year. Use sep ad. I that this is only a retand that this re and associated for tre true, accurate,	ar Column, fill in the expired transaction, or (3) multie. For a perpetual stream arate lines for transactions a report to the AQMD opport does not act as corms are required in a land complete to the board.	tiple year transaction of transaction, mark this s of different RTCs, qu of the agreement of or replace the RTC 1 ccordance with Rul	r RTCs of same zone, qua column "All Years After". uantities or prices. Trans a forward contract or Transaction Registrat le 2007 within five (5) ge.	ntity, and price in a si For a multiple year tra actions for all inclusiv a contingent right ion. I also undersi business days of t	ngle line. For a single yearsaction, fill in the expirate years between these to to purchase, sale, cand that a separate he actual RTC transf	ar transaction, mark tion date of the last wo columns will be or swap RTCs. ! RTC Transaction er. I certify that t
ported B	y: Mithun Rath			_ Signature:		.0	1/16/07
		(Print name)		Date and Plac			

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



KEVERIT .

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

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		itsource LL				_ Account ID#	700066
selier N f Interm	amer <u>PurEnc</u> lediary:	ergy Operating S	ervices LLC			_ Account ID# <u>13</u>	2191 & 132192
nterme	diary Name:	Natsource LLC				_ Account ID#	700066
	contract:			Premium paid	d for this contr	_	
<u>এForwa</u>	rd Contract	□Purchase □ Sel	i □Swap	, , , , , , , , , , , , , , , , , , ,	a for this contr	act. \$0.00	
ate whe	n this agreem	ent was reached:	 	Expiration Da	te or Date whe	n the RTCs are to	ho dolivered .
12/07		_		Dy U1/5/U/		the Kios are to	be delivered :
Attaci	forward cont	ract or agreement	for contingent ri	ght to purchase			
llutant:	: NOxX_	or SOx (la	entify one pollutan	ot only)			
				Corny)	6	Attach a separate form if m	OM Spaces are peopled
	and the Control of the Control	THE RESERVE E	Original Zone	Maximum		- coporate roini ii ni	ore spaces are needed
. .	Fi ste	1 'o Compliance	(Coastal or	Quantity	Price	Onint- of	· z · _ 11.
Cycle 	-ompliance T	Compliance	Inhand)	(Pounds/Year)	(\$/Pound)	Origin of	Credits
1	12/31/06	Single Year Trade	Coastal	21,100	\$1.50/lb.	Desla No.	737
						Rule No. : X	<u>X</u>
	11	1 1				Rule No. :	
		11				Rule No. :	
		1 1				Rule No. :	
	1 1	1 1				Rule No.:	
	//	1 1				Rule No. :	
	1 1	1 1				Rule No. :	
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compliant registered	nn Single Year Tradi Se year. Use sepa I.	e". For a perpetual stream arate lines for transactions	transaction, mark this co of different RTCs, quar	olumn *All Years After". F htities or prices. Transa	or a multiple year tran ctions for all inclusive	ear" Column is used to ente gle line. For a single year t isaction, fill in the expiration is years between these two	ransaction, mark - n date of the last columns will be
erstand i er unders stration a ments ar	that this is only a stand that this re and associated fo e true, accurate,	report to the AQMD opert does not act as o rms are required in ac and complete to the b	f the agreement of a r replace the RTC Tra cordance with Rule : est of my knowledge.	forward contract or ansaction Registration 2007 within five (5) b	a contingent right on. I also understa usiness days of th	to purchase, sale, or s and that a separate RT be actual RTC transfer.	wap RTCs. I C Transaction I certify that t
	: Mithun Ratho					1	5/07

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	ame† <u>McDonne</u> ame† <u>The Boei</u>	_ Account ID# 16660				
	ame. <u>The Boel</u> ediary:	ing Company	- -			_ Account ID# 800111
		·····	· · · · · · · · · · · · · · · · · · ·			_ Account ID#
pe of continger	ontract:	Purchase □ Seli		- ,	d for this contract	:
	n this agreemer r 28, 2006	nt was reached:		Expiration Da December 1,	te or Date when 2006	the RTCs are to be delivered :
Attach	forward contract	ct or agreement f	or contingent right	to purchase		
llutant:	NOx _X	or SOx (identify one pollute	ant only)	,	
า	Terms of RTC Tra	ansferred			T	Attach a separate form if more spaces are nee
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
2	06/30/2012	All years After	Coastal	3,100	0.00	Rule No. : Reg XX
	1 1	1 1				Rule No. :
	1 1	1 1			·	Rule No. :
		1 1				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
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	//					Rule No.:
	1 1	1 1				Rule No.:
this column last compregistered erstand the stand the iated for	on, (2) perpetual sub- mn "Single Year Trad pliance year. Use s d. hat this is only a re at this report does r	the unisaction, or (3) in the annual section, or (3) in the separate lines for transaction of the AQMD of act as or replace accordance with Rule	nuluple year transaction or ream transaction, mark th ctions of different RTCs, the agreement of a fon the RTC Transaction F	of HTCs of same zone, qualis column "All Years After quantities or prices. Trainward contract or a c	nantity, and price in a single. For a multiple year insactions for all inclusions for all	ear" Column is used to enter (1) single year ngle line. For a single year transaction, mark transaction, fill in the expiration date of the ye years between these two columns will be use, sale, or swap RTCs. I further ate HTC Transaction Registration and certify that we statements are true, accur.
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	: Michael	J. Bimmer		,		and the second of the second o

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	ediary Name: Note:	latsource LLC		Account ID#700066				
Conting		lPurchase □ Sei	i ⊠Swap	Premium paid for this contract: 5,000 lbs. of Coastal 2006 cy NOx RTCs @ \$1.60/lb., \$8,000.00 total.				
11/1	6/06	ent was reached:		by 01/10/07	te or Date whe	n the RTCs are to	be delivered :	
→ Attac	h forward conti	act or agreement	for contingent rig	ght to purchase				
Pollutan	it: NOx _X_	or SOx (lo	fentify one pollutan	t only)		14t		
	Terms of RTC T	ansferred				Attach a separate form if	more spaces are needed)	
Cycle	From Compliance, Year(*)	To Compliance Year(*)	Original Zone (Coastal or Tuland)	Maximum ² Quantity (Pounds/Year)	Price (\$/Pound)	Origin	of Gredits	
2	06/30/06	Single Year Trade	Inland	75,000	\$2.30/lb.	Rule No.:	XX	
	1 1	1 1				Rule No. :		
	1 1	1 1				Rule No.:		
	1 1	1 1				Rule No.:		
-	1 / /	1 1	- <u></u>			Rule No. :		
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this co last co registe understan urther und legistration	cuon, (2) pelpetual ste doumn "Single Year Tra impliance year. Use s ered. In that this is only a derstand that this re n and associated fo	part transaction, or (3) mide". For a perpetual stresseparate lines for transaction report to the AQMD port does not act as orms are required in a	oiration date of the first coultiple year transaction, of I want transaction, mark this tions of different RTCs, quot of the agreement of a correplace the RTC Transcordance with Rule best of my knowledge	RTCs of same zone, qua column "All Years After' uantities or prices. Trans forward contract or a ansaction Registratic 2007 within five (5) b	ntily, and price in a si For a multiple year factions for all inclusion a contingent right	ngle line. For a single year transaction, fill in the exve years between these to purchase, sale, or	ar transaction, mark piration date of the two columns will be	
)onorted !	Do Mithus Da	thara	_		t			
керопеа і	By: <u>Mithun Ra</u>	tnore		Signature:				

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□Forward Co Date when thi 11/16/06 → Attach for	ight to: Contract is agreeme	IPurchase ☐ Se ent was reached:		<u>.</u>	d for this contra	
11/16/06 → Attach for	ward conti			Expiration Da by 01/10/07	ate or Date wher	the RTCs are to be delivered :
		act or agreement				
Pollutant: NO			for contingent rig			
)xX	or SOx (lo	dentify one pollutan	t only)		
Terms	of RTC T	ransferred	≠Csa. la salit.UFUs	2 STAND MARK C. C.	(A	ttach a separate form if more spaces are need
Cycle Co	lirom onipllarice Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantify (Pounds/Year)	Price (\$/Pound)	Origin of Credits
	06/30/06	Single Year Trade	Coastal	75,000	\$2.40666/lb.	Rule No.: XX
	1 1	1 1				Rule No. :
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	1_1	1 1				Rule No. :
	/ /					Rule No.:
	1 1	1 1				Rule No.:
<u>-</u>	<i> </i>					Rule No. :

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